

# String breaking/computing energy levels on the lattice

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## 1 String breaking

Literature: [1].

### Definition of the static quark antiquark potential

- $V(R)$  = energy of the lowest state containing an infinitely heavy quark antiquark pair at separation  $R$  (+ dynamical quarks + gluons).

### Pure Yang-Mills theory (gluons, but no dynamical quarks)

- The quark antiquark potential is linear for intermediate and large separations (confinement; cf. Figure 1).
- There is a gluonic flux tube (a string) connecting the quark and the antiquark; the profile of this flux tube is essentially independent of the separation, which leads to a linearly rising quark antiquark potential.

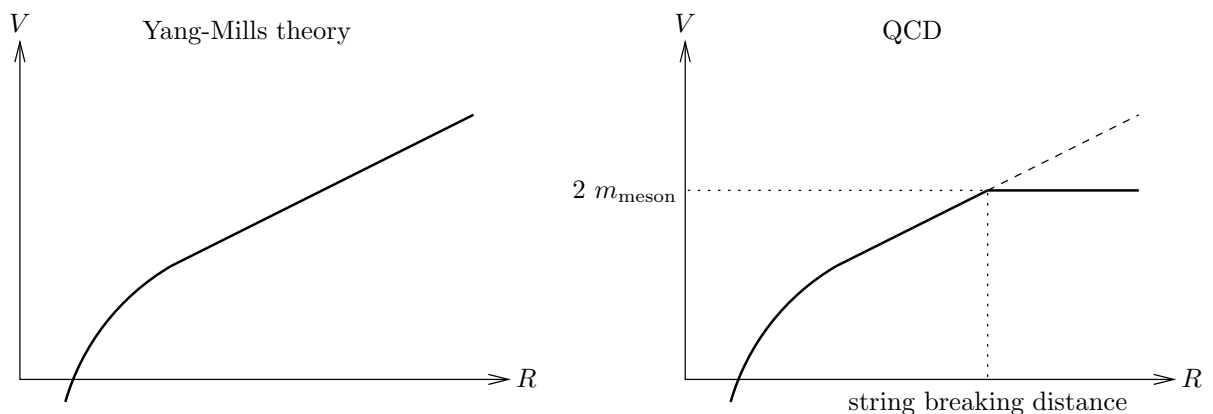


Figure 1: The static quark antiquark potential in Yang-Mills theory and in QCD.

## QCD (gluons and dynamical quarks)

- The static quark antiquark potential is linear for intermediate separations, but constant for large separations (cf. Figure 1).
- When increasing the distance of the static quarks beyond a certain value  $R_{\text{string breaking}}$ , a light quark antiquark pair is created; the flux tube breaks and two static-light mesons appear; the attractive force between the static sources is “completely” screened by dynamical quarks, i.e. the potential for  $R > R_{\text{string breaking}}$  is essentially two times the mass of the lightest static-light meson.
- The static-light spectrum, to be more precise the mass of the lightest static-light meson gives an estimate of the string breaking distance.

## 2 Computing energy levels on the lattice (theory)

### Basic principle

- Compute the temporal correlation of a state  $|\phi\rangle = \mathcal{O}|0\rangle$ :

$$\begin{aligned} \langle\phi(T)|\phi(0)\rangle &= \langle 0|\mathcal{O}(T)\mathcal{O}(0)|0\rangle = \langle 0|e^{+HT}\mathcal{O}(0)e^{-HT}\mathcal{O}(0)|0\rangle = \\ &= \sum_n \langle\phi(0)|n\rangle e^{-(E_n-E_0)T} \langle n|\phi(0)\rangle = \sum_n \left| \langle n|\phi(0)\rangle \right|^2 e^{-(E_n-E_0)T} \end{aligned} \quad (1)$$

( $|n\rangle$ : eigenstates of the Hamiltonian  $H$ ;  $E_n$ : corresponding eigenvalues).

- For large  $T$

$$\langle\phi(T)|\phi(0)\rangle \approx \left| \langle\Omega|\phi(0)\rangle \right|^2 e^{-(E_\Omega-E_0)T}, \quad (2)$$

where  $|\Omega\rangle$  is the lowest state with  $\langle\Omega|\phi\rangle \neq 0$ .

- Then

$$e^{-(E_\Omega-E_0)a} = \frac{e^{-(E_\Omega-E_0)(T+a)}}{e^{-(E_\Omega-E_0)T}} \approx \frac{\langle\phi(T+a)|\phi(0)\rangle}{\langle\phi(T)|\phi(0)\rangle} \quad (3)$$

and

$$E_\Omega - E_0 \approx \mathcal{E}_\Omega^\phi(T+a) = -\frac{1}{a} \ln \left( \frac{\langle\phi(T+a)|\phi(0)\rangle}{\langle\phi(T)|\phi(0)\rangle} \right). \quad (4)$$

( $a$ : lattice spacing).

**An example of how to choose  $|\phi\rangle$  (quark antiquark potential)**

- Create a state with a static quark antiquark pair:

$$|\phi\rangle = \bar{Q}(\mathbf{x})U(\mathbf{x}, \mathbf{y})Q(\mathbf{y})|0\rangle. \quad (5)$$

- One can show that this state contains a static antiquark at  $\mathbf{x}$  and a static quark at  $\mathbf{y}$ , and that it has vanishing overlap to all other states with different “heavy quark number”.
- To preserve gauge invariance, a parallel transporter (a gluonic string) has been included:

$$U(\mathbf{x}, \mathbf{y}) = P \left\{ \exp \left( i \int_{\mathbf{x}}^{\mathbf{y}} dz_j A_j(\mathbf{z}) \right) \right\} \quad (6)$$

( $P$  denotes path ordering).

- Integrating out the static quarks (the corresponding propagator can be calculated analytically) yields the well known Wilson loop:

$$\langle \phi(T) | \phi(0) \rangle = \# \langle W_{(R,T)} \rangle = \# \frac{1}{3} \text{Tr} \left( P \left\{ \exp \left( i \oint dz_\mu A_\mu(z) \right) \right\} \right) \quad (7)$$

(the path of integration is shown in Figure 2).

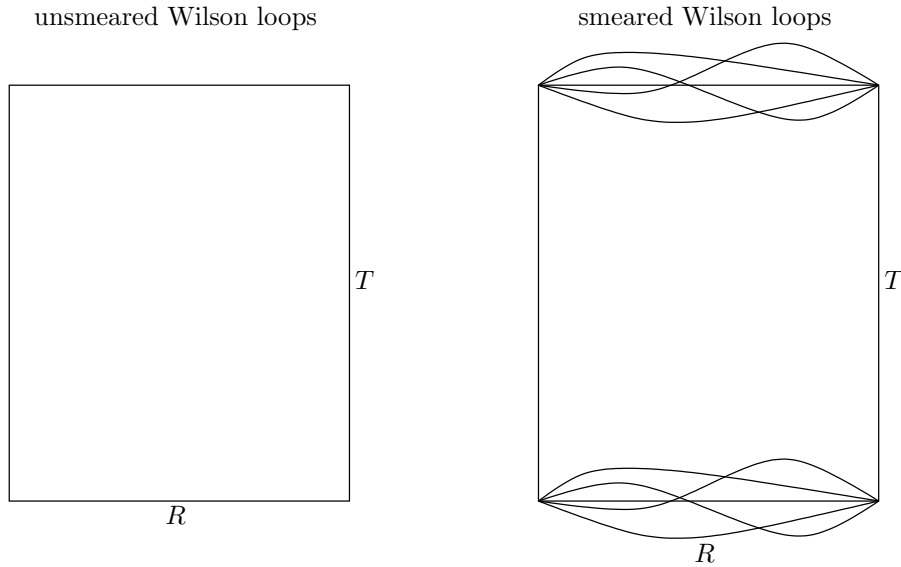


Figure 2: Unsmeared and smeared Wilson loops.

### 3 Computing energy levels on the lattice (practical issues)

- In lattice calculations it is not possible to measure  $\langle \phi(T)|\phi(0) \rangle$  for large  $T$ :
  - Lattices have a finite (rather small) extension.
  - Due to the exponential fall off,  $\langle \phi(T)|\phi(0) \rangle$  is very small for large  $T$ . Therefore, relative statistical errors are huge.
- Solution: choose  $|\phi\rangle$  such that it is close to the state you want to measure, i.e.  $\langle \Omega|\phi \rangle \approx 1$ . Then (2) is already valid for rather small  $T$ .
- How can we determine such a state  $|\phi\rangle$ ?
  - **Physical arguments/expectations:** usually one has a rough picture of how the corresponding state might look like; for example for the static quark antiquark potential the lowest state is expected to contain two static point-like sources connected by a gluonic flux tube with a certain diameter; therefore, it is better to use smeared Wilson loops instead of ordinary unsmeared Wilson loops, which are “too thin” (cf. Figure 2).
  - **Use a variational technique.**

#### A variational technique

- Use a whole set of states  $|\phi_j\rangle$ , instead of only a single state  $|\phi\rangle$ , and determine that linear combination

$$|\psi\rangle = \alpha_j |\phi_j\rangle, \tag{8}$$

which has maximum overlap to  $|\Omega\rangle$  (to be more precise, determine that linear combination, for which  $\mathcal{E}_\Omega^\psi(T+a)$  is minimal for given  $T$ ).

- To determine the “best state”  $|\psi\rangle$ , compute the correlation matrix

$$C_{jk}(T) = \langle \phi_j(T)|\phi_k(0) \rangle \tag{9}$$

and solve the generalized eigenvalue problem

$$C_{jk}(T+a)\alpha_k^{(n)} = C_{jk}(T)\alpha_k^{(n)}\lambda^{(n)} \quad (\text{no sum over } n) \tag{10}$$

(in the following we consider the eigenvalues sorted according to  $\lambda^{(1)} \leq \lambda^{(2)} \leq \dots \leq \lambda^{(N)}$ ; [reality and positivity can be shown by multiplying (10) with  $(\alpha_j^{(n)})^*$ ]).  $|\psi\rangle$  is given by (8) with  $\alpha_j = \alpha_j^{(N)}$  and the corresponding minimal energy is

$$\begin{aligned} \mathcal{E}_\Omega^\psi(T+a) &= -\frac{1}{a} \ln \left( \frac{\langle \psi(T+a)|\psi(0) \rangle}{\langle \psi(T)|\psi(0) \rangle} \right) = -\frac{1}{a} \ln \left( \frac{(\alpha_j^{(N)})^* C_{jk}(T+a) \alpha_k^{(N)}}{(\alpha_j^{(N)})^* C_{jk}(T) \alpha_k^{(N)}} \right) = \\ &= -\frac{1}{a} \ln \left( \lambda^{(N)} \right). \end{aligned} \tag{11}$$

- “Proof”:

- To determine the best state  $|\psi\rangle$ , minimize  $\mathcal{E}_\Omega^\psi(T+a)$  with respect to  $\alpha_n^*$ :

$$\begin{aligned}
\frac{\partial}{\partial \alpha_n^*} \mathcal{E}_\Omega^\psi(T+a) &= \frac{\partial}{\partial \alpha_n^*} \left( -\frac{1}{a} \ln \left( \frac{\langle \psi(T+a) | \psi(0) \rangle}{\langle \psi(T) | \psi(0) \rangle} \right) \right) = \\
&= \frac{\partial}{\partial \alpha_n^*} \left( -\frac{1}{a} \ln \left( \frac{\alpha_j^* C_{jk}(T+a) \alpha_k}{\alpha_j^* C_{jk}(T) \alpha_k} \right) \right) = \\
&= -\frac{1}{a} \frac{C_{nk}(T+a) \alpha_k}{\langle \alpha | C(T+a) | \alpha \rangle} + \frac{1}{a} \frac{C_{nk}(T) \alpha_k}{\langle \alpha | C(T) | \alpha \rangle} = 0.
\end{aligned} \tag{12}$$

This is equivalent to

$$C_{nk}(T+a) \alpha_k = C_{nk}(T) \alpha_k \underbrace{\frac{\langle \alpha | C(T+a) | \alpha \rangle}{\langle \alpha | C(T) | \alpha \rangle}}_{=\lambda}. \tag{13}$$

For  $\lambda = \text{constant}$  this is a generalized eigenvalue problem. The solutions  $\alpha_k^{(n)}$ ,  $\lambda^{(n)}$ ,  $n = 1, \dots, N$ , are consistent with the full equation, since

$$\frac{\langle \alpha^{(n)} | C(T+a) | \alpha^{(n)} \rangle}{\langle \alpha^{(n)} | C(T) | \alpha^{(n)} \rangle} = \lambda^{(n)}. \tag{14}$$

Note that this line of reasoning is not waterproof, since it does not include solutions with non-constant  $\lambda$ .

- Further remarks:

- Determine the best state  $|\psi\rangle$  at rather small  $T$ . This is more stable from a numerical point of view.
- In principle, the whole low lying spectrum can be obtained via

$$E_{\Omega^{(n)}} - E_0 \approx -\frac{1}{a} \ln \left( \lambda^{(N-n)} \right), \tag{15}$$

if sufficiently many states  $|\phi_j\rangle$  are used ( $\Omega^{(n)}$  are low lying states, which have non-vanishing overlap to  $\text{span}\{|\phi_j\rangle\}$ , and  $E_{\Omega^{(0)}} < E_{\Omega^{(1)}} < E_{\Omega^{(2)}} < \dots$  are their corresponding energies).

- In the limit  $N \rightarrow \infty$  the generalized eigenvalue problem (10) is just a diagonalization of the Hamiltonian.
- To get an idea of the quality of the extracted energy  $\mathcal{E}_\Omega^\psi$ , one can compute the overlap of  $|\psi\rangle$  and  $|\Omega\rangle$  by considering the following expression for large  $T$ :

$$\begin{aligned}
\frac{|\langle \Omega | \psi \rangle|^2}{\langle \psi | \psi \rangle} &= \frac{|\langle 0 | \psi \rangle|^2 e^{-(E_\Omega - E_0)(T+a)}}{\langle \psi | \psi \rangle e^{-(E_\Omega - E_0)(T+a)}} \approx \frac{\langle \psi(T+a) | \psi(0) \rangle}{\langle \psi | \psi \rangle e^{-((E_\Omega - E_0)a)((T+a)/a)}} \approx \\
&\approx \frac{\langle \psi(T+a) | \psi(0) \rangle^{(T+a)/a}}{\langle \psi | \psi \rangle \langle \psi(T+a) | \psi(0) \rangle^{T/a}}
\end{aligned} \tag{16}$$

((2) and (4) have been used). Note that this is only possible, if  $|\psi\rangle$  is normalized, since  $\langle \psi | \psi \rangle$  is hardly accessible in numerical computations.

## 4 Numerical results for the static quark antiquark potential

- Computation of the static quark antiquark potential:
  - Twisted mass formulation, 2+1+1 flavors, 89 configurations at  $\beta = 3.5$ ,  $L^3 \times T = 24^3 \times 48$ ,  $\kappa = 0.16480$ ,  $\mu = 0.004$ ,  $\mu = 0.11$ ,  $\epsilon = 0.09$ .
  - Wilson loops with five different smearing levels, i.e.  $N = 5$ .
- Figure 3a shows  $\mathcal{E}_\Omega^\psi$  as a function of  $T$  for different quark separations  $R$  (the best state  $|\psi\rangle$  has been determined at  $T = 2$ ).
- Figure 3b shows the static quark antiquark potential  $V$  as a function of  $R$  ( $V(R) = \mathcal{E}_\Omega^\psi(R, T = 5)$ ) together with a least squares fit with  $V(R) = V_0 - \alpha/R + \sigma R$ , from which one can determine e.g.  $r_0$ .
- Figure 3c shows the overlap of  $|\psi\rangle$  and  $|\Omega\rangle$  according to (16) as a function of  $T$  for different quark separations  $R$ .
- Note that the rather weak overlap for large  $R$  (cf. Figure 3c) is consistent with the lower plateaux quality in Figure 3a.

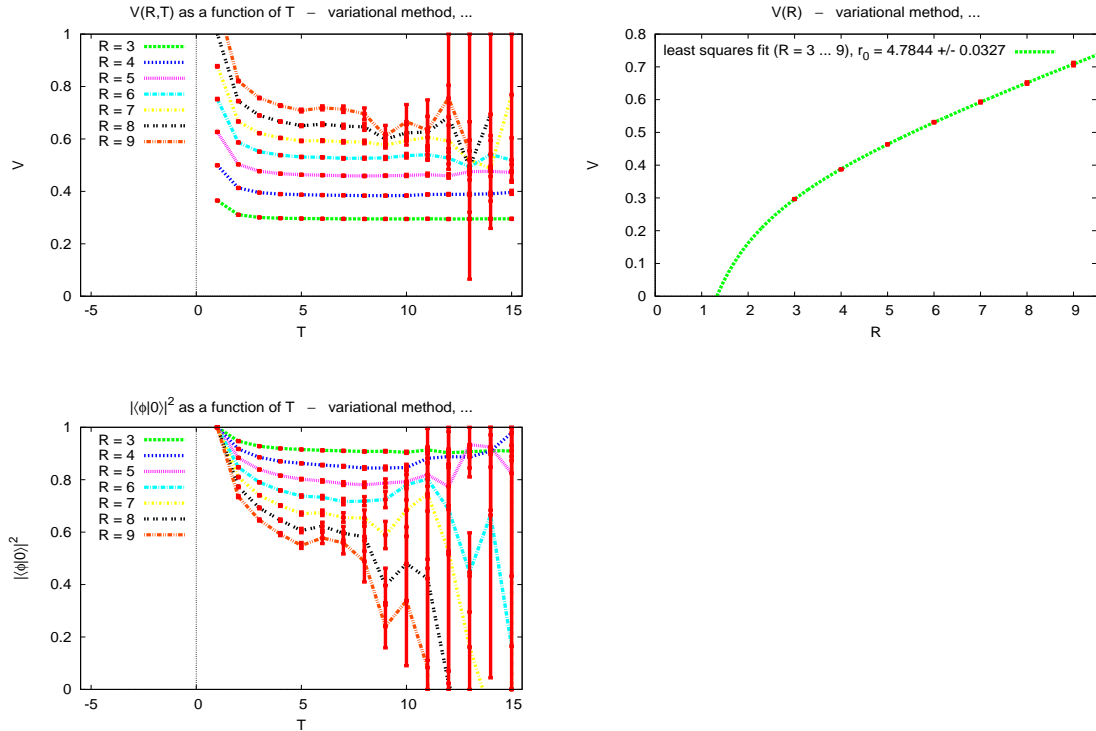


Figure 3: **a)**  $\mathcal{E}_\Omega^\psi$  as a function of  $T$  for different quark separations  $R$ . **b)**  $V$  as a function of  $R$ . **c)** Overlap of  $|\psi\rangle$  and  $|\Omega\rangle$  as a function of  $T$  for different quark separations  $R$ .

## Where is string breaking?

- With the method discussed above (using smeared Wilson loops to extract the static quark antiquark potential) one does not observe string breaking. The reason is a bad choice of states  $|\phi_j\rangle$ : the overlap of  $|\phi_j\rangle$  and  $|\Omega\rangle$  is acceptable as long as  $R \lesssim R_{\text{string breaking}} \approx 2.2 \times r_0$ , but there is essentially no overlap, as soon as the string is broken (the corresponding state  $|\Omega\rangle$  is expected to be a two meson state then).
- Solution: do not only use “string states” (5) but also “two meson states”, e.g.

$$|\phi_j\rangle = \bar{Q}(\mathbf{x})\gamma_5 q(\mathbf{x})\bar{q}(\mathbf{y})\gamma_5 Q(\mathbf{y})|0\rangle \quad (17)$$

and smeared versions thereof; when using the variational method, there should be sufficient overlap both below and above the string breaking distance  $R_{\text{string breaking}}$  (the corresponding correlation matrix is sketched in Figure 4).

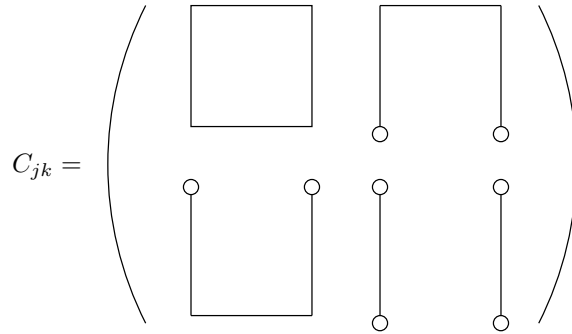


Figure 4: The correlation matrix to compute string breaking.

## References

- [1] G. S. Bali, H. Neff, T. Duessel, T. Lippert and K. Schilling [SESAM Collaboration], “Observation of string breaking in QCD,” Phys. Rev. D **71**, 114513 (2005) [arXiv:hep-lat/0505012].