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Theorie zu Magnetismus, Supraleitung und Elektronische Korrelation in  
Festkörpern  
Sommersemester 2019

**Blatt 2**  
(Abgabe: 30.04.2019)

**Aufgabe 1 (Derivation of the Hartree Equations from the Variational Principle) (3=1+2 Punkte)**

Consider the many-body Hamiltonian  $H$ ,

$$\begin{aligned} \hat{H} &= \sum_i \left( -\frac{\hbar^2}{2m} \hat{k}_i^2 + V(\hat{\vec{r}}) \right) + \frac{1}{2} \sum_{i \neq j} \frac{e^2}{|\hat{\vec{r}}_i - \hat{\vec{r}}_j|} \\ \langle r_1 \dots r_N | \hat{H} | r'_1 \dots r'_N \rangle &= \left( \sum_i \left( -\frac{\hbar^2}{2m} \vec{\nabla}_i^2 + V(\vec{r}) \right) + \frac{1}{2} \sum_{i \neq j} u(\vec{r}_i, \vec{r}_j) \right) \prod_{i=1}^N \delta(r_i - r'_i), \\ &= \left( \sum_i \hat{h}_i(\vec{r}_i) + \frac{1}{2} \sum_{i \neq j} u(\vec{r}_i, \vec{r}_j) \right) \prod_{i=1}^N \delta(r_i - r'_i), \end{aligned}$$

acting on the  $N$ -particle wave function  $\Psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N)$  (we omit the spin index as our Hamiltonian does not have any spin dependent terms). Within the Hartree approximation, the eigenstates of  $H$  are *not* antisymmetrized and determined by setting

$$\Psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N) = \varphi_1(\vec{r}_1) \varphi_2(\vec{r}_2) \dots \varphi_N(\vec{r}_N)$$

and then minimizing the expectation value  $\langle \Psi | \hat{H} | \Psi \rangle$ .

a) Show that

$$\begin{aligned} \langle \Psi | \hat{H} | \Psi \rangle &= \sum_i \int d\vec{r} \varphi_i^*(\vec{r}) \hat{h}(\vec{r}) \varphi_i(\vec{r}) \\ &+ \frac{1}{2} \sum_{i \neq j} \int d\vec{r} d\vec{r}' u(\vec{r}, \vec{r}') |\varphi_i(\vec{r})|^2 |\varphi_j(\vec{r}')|^2, \end{aligned}$$

provided that all the  $\varphi_i(\vec{r})$  satisfy the normalization condition  $\int d\vec{r} |\varphi_i(\vec{r})|^2 = 1$ .

b) With the constraint of normalization for each  $\varphi_i(\vec{r})$  expressed with a Lagrange multiplier  $\varepsilon_i$  and with  $\delta\varphi_i(\vec{r})$  and  $\delta\varphi_i^*(\vec{r})$  taken as independent variations, the stationary condition for  $|\Psi\rangle$  is given as

$$\frac{\delta}{\delta\varphi_n^*(\vec{r})} \left[ \langle \Psi | H | \Psi \rangle - \varepsilon_n \left( \int d\vec{r}' |\varphi_n(\vec{r}')|^2 - 1 \right) \right] = 0.$$

Show that this stationary condition leads directly to the Hartree equations

$$\left[ h(\vec{r}) + \sum_j \int d\vec{r}' u(\vec{r}, \vec{r}') |\varphi_j(\vec{r}')|^2 \right] \varphi_n(\vec{r}) = \varepsilon_n \varphi_n(\vec{r}).$$

(Hint: Make use of  $\frac{\delta}{\delta\phi(x)} \int dy f(y)\phi(y) = f(x)$ .)

**Aufgabe 2 (Hartree-Fock energy of the Homogeneous Electron Gas for the Coulomb Potential) (7 Punkte)**

In the homogeneous electron gas, the total charge [external charge sources  $V(\vec{r})$  + electron charge  $V^{\text{Hartree}}(\vec{r})$ ] at any spacial position is neutral, *i.e.*,

$$V(\vec{r}) = V^{\text{Hartree}}(\vec{r}).$$

In this case, the Hartree-Fock equations reduce to

$$(1) \quad -\frac{\hbar^2}{2m} \vec{\nabla}^2 \varphi_i(\vec{r}) + \sum_j \int d\vec{r}' \varphi_j^*(\vec{r}') \frac{e^2}{|\vec{r} - \vec{r}'|} \varphi_i(\vec{r}') \varphi_j(\vec{r}) = \varepsilon_i \varphi_i(\vec{r}).$$

The solutions to these equations are plane waves  $\varphi_{i \rightarrow \vec{k}}(\vec{r}) = \frac{1}{\sqrt{v}} e^{i\vec{k} \cdot \vec{r}}$  ( $v$  := volume), while the eigenenergies can be calculated by transforming Eq. (1) into the reciprocal space

$$\frac{\hbar^2 k^2}{2m} \varphi_{\vec{k}}(\vec{r}) - \int_{|\vec{q}| < k_F} \frac{d\vec{q}}{(2\pi)^3} \frac{4\pi e^2}{|\vec{k} - \vec{q}|^2} \varphi_{\vec{k}}(\vec{r}) = \varepsilon_{\vec{k}} \varphi_{\vec{k}}(\vec{r})$$

Show that the Hartree-Fock correction with respect to the non-interacting electron gas energy  $\frac{\hbar^2 k^2}{2m}$  is given by

$$-\int_{|\vec{q}| < k_F} \frac{d\vec{q}}{(2\pi)^3} \frac{4\pi e^2}{|\vec{k} - \vec{q}|^2} = -\frac{e^2}{\pi} k_F \left( 1 + \frac{1-x^2}{2x} \ln \left| \frac{1+x}{1-x} \right| \right), \quad x = \frac{k}{k_F}.$$

*Hint:* Perform integration in the spherical coordinates. You can use the identities

$$\begin{aligned} \int \ln |x| \, dx &= x \ln |x| - x \\ \int x \ln |x| \, dx &= \frac{x^2}{2} (\ln |x| - \frac{1}{2}) \end{aligned}$$