

# Strangeness production in heavy-ion collisions at SIS energies

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# SMASH transport approach

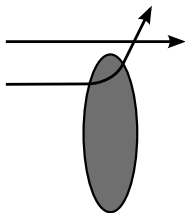
- ▶ **S**imulating **M**any **A**ccelerated **S**trongly-interacting **H**adrons
- ▶ 56 meson and 60 baryon species (+ anti particles)  
= most of established hadrons from PDG (2017) made of *uds*
- ▶ Use cases: Nuclear collisions, infinite matter, afterburner for hydro
- ▶ Dileptons and photons
- ▶ Open source code will be published this year
- ▶ Test physics at SIS energies ( $E_{\text{kin}} = 0.5 - 3.5A \text{ GeV}$ ), baseline for future NICA/FAIR predictions ( $\approx 10A \text{ GeV}$ )

J. Weil et al. In: *Phys. Rev. C* 94.5 (2016), p. 054905. arXiv: 1606.06642 [nucl-th]

## Collision finding

- ▶ Geometric collision criterion (as used by UrQMD):

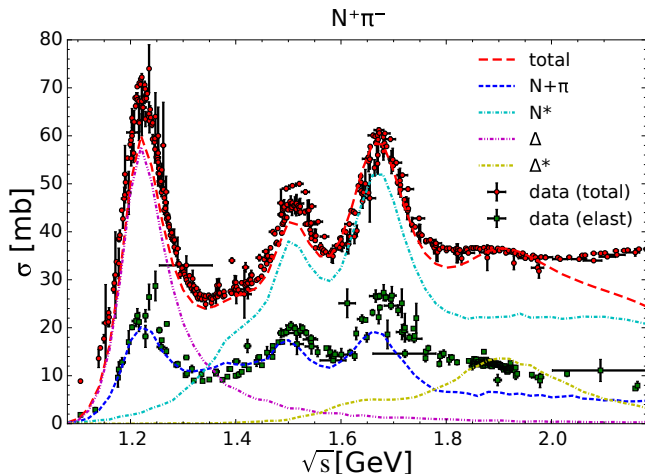
$$d_{\text{trans}} < d_{\text{int}} = \sqrt{\frac{\sigma_{\text{tot}}}{\pi}} \quad (1)$$



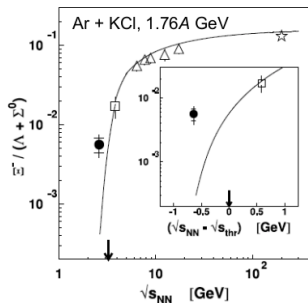
- ▶ Products of same reaction are forbidden to collide again
- ▶ Grid with cell size  $\sqrt{\sigma_{\text{max}}/(\pi N_{\text{test}})}$  for collision finding

## Cross section in SMASH

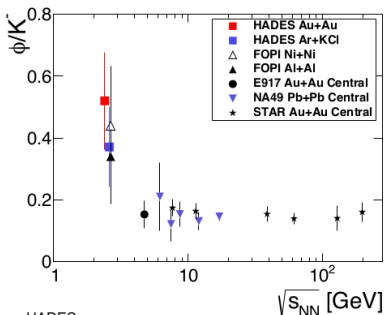
- ▶ Calculated from resonance masses, decay widths and branching ratios
- ▶ Parametrization of experimental data for non-resonant cross sections



# Strangeness in heavy-ion collisions



HADES  
PRL 103 (2009) 132301



HADES  
arXiv:1703.08418

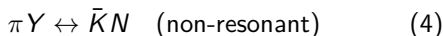
- ▶ Interesting probe for studying evolution of HIC
- ▶ High  $\phi$ ,  $\Xi$  measured by HADES → sub-threshold strangeness enhancement
- ▶  $KN/\bar{K}N$  potentials? In-medium cross sections?
- ▶ Production mechanism in equilibrium (thermal model) and non-equilibrium (resonances)?

# Strangeness production via resonances in SMASH

- ▶ Kaons and 11 kaonic resonances (+ anti particles)
- ▶  $\Lambda$ ,  $\Sigma$ ,  $\Xi$ ,  $\Omega$  and 27 resonances (+ anti particles)
- ▶ Most important channels in heavy-ion collisions:
  - ▶  $K^+$  production ( $Y \in \{\Lambda, \Sigma\}$ ,  $B^* \in \{N^*, \Delta^*\}$ ):



- ▶  $K^-$  production:



- ▶ No  $BY \rightarrow NY^* \rightarrow NN\bar{K}$  channel

G. Graef et al. In: *Phys. Rev. C*90 (2014), p. 064909. arXiv: 1409.7954 [nucl-th]

## Experimental branching ratios (2016-2017)

resonance	branching ratio $N^* \rightarrow \Lambda K$		
	PDG <sup>1</sup>	HADES <sup>2</sup>	SMASH
$N(1650)$	5 – 15%	$7 \pm 4\%$	4%
$N(1710)$	5 – 25%	$15 \pm 10\%$	13%
$N(1720)$	4 – 5%	$8 \pm 7\%$	5%
$N(1875)$	> 0	$4 \pm 2\%$	2%
$N(1880)$		$2 \pm 1\%$	
$N(1895)$		$18 \pm 5\%$	
$N(1900)$	2 – 20%	$5 \pm 5\%$	2%
$N(1990)$			2%
$N(2080)$			0.5%
$N(2190)$	0.2 – 0.8%		0.8%
$N(2250)$	0		0.5%

<sup>1</sup>C. Patrignani et al. *Chin. Phys.* C40.10 (2016), p. 100001.

<sup>2</sup>R. Münzer et al. (2017). arXiv: 1703.01978 [nucl-ex].

## Experimental branching ratios (2018)

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$N(1875)$	> 0	$4 \pm 2\%$	2%
$N(1880)$	12 – 28%	$2 \pm 1\%$	
$N(1895)$	13 – 23%	$18 \pm 5\%$	
$N(1900)$	2 – 20%	$5 \pm 5\%$	2%
$N(1990)$			2%
$N(2060)$	> 0		
$N(2080)$			0.5%
$N(2100)$	> 0		
$N(2120)$	0		
$N(2190)$	$\Lambda K^* : 0.2 - 0.8\%$		0.8%
$N(2250)$	0		0.5%

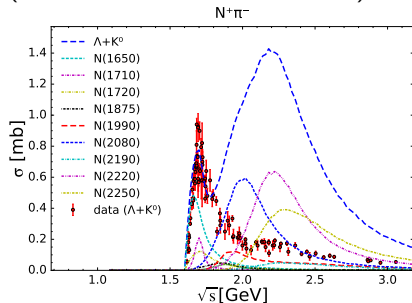
<sup>1</sup> M. Tanabashi et al. (Particle Data Group), Phys. Rev. D 98, 030001 (2018)



# Tuning branching ratios

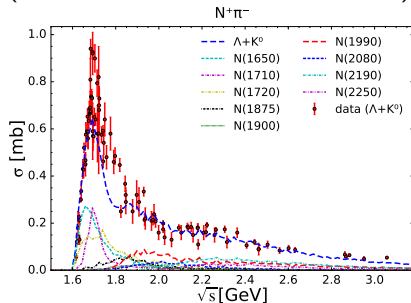
**before**

(based on PDG and UrQMD)



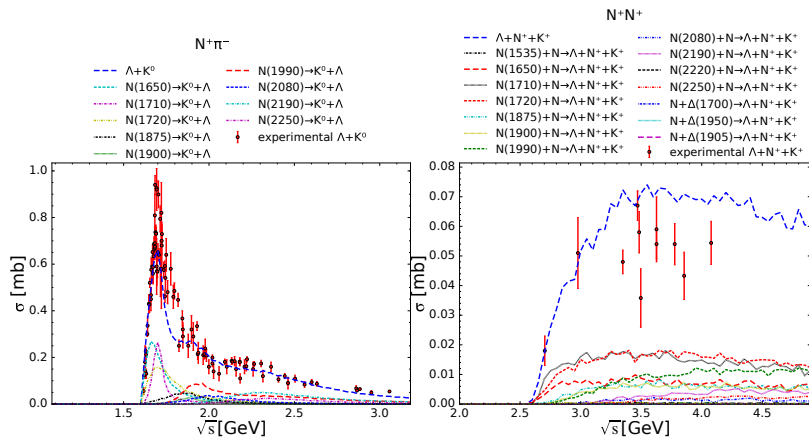
**after**

(tuned to exclusive cross section)



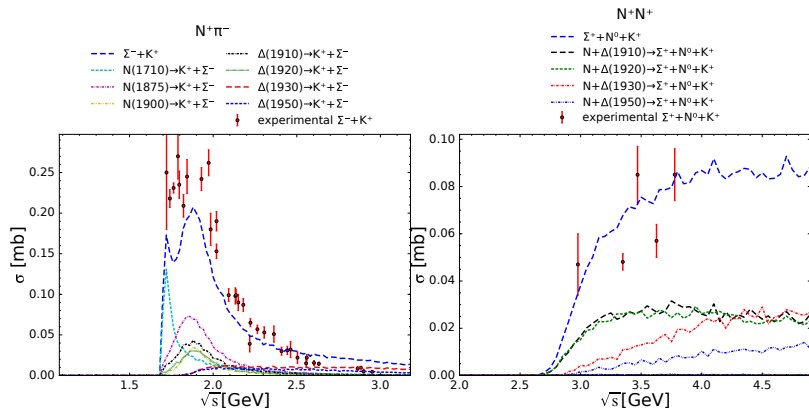
- ▶ Improve cross section by reducing  $N(2080)$ ,  $N(2220)$ ,  $N(2250) \rightarrow \Lambda K$ ,  $N\pi$

# $\Lambda$ production



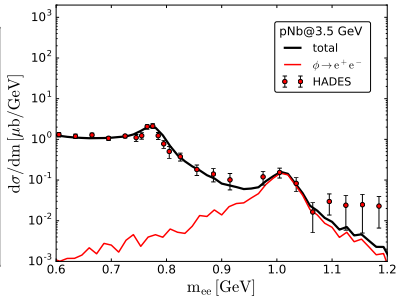
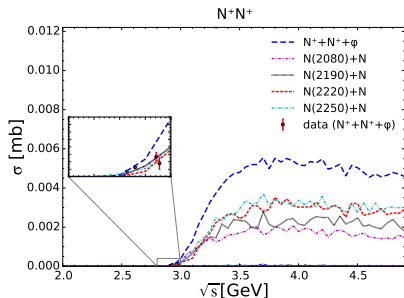
► Relevant branching ratios:  $N^* \rightarrow \Lambda K, \pi N$

# $\Sigma$ production



► Relevant branching ratios:  $N^*, \Delta^* \rightarrow \Sigma K, \pi N$

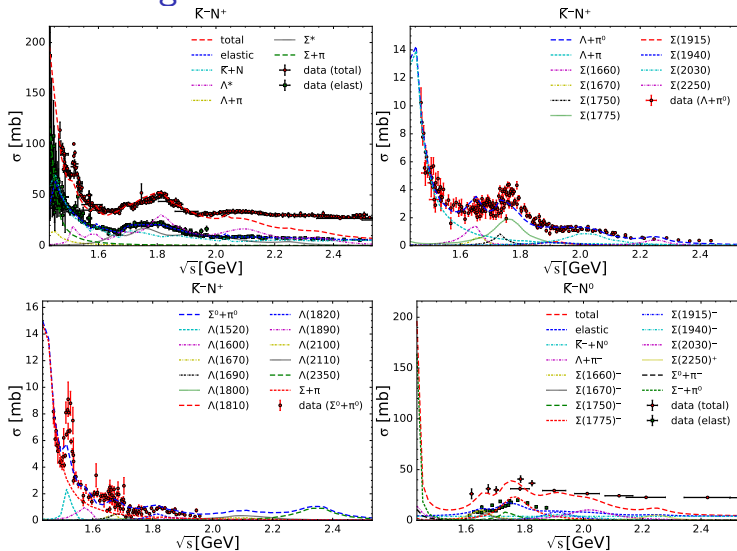
# $\phi$ production



- ▶  $pp \rightarrow pp\phi \rightarrow ppK^+\bar{K}^-$  only measured at threshold
- ▶  $\phi$  production not well constrained by cross section
- ▶ Significant  $\phi$  peak in p Nb dileptons
- ▶ Model  $\phi$  production via  $N^*( > 2000 ) \rightarrow N\phi$

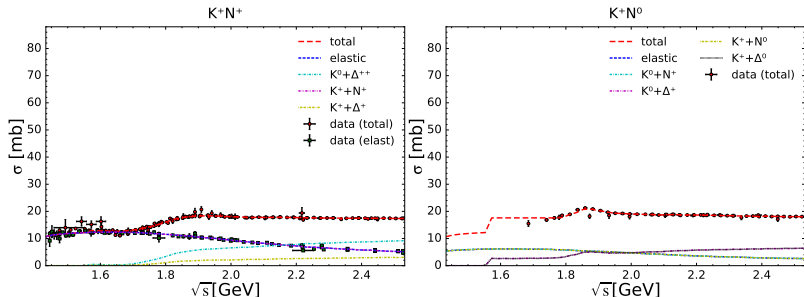
J. Steinheimer et al. In: *J. Phys. G*43.1 (2016), p. 015104. arXiv: 1503.07305 [nucl-th]

# $\bar{K}N$ scattering



- $Y^*$  contribution + elastic background + charge exchange + strangeness exchange (like UrQMD)

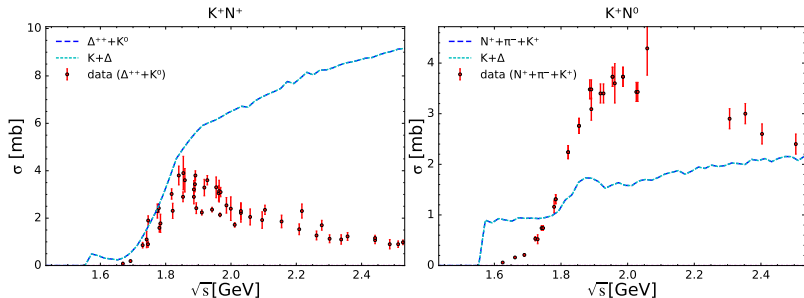
# KN scattering



- ▶  $K$  rescattering significantly affects kinematics<sup>3</sup>
- ▶ Elastic background + charge exchange + single-pion production (like GiBUU)
- ▶ Not designed to describe exclusive cross sections

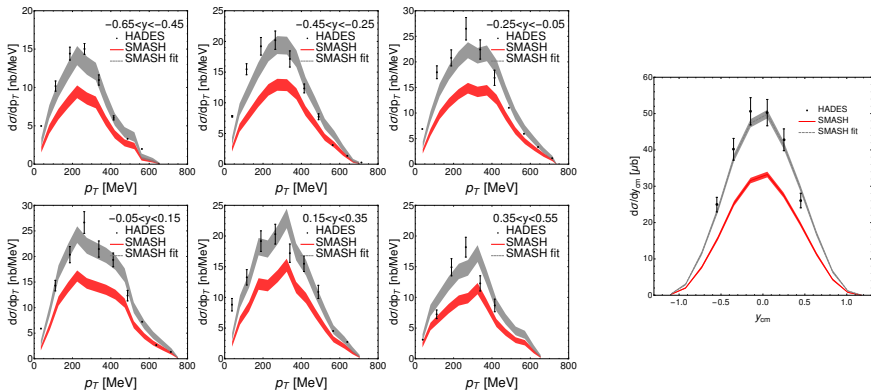
<sup>3</sup>C. Hartnack et al. *Phys. Rept.* 510 (2012), pp. 119–200. arXiv: 1106.2083 [nucl-th].

# $KN$ exclusive cross section



- ▶  $K^+p \rightarrow \Delta^{++}K^0$ : Not enough pions in the final state?
- ▶  $K^+n \rightarrow p\pi^-K^+$ : Not well reproduced
- ▶ Single-pion production too simplistic
- ▶ Probably not important for kaon rescattering

# $K_S^0$ production in proton-proton at $E_{\text{kin}} = 3.5$ GeV

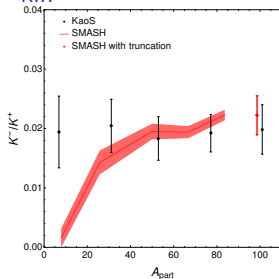
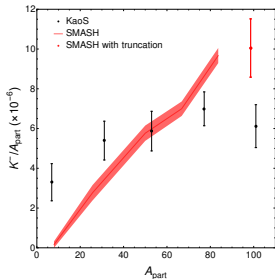
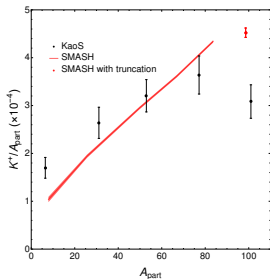


G. Agakishiev et al. In: *Phys. Rev. C*90 (2014), p. 054906. arXiv: 1404.7011 [nucl-ex]

- ▶ Assuming long-short symmetry:  $\sigma_{K_S^0} = 0.5\sigma_{K^0} + 0.5\sigma_{\bar{K}^0}$
- ▶ Cross section too low by factor 1.5 (red), grey lines scaled accordingly (similar to GiBUU)



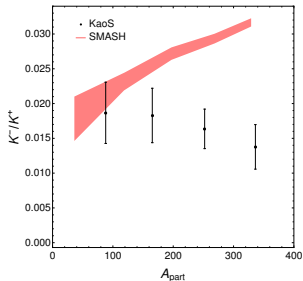
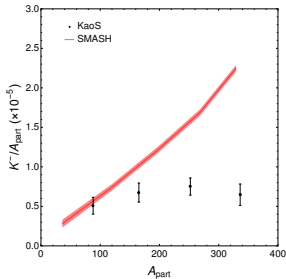
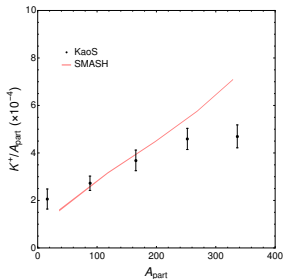
# $K^\pm$ production in $^{58}\text{Ni}-^{58}\text{Ni}$ at $E_{\text{kin}} = 1.5A \text{ GeV}$



- ▶ Decent agreement with KaoS for ratio
- ▶ Absolute yields reasonable, but trend different (similar to IQMD)

A. Forster et al. In: *J. Phys.*  
G31.6 (2005), S693–S700. arXiv:  
nucl-ex/0411045  
[nucl-ex]

# $K^\pm$ production in gold-gold at $E_{\text{kin}} = 1.5A \text{ GeV}$

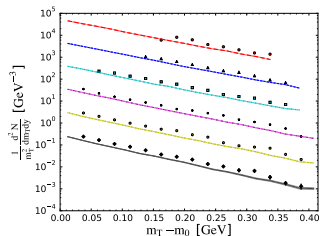


- ▶ Overestimation for high number of participants, more so for  $K^-$  than  $K^+$
- ▶ Similar to IQMD

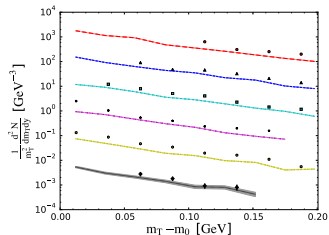
A. Forster et al. In: *J. Phys.*  
G31.6 (2005), S693–S700. arXiv:  
nucl-ex/0411045  
[nucl-ex]

# Strangeness production in ArKCl at $E_{\text{kin}} = 1.76A \text{ GeV}$

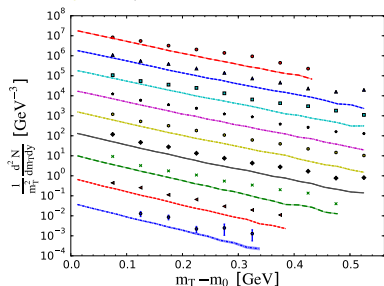
$\color{red}\blacktriangle$   $K^+ \times 10^4, y_0 \in [-0.25, -0.15]$      $\color{magenta}\blacktriangle$   $K^+ \times 10^2, y_0 \in [-0.55, -0.45]$   
 $\color{blue}\blacktriangle$   $K^+ \times 10^4, y_0 \in [-0.35, -0.25]$      $\color{cyan}\blacktriangle$   $K^+ \times 10^1, y_0 \in [-0.65, -0.55]$   
 $\color{green}\blacktriangle$   $K^+ \times 10^1, y_0 \in [-0.45, -0.35]$      $\color{black}\blacktriangle$   $K^+ \times 10^0, y_0 \in [-0.75, -0.65]$



$\color{red}\blacktriangle$   $K^- \times 10^3, y_0 \in [-0.25, -0.15]$      $\color{magenta}\blacktriangle$   $K^- \times 10^2, y_0 \in [-0.55, -0.45]$   
 $\color{blue}\blacktriangle$   $K^- \times 10^1, y_0 \in [-0.35, -0.25]$      $\color{cyan}\blacktriangle$   $K^- \times 10^1, y_0 \in [-0.65, -0.55]$   
 $\color{green}\blacktriangle$   $K^- \times 10^3, y_0 \in [-0.45, -0.35]$      $\color{black}\blacktriangle$   $K^- \times 10^0, y_0 \in [-0.75, -0.65]$



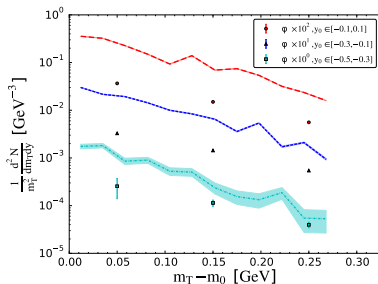
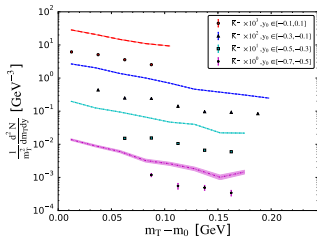
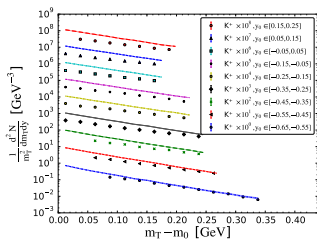
$\color{red}\blacktriangle$   $\Lambda \times 10^8, y_0 \in [0.05, 0.15]$      $\color{black}\blacktriangle$   $\Lambda \times 10^3, y_0 \in [-0.45, -0.35]$   
 $\color{blue}\blacktriangle$   $\Lambda \times 10^7, y_0 \in [-0.05, 0.05]$      $\color{green}\blacktriangle$   $\Lambda \times 10^2, y_0 \in [-0.55, -0.45]$   
 $\color{cyan}\blacktriangle$   $\Lambda \times 10^6, y_0 \in [-0.15, -0.05]$      $\color{red}\blacktriangle$   $\Lambda \times 10^1, y_0 \in [-0.65, -0.55]$   
 $\color{magenta}\blacktriangle$   $\Lambda \times 10^5, y_0 \in [-0.25, -0.15]$      $\color{blue}\blacktriangle$   $\Lambda \times 10^0, y_0 \in [-0.75, -0.65]$   
 $\color{yellow}\blacktriangle$   $\Lambda \times 10^4, y_0 \in [-0.35, -0.25]$



- ▶ HADES ArKCL compared to SMASH CaCa
- ▶ Kaons slightly and  $\Lambda$  significantly underestimated with wrong slope

G. Agakishiev et al. In: *Eur. Phys. J. A*47 (2011), p. 21. arXiv: 1010.1675 [nucl-ex]

# Strangeness production in AuAu at $E_{\text{kin}} = 1.23$ GeV

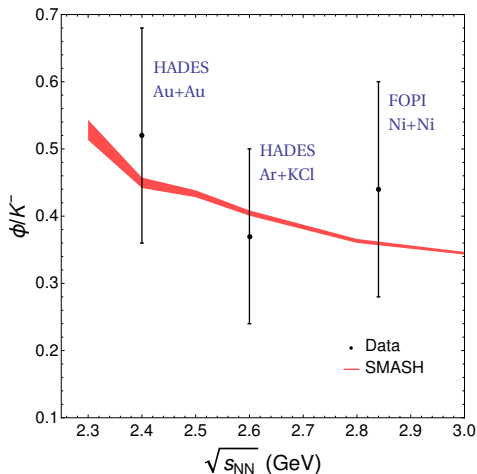


- ▶ HADES AuAu compared to SMASH
- ▶  $K^+$  overestimated at midrapidities,  $K^-$  and  $\phi$  at all rapidities

J. Adamczewski-Musch et al. In: *Phys. Lett. B* 778 (2018), pp. 403–407.

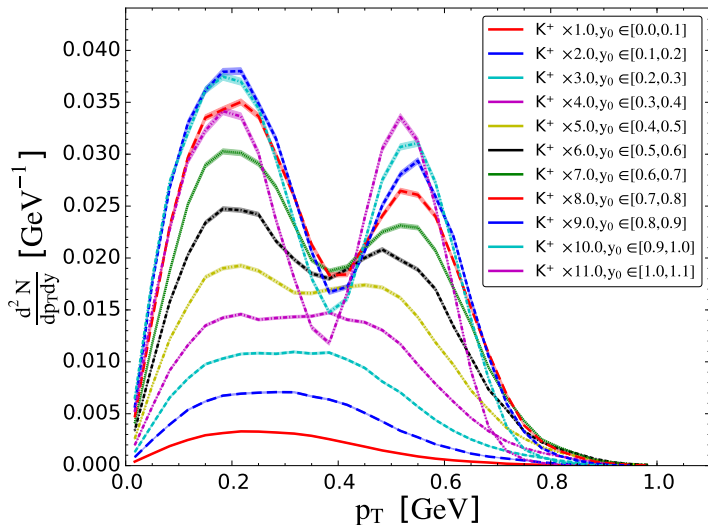
arXiv: 1703.08418 [nucl-ex]

## $\phi/K^-$ ratio in AuAu



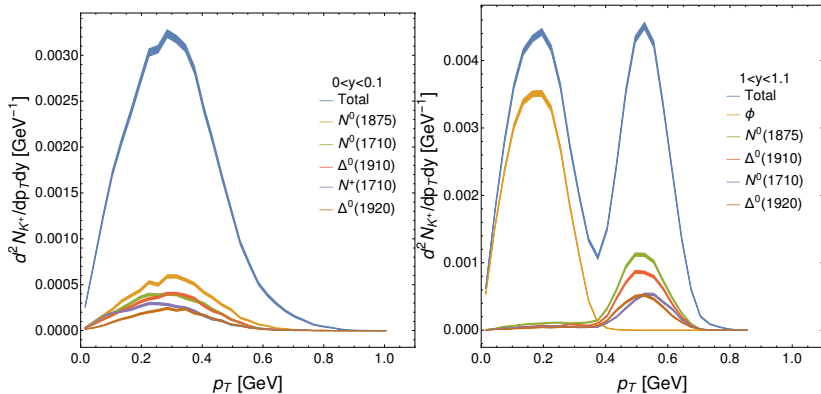
- ▶ SMASH AuAu compared to several systems
- ▶ Ratio well reproduced

# $K^+$ production in $\pi^- C$ at $E_{\text{kin}} = 1.7 \text{ GeV}$



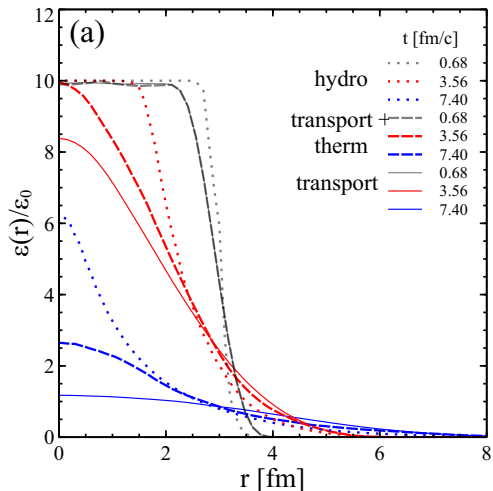
- ▶ Measured by HADES (not yet public)
- ▶ SMASH: Unphysical second peak at high rapidity

# Contribution of resonances to pion beam



- ▶ Second peak from heavy resonances
- ▶ Not enough particles in final state of  $B^*$  decay?

# Particle production with forced thermalization

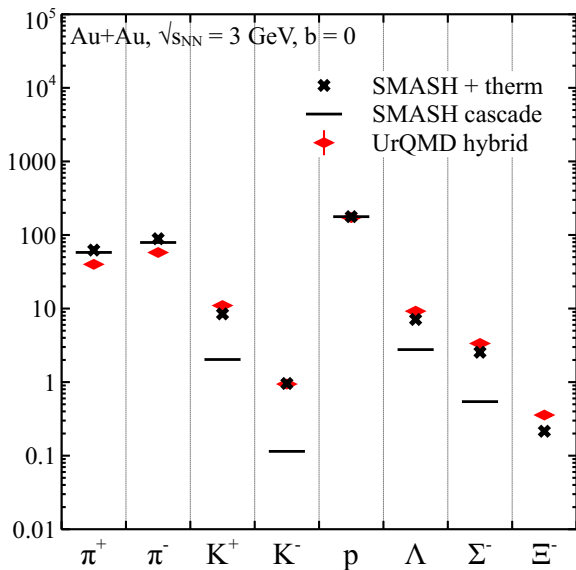


- ▶ Force thermalization in regions of high density by resampling particles
- ▶ Local, not global
- ▶ Effective many-particle scattering
- ▶ Similar to hydro-hybrid model, but more dynamic

D. Oliinychenko et al. In: *J. Phys. G44.3* (2017), p. 034001. arXiv: 1609.01087 [nucl-th]



# Forced canonical thermalization vs. cascade + hydro

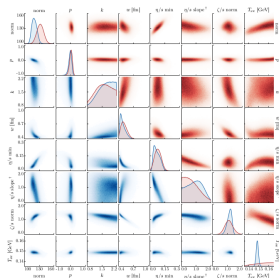


- Strangeness enhancement comparable to hybrid approach

# Conclusion

- ▶ Elementary  $K$ ,  $\Lambda$ ,  $\Sigma$ ,  $\phi$  production at low energies can be reasonably modeled via resonances
- ▶ Dilepton data for p Nb constrains  $\phi$  production
- ▶ Strangeness production at midrapidity in large systems overestimated  
⇒ missing in medium-effects? ( $KN$  potentials, in-medium cross section/width)
- ▶ Pion beam very sensitive to resonance properties
- ▶ Not enough pions in final state of  $B^*$  decay?
- ▶ Effective many-particle interactions by forced thermalization enhance strangeness production

# Outlook

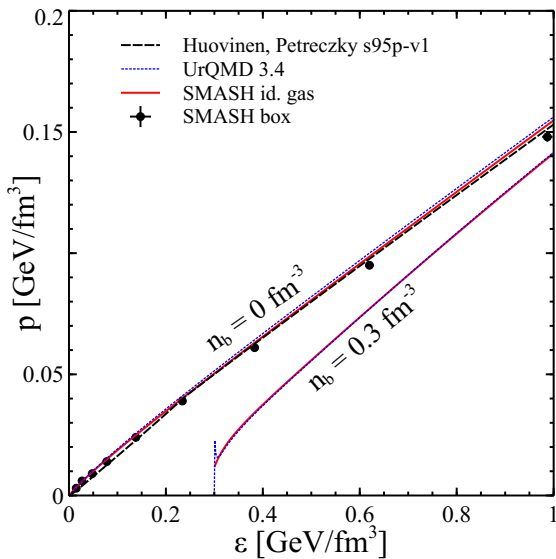


J. E. Bernhard et al. In: *Phys. Rev. C* 94.2 (2016), p. 024907. arXiv: 1605.03954 [nucl-th]

- ▶ Future work: use Bayesian modeling for tuning branching ratios
- ▶ Higher energies require string fragmentation and additive quark model
- ▶ More sophisticated hyperon-nucleon potential<sup>4</sup> planned

<sup>4</sup>S. Petschauer et al. *Eur. Phys. J. A* 52.1 (2016), p. 15. arXiv: 1507.08808 [nucl-th].

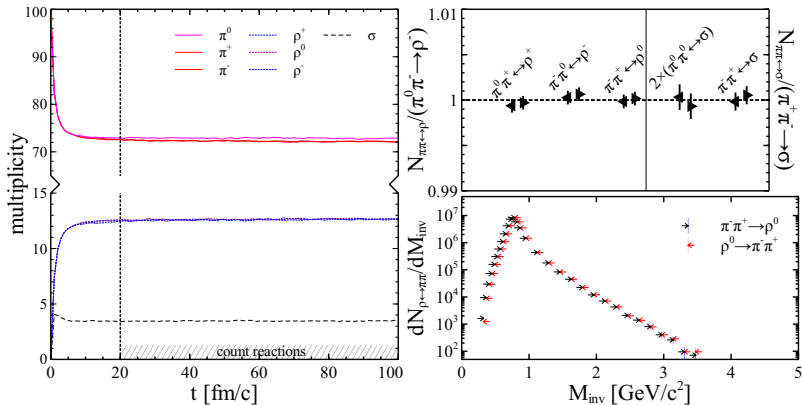
## Equation of state



- ▶ SMASH hadron gas vs. UrQMD vs. lattice QCD

# Test detailed balance in a $\pi\rho\sigma$ box

- ▶ Initialize periodic box with pions
- ▶ Wait until it equilibrates
- ▶ Count and compare number of forward and backward reactions



# Comparison to exact solution of Boltzmann equation

- ▶ Boltzmann equation in curved spacetime

$$p^\mu \frac{\partial f(x, p)}{\partial x^\mu} + p_\lambda p^\mu \Gamma_{\mu i}^\lambda \frac{\partial f(x, p)}{\partial p_i} = C(f) \quad (6)$$

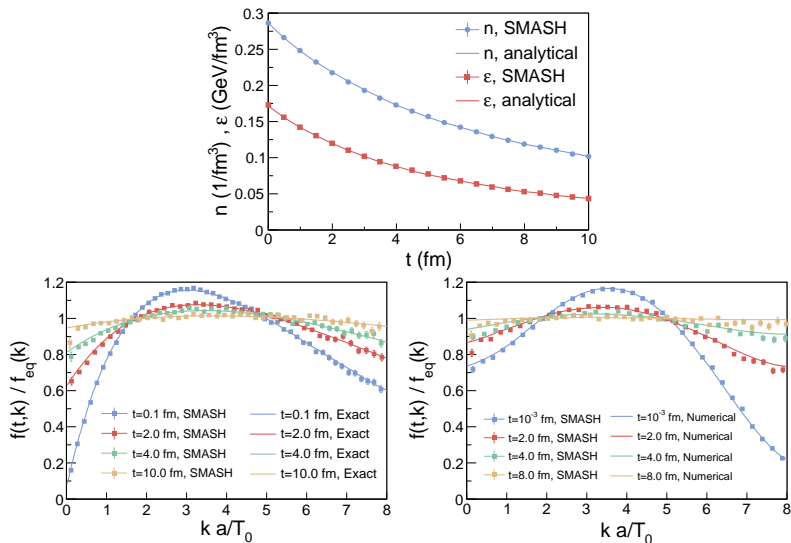
- ▶ Expanding universe with Friedmann-Lemaître-Robertson-Walker metric

$$ds^2 = dt^2 - a(t)^2(dx^2 + dy^2 + dz^2) \quad (7)$$

- ▶ Infinite gas of massless particles with constant elastic cross section
- ▶ An analytic solution exists

D. Bazow et al. In: *Phys. Rev. D* 94.12 (2016), p. 125006. arXiv: 1607.05245 [hep-ph]

# Comparison to exact solution of Boltzmann equation



J. Tindall et al. In: *Phys. Lett. B*770 (2017), pp. 532–538. arXiv: 1612.06436 [hep-ph]

# Resonances in SMASH

- ▶ Breit-Wigner spectral function

$$\mathcal{A}(m) = \frac{2N}{\pi} \frac{m^2 \Gamma(m)}{(m^2 - m_0^2)^2 + m^2 \Gamma(m)^2} \quad (8)$$

- ▶ Manley-Saleski ansatz<sup>5</sup> for off-shell decay branching ratio

$$\Gamma_{R \rightarrow ab} = \Gamma_{R \rightarrow ab}^0 \frac{\rho_{ab}(m)}{\rho_{ab}(m_0)} \quad (9)$$

$$\rho_{ab}(m) = \int dm_a dm_b \mathcal{A}_a(m_a) \mathcal{A}_b(m_b) \frac{p_f}{m} B_L^2(p_f R) \mathcal{F}_{ab}^2(m) \quad (10)$$

- ▶ Post form factor<sup>6</sup> for unstable decay products

$$\mathcal{F}_{ab}(m) = \frac{\lambda^4 + (s_0 - m_0^2)^2/4}{\lambda^4 + (m^2 - (s_0 + m_0^2)/2)^2} \quad (11)$$

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<sup>5</sup>D. M. Manley et al. *Phys. Rev. D* 45 (1992), pp. 4002–4033.

<sup>6</sup>M. Post et al. *Nucl. Phys. A* 741 (2004), pp. 81–148. arXiv: nucl-th/0309085.



## Cross sections in SMASH

- ▶ 2 → 1 resonance production

$$\sigma_{ab \rightarrow R}(s) = \frac{2J_R + 1}{(2J_a + 1)(2J_b + 1)} S_{ab} \frac{2\pi^2}{p_i^2} \Gamma_{ab \rightarrow R}(s) \mathcal{A}(\sqrt{s}) \quad (12)$$

- ▶ 2 → 2 resonance production

$$\begin{aligned} \sigma_{ab \rightarrow Rc}(s) &= \sum_l (C_{ab}(l) C_{Rc}(l))^2 \frac{|M|_{ab \rightarrow Rc}^2(s, l)}{16\pi} \\ &\times \frac{(2J_R + 1)(2J_c + 1)}{s p_i} \frac{4\pi}{p_{\text{cm}}^i} \int dm \mathcal{A}(m) p_f \end{aligned} \quad (13)$$

- ▶ Can model most cross sections like this, some have to be parametrized instead

# Modifying particle species and decay modes in SMASH

```

# NAME MASS[GEV] WIDTH[GEV] PDG
##### unflavored mesons #####
π          0.138  7.7e-9    111    211
η          0.548  1.31e-6   221
σ          0.800  0.400    9000221
ρ          0.776  0.149    113    213
ω          0.783  8.49e-3   223
η'         0.958  1.98e-4   331
f0(980)  0.990  0.070    9010221
...

##### N baryons #####
N          0.938  0          2112    2212
N(1440)   1.462  0.350    12112   12212
N(1520)   1.515  0.115    1214    2124
N(1535)   1.535  0.150    22112   22212
N(1650)   1.655  0.140    32112   32212
N(1675)   1.675  0.150    2116    2216

N(1440)
0.60  1  N π
0.24  1  Δ π
0.16  0  N σ

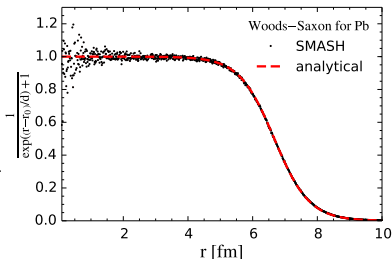
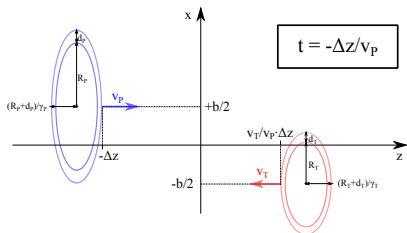
N(1520)
0.65  2  N π
0.10  0  Δ π
0.10  2  Δ π
0.15  0  N ρ

N(1535)
0.50  0  N π
0.40  0  N η
0.06  0  N(1440) π
0.02  0  N ρ
0.02  0  N σ

N(1650)
0.69  0  N π
0.10  0  N η
0.08  0  Λ K
0.01  0  N ρ
0.12  2  N ρ

```

# Nucleus collision



- ▶ Woods-Saxon distribution

$$\frac{dN}{dr} = \frac{\rho_0}{\exp\left(\frac{r-r_0}{d}\right) + 1} \quad (14)$$

- ▶ Deformed nuclei

# Fermi motion

- ▶ Local density approximation

$$p_F(\vec{r}) = \hbar c \sqrt[3]{3\pi^2 \rho(\vec{r})} \quad (15)$$

- ▶ Sample momenta  $p_i$  from Fermi sphere in nucleus rest frame
- ▶ Boost Fermi momenta to calculation frame

$$p'_{iz} = \gamma(p_{iz} + \beta E_i) = \gamma p_{iz} + \frac{p_A}{A} \quad (16)$$

- ▶ Without potentials:  
Ignore Fermi motion for propagation until first interaction

## Skyrme and symmetry potential

$$U = a \frac{\rho}{\rho_0} + b \left( \frac{\rho}{\rho_0} \right)^\tau + 2S_{\text{pot}} \frac{\rho_p - \rho_n}{\rho_0} \frac{I_3}{I} \quad (17)$$

$$H_i = \sqrt{\vec{p}_i^2 + m_i^2} + U(\vec{r}_i) \quad (18)$$

where

$$a = -209.2 \text{ MeV} \quad b = 156.4 \text{ MeV} \quad c = 1.35 \quad S_{\text{pot}} = 18 \text{ MeV} \quad (19)$$

- ▶ Nucleus-nucleus only
- ▶ Soft potential with incompressibility  $K_0 = 240 \text{ MeV}$
- ▶ Makes nucleus stable despite Fermi motion

## Pauli blocking

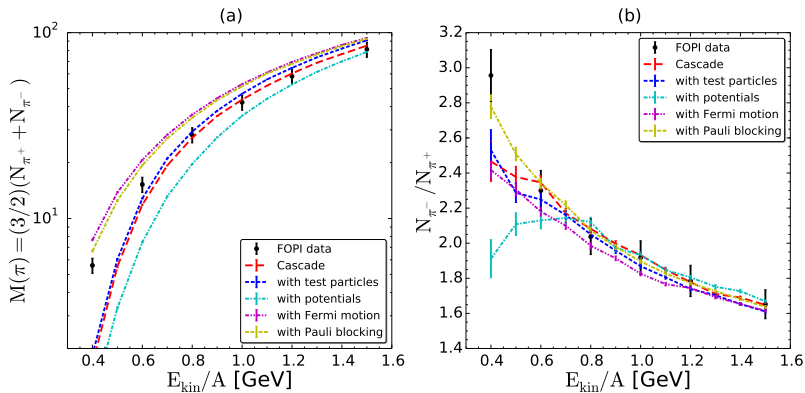
- ▶ Collision integral in Boltzmann-Uehling-Uhlenbeck equation

$$C(f) = \frac{1}{2} \int \frac{d^3 p_2}{E_2} \frac{d^3 p'_1}{E_1} \frac{d^3 p'_2}{E'_2} W(p_1, p_2, p'_1, p'_2) \quad (20)$$
$$\times (f'_1 f'_2 (1 \pm f)(1 \pm f_2) - f f_2 (1 \pm f'_1)(1 \pm f'_2))$$

- ▶ Pauli blocking and Bose enhancement
- ▶ Reject reactions with probability

$$P = 1 - \prod_{\text{final state fermion } i} (1 - f_i) \quad (21)$$

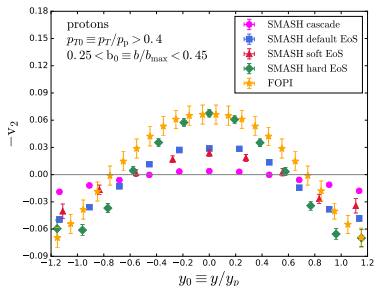
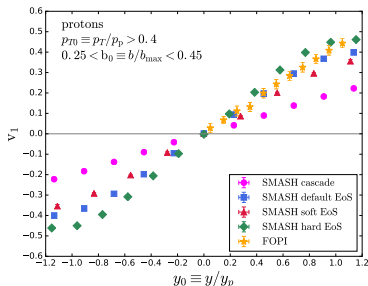
# Pion production in central gold-gold collisions



W. Reisdorf et al. In: *Nucl. Phys. A781* (2007), pp. 459–508. arXiv: [nuc1-ex/0610025](https://arxiv.org/abs/nuc1-ex/0610025)

- ▶ Yield overestimated, but ratio reproduced
- ▶ FOPI pion multiplicities sensitive to nucleonic potentials and Pauli blocking

# Flow in gold-gold collisions at $E_{\text{kin}} = 1A \text{ GeV}$



- ▶ Sensitive to parameters of nucleonic potentials
- ▶ Hard equation of state reproduces data best

W. Reisdorf et al. In: *Nucl. Phys. A876* (2012), pp. 1–60. arXiv: 1112.3180



## Analysis suite

- ▶ Extensive collection of tests for the model
- ▶ Fully automated, checked for every SMASH release
- ▶ Consistency checks:
  - ▶ Detailed balance: Check equilibrium in thermalized box
  - ▶ Elastic box: Comparison to ideal gas expectations
- ▶ Comparison to experimental data:
  - ▶ Angular distributions:  $pp$ ,  $np$  at  $\sqrt{s} \approx 2.5$  GeV
  - ▶ Elementary cross sections:  $NN$ ,  $\pi N$ ,  $\pi\pi$ ,  $KN$
  - ▶ FOPI pions:  $\pi$  multiplicities for  $E_{\text{kin}} = 0.4 - 1.5A$  GeV
  - ▶ Spectra:  $dN/dy$  and  $dN/dm_T$  for  $\pi$  and  $p$  in AuAu at  $E_{\text{kin}} = 1.5A$  GeV and CC at  $E_{\text{kin}} \in \{1, 2\}A$  GeV
- ▶ Of interest to other models targeting NICA/FAIR energies?
- ▶ Systematic comparison of models?