

## Exercise Sheet 3 (Solutions)

### Task 3.1: Deformed nucleus

For a deformed nucleus with a surface given by the multipole expansion with coefficients  $\alpha_{\ell m}$ , calculate:

1. The nuclear volume in second order of  $\alpha_{\lambda\mu}$ . How can we ensure that it is unaffected by deformations from a sphere?

**Solution:** The boundary of the nucleus's surface is given by

$$R(t, \vartheta, \varphi) = R_0 \left[ 1 + \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \alpha_{\ell m}(t) Y_{\ell m}(\vartheta, \varphi) \right]. \quad (1)$$

The volume is (neglecting terms of order  $\alpha_{\ell m}^3$  and  $\alpha_{\ell m}^4$ )

$$\begin{aligned} V &= \int_{\Omega} d^2 f \int_0^{R(t, \vartheta, \varphi)} dr r^2 = \frac{R_0^3}{3} \int_{\Omega} d^2 f \left[ 1 + \sum_{\ell, m} \alpha_{\ell m}(t) Y_{\ell m}(\vartheta, \varphi) \right]^3 \\ &= \frac{R_0^3}{3} \int_{\Omega} d^2 f \left[ 1 + 3 \left( \sum_{\ell, m} \alpha_{\ell m}(t) Y_{\ell m}(\vartheta, \varphi) \right) + 3 \left( \sum_{\ell, m} \alpha_{\ell m} Y_{\ell m}(\vartheta, \varphi) \right) \left( \sum_{\ell', m'} \alpha_{\ell' m'} Y_{\ell' m'}(\vartheta, \varphi) \right) \right] \\ &= \frac{4\pi R_0^3}{3} + R_0^3 \left[ \sum_{\ell, m} \int_{\Omega} d^2 f \alpha_{\ell m}(t) Y_{\ell m}(\vartheta, \varphi) + \sum_{\ell, m} \sum_{\ell', m'} \alpha_{\ell m} \alpha_{\ell' m'}^* \int_{\Omega} d^2 f Y_{\ell m}(\vartheta, \varphi) Y_{\ell' m'}^*(\vartheta, \varphi) \right]. \end{aligned} \quad (2)$$

In the final step we have interchanged the integrals with the sums and used the fact that  $R(t, \vartheta, \varphi) = R^*(t, \vartheta, \varphi)$ , from which also follows that

$$\alpha_{\ell m}^*(t) = \int_{\Omega} d^2 f Y_{\ell m}(\vartheta, \varphi) R(t, \vartheta, \varphi) = (-1)^m \int_{\Omega} d^2 f Y_{\ell, -m}^*(\vartheta, \varphi) R(t, \vartheta, \varphi) = (-1)^m \alpha_{\ell, -m}(t), \quad (3)$$

where we have used that  $Y_{\ell m} = (-1)^m Y_{\ell, -m}^*$ .

For integrating over the angles we use the orthonormality of the spherical harmonics. For the first sum we use  $Y_{00}^* = 1/\sqrt{4\pi} = \text{const}$ :

$$\int_{\Omega} d^2 f \alpha_{\ell m}(t) Y_{\ell m}(\vartheta, \varphi) = \sqrt{4\pi} \int_{\Omega} d^2 f \alpha_{\ell m}(t) Y_{\ell m}(\vartheta, \varphi) Y_{00}^* = \sqrt{4\pi} \delta_{\ell 0} \delta_{m 0}. \quad (4)$$

In the second sum we can simply apply the orthonormality of the spherical harmonics and do the sum over  $(\ell', m')$ , finally leading to

$$V = \frac{4\pi R_0^3}{3} = \frac{4\pi R_0^3}{3} + R_0^3 \left( \alpha_{00} \sqrt{4\pi} + \sum_{\ell, m} |\alpha_{\ell m}(t)|^2 \right).$$

So up to contributions of order  $\mathcal{O}(\alpha_{\ell m}^3)$  the volume stays unchanged if one chooses

$$\alpha_{00}(t) = -\frac{1}{\sqrt{4\pi}} \sum_{\ell, m} |\alpha_{\ell m}(t)|^2. \quad (5)$$

2. The center of mass vector in first order of  $\alpha_{\lambda\mu}$ . What is the physical interpretation?

**Solution:** The center of mass is defined by

$$\vec{R}_{\text{cm}} = \frac{\int_V d^3 r \vec{r} \rho(\vec{r})}{\int_V d^3 r \rho(\vec{r})} = \frac{\int_V d^3 r \vec{r}}{V}.$$

In the last step we have used that  $\rho(\vec{r}) = M/V = 3m/(4\pi R_0^3) = \text{const}$ . To evaluate the numerator, we note that

$$\begin{aligned} Y_{11}(\vartheta, \varphi) &= \sqrt{\frac{-3}{8\pi}} \sin \vartheta \exp(i\varphi) = -\sqrt{\frac{3}{8\pi}} \sin \vartheta (\cos \varphi + i \sin \varphi) = -Y_{1,-1}^*, \\ Y_{10} &= \sqrt{\frac{3}{4\pi}} \cos \vartheta = Y_{10}^*. \end{aligned} \quad (6)$$

Now

$$\vec{x} = r \begin{pmatrix} \sin \vartheta \cos \varphi \\ \sin \vartheta \sin \varphi \\ \cos \vartheta \end{pmatrix}. \quad (7)$$

So we have

$$x_1 + ix_2 = \sqrt{\frac{8\pi}{3}} r Y_{1,-1}^*, \quad x_3 = \sqrt{\frac{4\pi}{3}} r Y_{10}^*, \quad (8)$$

and thus

$$\begin{aligned} \int_V d^3 r \begin{pmatrix} x_1 + ix_2 \\ x_3 \end{pmatrix} &= \sqrt{\frac{4\pi}{3}} \int_{\Omega} d^2 f \int_0^{R(t,\vartheta,\varphi)} dr r^3 \begin{pmatrix} \sqrt{2} Y_{1,-1}^*(\vartheta, \varphi) \\ Y_{10}^*(\vartheta, \varphi) \end{pmatrix} \\ &= \sqrt{\frac{\pi}{12}} \int_{\Omega} d^2 f R^4(t, \vartheta, \varphi) \begin{pmatrix} \sqrt{2} Y_{1,-1}^*(\vartheta, \varphi) \\ Y_{10}^*(\vartheta, \varphi) \end{pmatrix} \\ &= \sqrt{\frac{\pi}{12}} R_0^4 \int_{\Omega} d^2 f \left[ 1 + \sum_{\ell m} \alpha_{\ell m} Y_{\ell m}(\vartheta, \varphi) \right]^4 \begin{pmatrix} \sqrt{2} Y_{1,-1}^*(\vartheta, \varphi) \\ Y_{10}^*(\vartheta, \varphi) \end{pmatrix} \\ &= \sqrt{\frac{\pi}{12}} R_0^4 \int_{\Omega} d^2 f \left[ 1 + 4 \sum_{\ell m} \alpha_{\ell m} Y_{\ell m}(\vartheta, \varphi) + \mathcal{O}(\alpha_{\ell m}^2) \right] \begin{pmatrix} \sqrt{2} Y_{1,-1}^*(\vartheta, \varphi) \\ Y_{10}^*(\vartheta, \varphi) \end{pmatrix} \\ &= \sqrt{\frac{4\pi}{3}} R_0^4 \int_{\Omega} d^2 f \sum_{\ell m} \alpha_{\ell m} Y_{\ell m}(\vartheta, \varphi) \begin{pmatrix} \sqrt{2} Y_{1,-1}^*(\vartheta, \varphi) \\ Y_{10}^*(\vartheta, \varphi) \end{pmatrix} + \mathcal{O}(\alpha_{\ell m}^2) \\ &= \sqrt{\frac{4\pi}{3}} R_0^4 \begin{pmatrix} \sqrt{2} \alpha_{11} \\ \alpha_{10} \end{pmatrix}. \end{aligned} \quad (9)$$

With this

$$\left\langle \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \right\rangle = \sqrt{\frac{3}{4\pi}} R_0 \begin{pmatrix} (\alpha_{11} + \alpha_{11}^*)/\sqrt{2} \\ (\alpha_{11} - \alpha_{11}^*)/(i\sqrt{2}) \\ \alpha_{10} \end{pmatrix}. \quad (10)$$

This shows that in leading order  $\mathcal{O}(\alpha_{\ell m})$  the shift of the center of mass is determined by the dipole contribution of the deformation.

### Task 3.2: Uranium nucleus

In Cartesian coordinates, the radius of a uranium-238 nucleus with a quadrupole deformation is given by

$$R(x, y, z) = R_0 \left( 1 + \sum_{i,j \in \{x,y,z\}} \alpha_{ij} x_i x_j \right) \quad (11)$$

where

$$\alpha_{ij} = \begin{pmatrix} 0.0974076 & -0.03602963 & 0.08174144 \\ -0.03602963 & -0.06457203 & -0.01736175 \\ 0.08174144 & -0.01736175 & -0.03283557 \end{pmatrix}_{ij}. \quad (12)$$

1. Calculate the eigenvalues of this matrix. What do they tell you about the symmetries of the nucleus?

**Solution:** Using Mathematica one finds the eigenvalues,

$$\lambda_1 = \lambda_2 = -0.0722247, \quad \lambda_3 = 0.144449 \quad (13)$$

and eigenvectors

$$\vec{e}_1 = \begin{pmatrix} -0.0365068 \\ 0.88428 \\ 0.465529 \end{pmatrix}, \quad \vec{e}_2 = \begin{pmatrix} -0.464517 \\ -0.427471 \\ 0.77556 \end{pmatrix}, \quad \begin{pmatrix} 0.884812 \\ -0.187933 \\ 0.426368 \end{pmatrix}, \quad (14)$$

chosen such that they form a right-handed Cartesian basis. The shape of the nucleus is an axially symmetric ellipsoid with the symmetry axis in direction  $\vec{e}_3$  the long axis, because  $\lambda_1 = \lambda_2 < 0 < \lambda_3$ . Thus  $^{238}\text{U}$  is a *prolate* nucleus.

2. Calculate the deformation parameters  $a_0$  and  $a_2$ . Make sure to choose your major axes such that you exploit any symmetries.

**Solution:** The multipole deformation coefficients in terms of the spherical harmonics,  $\tilde{\alpha}_{\ell m}$  with  $\ell = 2$  (quadrupole), are related to the Cartesian coefficients in the principal-axes basis (i.e., the eigenbasis (14)),  $(\alpha'_{jk}) = \text{diag}(\lambda_1, \lambda_2, \lambda_3)$  by

$$a_0 = \tilde{\alpha}_{20} = \sqrt{\frac{4\pi}{45}}(2\lambda_3 - \lambda_1 - \lambda_2) = 0.229, \quad a_2 = \alpha_{22} = \sqrt{\frac{2\pi}{15}}(\lambda_1 - \lambda_2) = 0.$$

$a_0$  describes the stretch along the  $\vec{e}_3$  principal axis with respect to the  $\vec{e}_1$  and  $\vec{e}_2$  principal axes, and  $a_2$  the stretch along  $\vec{e}_1$  with respect to the  $\vec{e}_2$  direction, which is 0 in our case, because the deformation is symmetric in the plane perpendicular to  $\vec{e}_3$ .

3. Measuring these deformation parameters is not trivial, since the body-fixed frame is not usually accessible in experiment. Read the first 3 pages of [this Nature paper](#) [A<sup>+</sup>24] (also accessible in the OLAT) and summarize: what are the differences between high and low-energy collisions, when it comes to measuring the excitations of  $^{238}\text{U}$ ? How can we understand the effect that the nuclear shape has on the observables  $v_2$  and  $\delta p_T$ ?

**Solutions:** At low collision energies the duration of the interaction in the collision,  $\tau_{\text{int}}$  is much longer than the rotation-time scale  $\tau_{\text{rot}} = I/\hbar$ , i.e., in such experiments the rotation-energy levels can be measured, which gives access to moments of inertia and thus the mass distribution within the nuclei.

At high collision energies  $\tau_{\text{int}} \ll \tau_{\text{rot}}$  and in central collisions rotational states are not excited. The prolate nuclei can hit each other either in a “tip-tip” or “body-body configuration” (see Fig. 1d in the paper). Now, in a heavy-ion collision at ultrarelativistic energies a collectively flowing medium, behaving like a nearly perfect fluid, is formed, consisting of the quarks and gluons produced in the collision. Now the initial shape of this “fireball” produced in a tip-tip collision is pretty circular with than the one of a fireball produced in a body-body collision, where the initial shape is elliptical with a larger area than the former one. Due to the smaller size of the tip-tip-produced fireballs the pressure gradients are large than in those of the body-body-produced ones. Due to the collective fluid-like behavior that implies that the radial flow (i.e., the momentum components of the observed particles perpendicular to the beam direction,  $p_T$ ) are larger in the tip-tip than in the body-body collisions.

On the other hand the eccentricity of the initial fireball state in configuration space translates in a larger asymmetry of the  $p_T$  distribution, which is measured in the so-called elliptic-flow parameter  $v_2$ , which is the order-2 coefficient in a Fourier expansion of the  $p_T$  angular distribution in the transverse plane of the reaction.

Thus in a tip-tip collision thus one expects to find a large radial flow with small  $v_2$ , while in the body-body collision a smaller radial flow with a large  $v_2$ . Thus correlating the radial flow with the  $v_2$  of the observed transverse-momentum spectra, together with model calculations simulating the time-evolution of the entire collision allows for parametrizing the nuclear shape.

## References

- [A<sup>+</sup>24] M. I. Abdulhamid, et al., Imaging shapes of atomic nuclei in high-energy nuclear collisions, *Nature* **635**, 67 (2024).  
URL <https://doi.org/10.1038/s41586-024-08097-2>