

# Selfconsistent Renormalization Schemes for Thermodynamic Potentials

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January 30, 2004

# Outline

Motivation

$\Phi$ -derivable Approximations

Definition of the functional

Renormalization at zero and finite temperature

Numerical Results

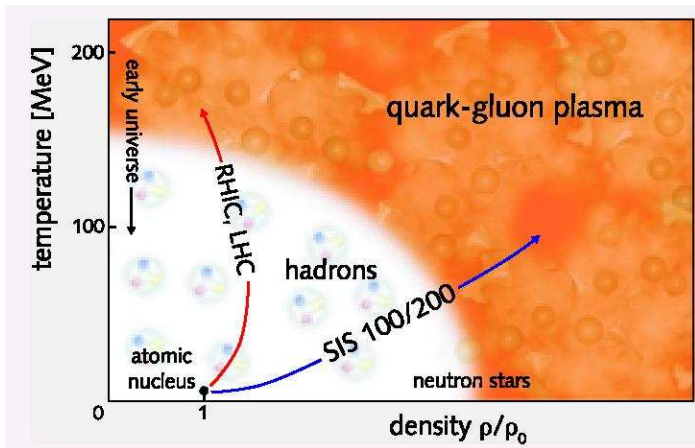
Symmetries and conservation laws

Toy-model for dilepton rates

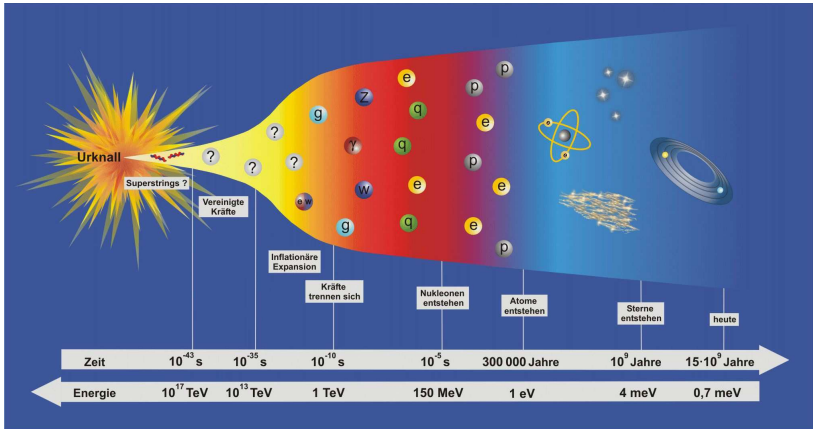
Conclusions and Outlook

Bibliography

# Heavy Ion Collisions and Phase Transitions



# History of our Universe

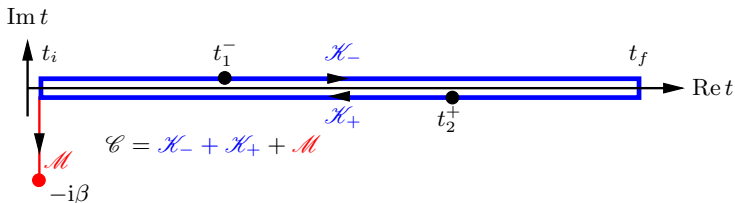


## Schwinger-Keldysh time contour

- ▶ Aim: Calculate expectation values:

$$\langle \mathbf{O}(t) \rangle = \text{Tr}[\rho \mathbf{O}(t)], \quad \text{Equilibrium: } \rho = \exp(-\beta \mathbf{H}) / Z$$

- ▶ Introduce extended closed time-path, invented by Schwinger and Keldysh



- ▶ Green's function on the contour:

$$iG_{\mathcal{C}}(x_1, x_2) = \langle \mathcal{T}_{\mathcal{C}} \phi(x_1) \phi(x_2) \rangle_{\beta}$$

## Local and bilocal sources

- ▶ Generating functional for (disconnected) Green's functions

$$Z[J, B] = N \int D\phi \exp \left[ iS[\phi] + i \{J_1 \phi_1\}_1 + \frac{i}{2} \{B_{12} \phi_1 \phi_2\}_{12} \right]$$

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$$W[J, B] = -i \ln Z[J, B], \quad \frac{\delta W}{\delta J_1} = \varphi_1, \quad \frac{\delta W}{\delta B_{12}} = \frac{1}{2} (G_{12} + \varphi_1 \varphi_2)$$

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- ▶ Legendre transform: 2PI generating functional

$$\Gamma[\varphi, G] = W[J, B] - \{J_1 \varphi_1\}_1 - \frac{1}{2} \{(\varphi_1 \varphi_2 + iG_{12}) B_{12}\}_{12}$$



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- ▶ Saddle point expansion of the path integral

$$\Gamma[\varphi, G] = S[\varphi] + \frac{i}{2} \text{Tr} \ln(\beta^2 G^{-1}) + \frac{i}{2} \left\{ D_{12}^{-1} (G_{12} - D_{12}) \right\}_{12} + \Phi[\varphi, G]$$

with  $D_{12}^{-1} = \frac{\delta^2 S[\varphi]}{\delta \varphi_1 \delta \varphi_2}$

## Equations of Motion

- ▶ Want to find  $\varphi$  and  $G$  at **vanishing** external sources  $\Rightarrow$  Equations of motion:

$$\frac{\delta\Gamma}{\delta\varphi_1} = j_1 + \{B_{12}\varphi_2\}_2 \stackrel{!}{=} 0, \quad \frac{\delta\Gamma}{\delta G_{12}} = -\frac{i}{2}B_{12} \stackrel{!}{=} 0$$

- ▶ Second equation:

$$D_{12}^{-1} - G_{12}^{-1} = 2i\frac{\delta\Phi}{\delta G_{12}} = \Sigma_{12}$$

- ▶  $\Phi$  generates **skeleton diagrams** for self-energy
- ▶  $\Phi$  must be **2-particle irreducible** (2PI)
- ▶ Saddle-point expansion of the path integral:  $\Phi$  diagrams  $\geq 2$  loops

# "Diagrammar"

Simple  $\phi^4$  model

$$\mathcal{L} = \frac{1}{2}(\partial_\mu\phi)(\partial_\mu\phi) - \frac{m}{2}\phi^2 - \frac{\lambda}{2}\phi^4, \quad S[\phi] = \{\mathcal{L}_1\}_1$$

The functional:

$$i\Gamma[\varphi, G] = iS[\varphi] + \text{[diagrams]}$$

$i\Phi$

Field equation of motion:

$$i(\square + m^2)\varphi = \text{[diagrams]}$$

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The functional:

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The diagrams shown are: a single loop; a tadpole diagram with two external legs and a self-energy loop; a pair of bubbles; a bubble with a self-energy loop; a bubble with a self-energy loop and a tadpole; and a bubble with a self-energy loop and a tadpole with a self-energy loop. A bracket under the last three diagrams is labeled  $i\Phi$ .

Self energy:

$$-i\Sigma_{12} = \text{[Diagrams]}$$

The diagrams shown are: a tadpole diagram with a self-energy loop; a tadpole diagram with a self-energy loop and a tadpole; and a tadpole diagram with a self-energy loop and a tadpole with a self-energy loop.

## Why should one use the $\Phi$ functional

- ▶ Provides a self-consistent set of equations of motion
- ▶ Approximations yield equations, which
  - ▶ lead to **conserved** expectation values of **Noether currents**

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- ▶ To show now: Such approximations are renormalizable with **local, temperature-independent** counterterms

## The problem

- ▶ Diagrams to determine self-energy or  $\Gamma$  are **UV-divergent**
- ▶ Parameters (masses, couplings etc.) should be **fixed in vacuum**
- ▶ in-medium dependence from dynamics alone!

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- ▶ Additional problem **at finite temperature**: Renormalization parts should be **temperature independent**
- ▶ Last but not least: Must be feasible for **numerical calculations**

# Example: Tadpole in $\phi^4$ -model

$$i\Phi = \text{[diagram: two circles joined at a central dot]} \Rightarrow -i\Sigma = \text{[diagram: a circle with a dot on its bottom edge connected to a horizontal line]}$$

Temperature dependent mass

$$M^2 = m^2 + \Sigma_{\text{ren}}$$

## Example: Tadpole in $\phi^4$ -model

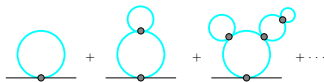
$$i\Phi = \text{Diagram} \Rightarrow -i\Sigma = \text{Diagram}$$

The diagram on the left shows two green circles connected at a central vertex. The diagram on the right shows a single green circle with a horizontal line extending from its bottom vertex.

Eq. of motion  $\Rightarrow$  Resummation of  
 “daisy” and “super-daisy” diagrams:

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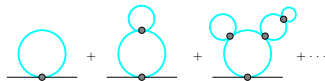
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Eq. of motion  $\Rightarrow$  Resummation of  
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- ▶ Expand **Green's function** in **vacuum part** and **temperature part**
- ▶ Dyson equation:  $G = G_v + G_v \Sigma G_v + \dots$
- ▶ Subtract **vacuum divergences** and **subdivergences** only
- ▶ **Counterterms**: Vacuum-mass and coupling-constant counterterm

$$-i\Sigma_{\text{ren}} = \text{[Diagram: a red diamond shape]} = \text{[Diagram: a green circle with a central vertex on a horizontal line]} - \text{[Diagram: a blue circle with a central vertex on a horizontal line and a red diamond on top, enclosed in a dashed box]} - \text{[Diagram: a blue circle with a central vertex on a horizontal line, enclosed in a dashed box]}$$

$$\frac{\lambda}{2} G(l) \quad \frac{\lambda}{2} G_v^2(l) \Sigma_{\text{ren}} \quad \frac{\lambda}{2} G_v(l)$$

## Example: Tadpole in $\phi^4$ -model

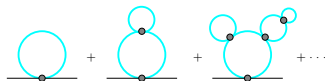
$$i\Phi = \text{diagram} \Rightarrow -i\Sigma = \text{diagram}$$

The diagram on the left shows a tadpole diagram with two loops connected at a central vertex. The diagram on the right shows a tadpole diagram with a single loop connected to a horizontal line representing a propagator.

Temperature dependent mass

$$M^2 = m^2 + \Sigma_{\text{ren}}$$

Eq. of motion  $\Rightarrow$  Resummation of  
 “daisy” and “super-daisy” diagrams:



► **Result:** Finite gap equation

$$M^2 = m^2 + \Sigma_{\text{ren}} = m^2 + \frac{\lambda}{32\pi^2} \left( M^2 \ln \frac{M^2}{m^2} - \Sigma_{\text{ren}} \right) + \underbrace{\frac{\lambda}{2} \int \frac{d^4 p}{(2\pi)^4} 2\pi \delta(p^2 - M^2) n(p_0)}_{\rightarrow 0 \text{ for } T \rightarrow 0}$$

with  $n(p_0)$  Bose-Einstein distribution

## Renormalization of general approximations

- ▶ The same strategy as in the tadpole example
- ▶ Renormalize **vacuum** first
- ▶ can be done with the BPHZ formalism
- ▶ power counting is the same for **perturbative** diagrams
- ▶ The **temperature part of the self-energy** is of power 0
- ▶ the asymptotic behavior is governed by the **vacuum part** alone

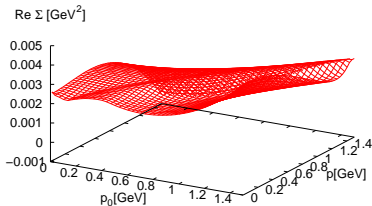
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- ▶ expand Green's function due to **Dyson equation**

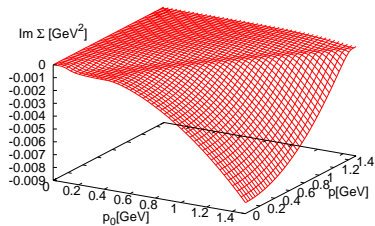
$$G = \underbrace{G_V}_{\delta=-2} + \underbrace{G_V \Sigma_T G_V}_{\delta=-4} + \underbrace{G_r}_{\delta=-6}$$

- ▶ **coupling constant** renormalization more difficult than for tadpole
- ▶ can be solved due to the 2PI properties of the  $\Phi$ -functional!

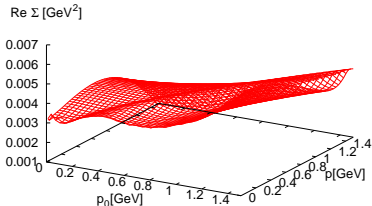
Pert. Re  $\Sigma$  for  $T=100\text{MeV}$ ,  $\lambda=20$



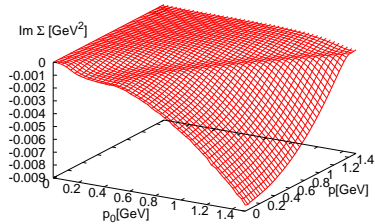
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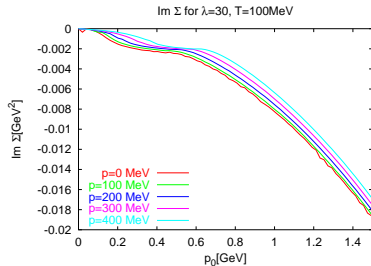
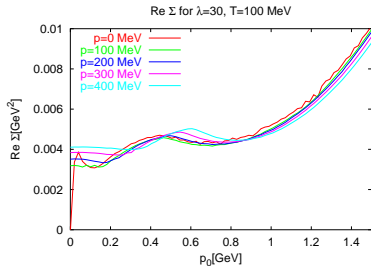
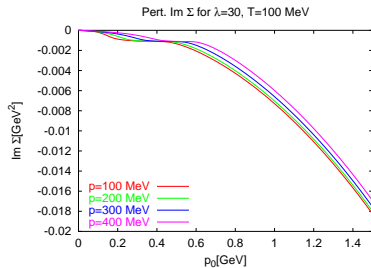
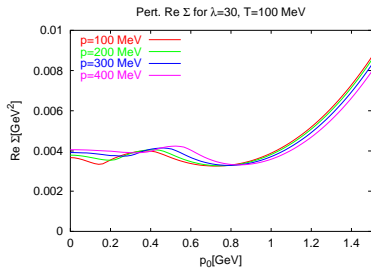


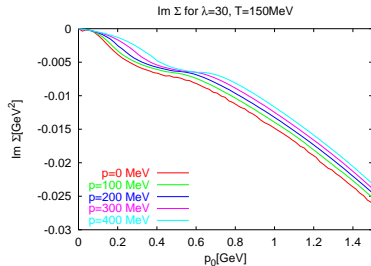
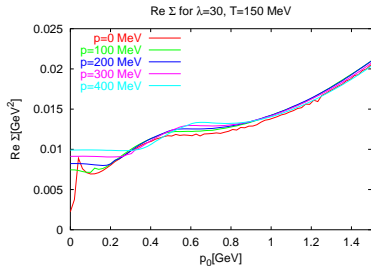
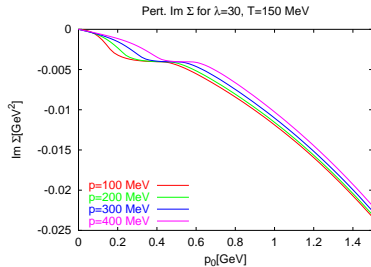
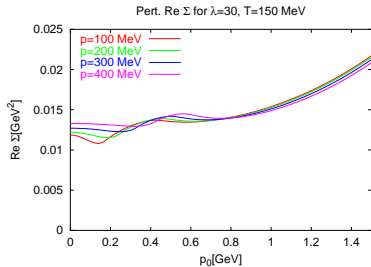
Re  $\Sigma$  for  $T=100\text{MeV}$ ,  $\lambda=20$

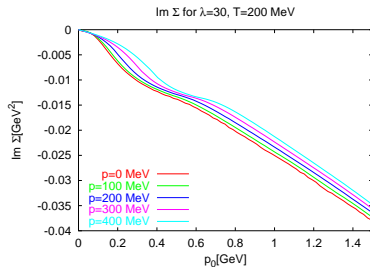
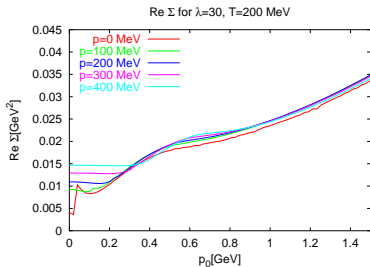
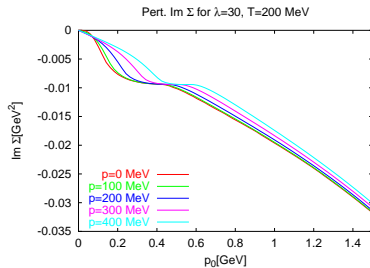
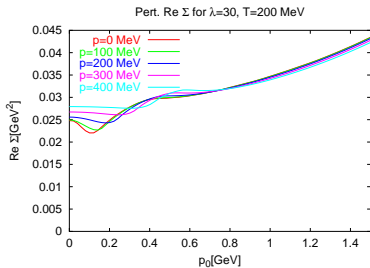


Im  $\Sigma$  for  $T=100\text{MeV}$ ,  $\lambda=20$











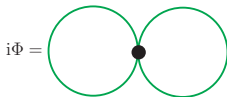
## Breaking of symmetries: The $O(N)$ - $\sigma$ model

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \vec{\phi})(\partial^\mu \vec{\phi}) - \frac{m}{2}\vec{\phi}^2 - \frac{\lambda}{8}(\vec{\phi}^2)^2$$

- ▶ Action **symmetric** under **global**  $O(N)$  rotations of  $\vec{\phi}$
- ▶ Symmetry **linear**  $\Rightarrow$  exact Quantum action also symmetric
- ▶ perturbative loop expansion = power expansion in  $\hbar \Rightarrow$  also symmetric at any finite order of pert. theory
- ▶ If symmetry spontaneously broken ( $m^2 < 0$ ), **from this symmetry alone** follows Goldstone's theorem: There are  $N - 1$  **massless** Goldstone bosons
- ▶ Long known (Baym, Grinstein 1977):  $\Phi$ -derivable approximations **break the symmetry explicitly!**
- ▶ Goldstone's theorem also violated

## Why is the symmetry broken?

- ▶ Loop expansion of the functional is of certain order of  $\hbar$
- ▶ **but** solutions are of arbitrary order of  $\hbar$
- ▶ but, of course, not **completely** resummed
- ▶ Nevertheless expectation values of **Noether currents are conserved**
- ▶ Even **crossing symmetry** is violated: Four-point function is resummed only in certain channels
- ▶ Example: Tadpole Approximation for spontaneously broken  $O(N)$ -Model



- ▶ here: Put all mean-field interactions to the  $\Phi$ -functional  $\Rightarrow$  provides possibility of self-consistent **MIR!**

## Why is the symmetry broken?

- ▶ Through self-consistency the four-vertex is intrinsically resummed:

$$\Sigma = \dots + \text{[diagram 1]} + \text{[diagram 2]} + \text{[diagram 3]} + \dots$$

- ▶ The “*t* and *u* channels” of the intrinsic four-point function are missing
- ▶ **Way out:** Calculate the corresponding approximation to the **1PI** action
- ▶ extract proper vertex function from them
- ▶ This, by construction, restores **crossing symmetry** wrt. the **external points**

## From 2PI back to 1PI approximations

- ▶ 2PI functional becomes the 1PI functional (i.e., the “**effective action**”) by setting the **bilocal source** to 0
- ▶ For an arbitrarily given mean field  $\vec{\varphi}$  we define a Green’s function  $\tilde{G}[\varphi]$  by

$$\left. \frac{\delta \Gamma[\varphi, G]}{\delta G} \right|_{G=\tilde{G}[\varphi]} = -\frac{i}{2} B \stackrel{!}{=} 0$$

- ▶ The 1PI functional is then given by

$$\Gamma_{1PI}[\varphi] = \Gamma[\varphi, \tilde{G}[\varphi]]$$

- ▶ For approximations to  $\Gamma \Rightarrow$  **nonperturbative approximations to  $\Gamma_{1PI}$**
- ▶ generate proper vertex functions, which ...
  - ▶ ... are symmetric in their arguments  $\Rightarrow$  crossing symmetric
  - ▶ ... fulfil the **Ward-Takahashi identities** of linearly realized symmetries
  - ▶ **Goldstone’s theorem** fulfilled for spontaneously broken symmetries

## The “external” propagators

- Define the inverse propagator from **1PI** as usual

$$(G_{\text{ext}}^{-1})_{12} = \frac{\delta^2 \Gamma_{\text{1PI}}}{\delta \varphi_1 \delta \varphi_2} := D_{12}^{-1} - (\Sigma_{\text{ext}})_{12}$$

- Only for the **exact 2PI functional**  $G_{\text{ext}} = G$
- For approximations, we have to resum the missing channels for the vertex:

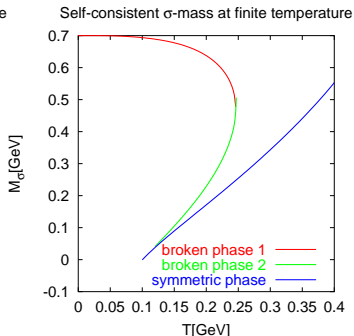
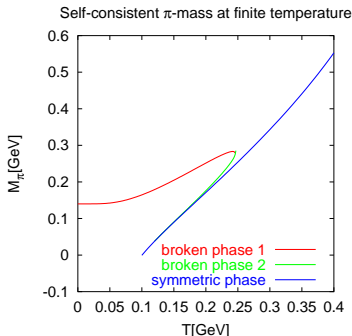
$$i\Gamma_{123}^{(3)} = 2 \frac{\delta \tilde{G}_{12}}{\delta \varphi_3}, \quad iI_{123}^{(3)} := i \frac{\delta^3 S[\varphi]}{\delta \varphi_1 \delta \varphi_2 \delta \varphi_3} + 2 \frac{\delta^2 \Phi}{\delta G_{12} \delta \varphi_3}, \quad K_{12,34} := -2 \frac{\delta^2 \Phi}{G_{12} G_{34}}$$

## Example: The Hartree Approximation

$$-i\Sigma_{\text{ext}} = \text{[Diagram 1]} + \text{[Diagram 2]} + \text{[Diagram 3]} + \dots$$

The diagrams represent Feynman diagrams for the self-energy  $-i\Sigma_{\text{ext}}$ . The first diagram is a single loop. The second diagram is a loop with two external legs. The third diagram is a self-energy correction to the loop, consisting of two loops connected by a propagator.

### ► The self-consistent solutions



### ► **Violate symmetries:** Goldstone's theorem not fulfilled!

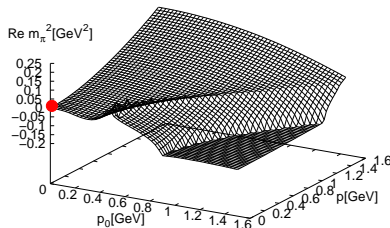
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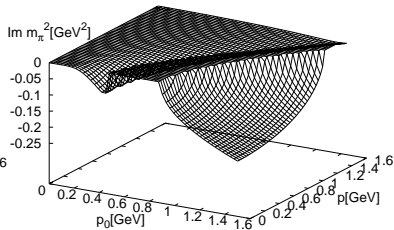
The diagrams represent Feynman diagrams for the external self-energy  $-i\Sigma_{\text{ext}}$ . The first diagram is a single loop. The second diagram is a loop with two external lines. The third diagram is a self-energy correction to the loop, consisting of two loops connected by a line.

### ► The inverse external propagators

External  $\pi$ -mass at T=150 MeV (stable solution)



External  $\sigma$ -mass at T=150 MeV (stable solution)



### ► External inverse propagator fulfills Goldstone's theorem

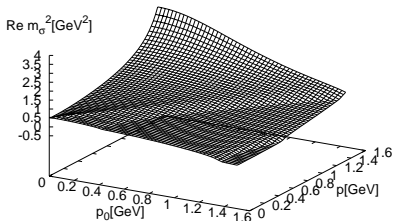
## Example: The Hartree Approximation

$$-i\Sigma_{\text{ext}} = \text{[Self-energy diagrams]} + \dots$$

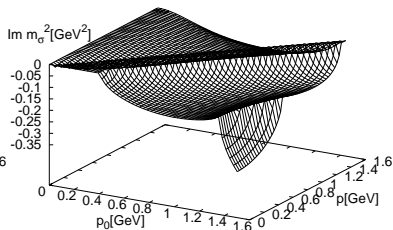
The diagram shows the expansion of the external self-energy  $-i\Sigma_{\text{ext}}$  in the Hartree approximation. It consists of a series of terms: a single loop, a loop with two external legs, a two-loop diagram, and so on.

- ▶ The inverse external propagators:

External  $\sigma$ -mass at  $T=150$  MeV (stable solution)



External  $\sigma$ -mass at  $T=150$  MeV (stable solution)





## Schematic rough calculation

- ▶ Motivation: Check **finite mass-widths effects** of dressed propagators on **dilepton spectra**
- ▶ Used Kroll-Lee-Zumino type vector meson dominance model for  $\pi$  and  $\rho$  mesons
- ▶ Symmetry problem causes even worse trouble: **Unphysical, acausal degrees of freedom become falsely populated**
- ▶ Way out: Just projected to **transverse propagators**
- ▶ In this calculation only imaginary parts taken into account
- ▶ Thus: **No mass shifts included**

# The model and approximation for $\Phi$

Lagrangian:

$$\mathcal{L}_{\text{int}} = \text{[Diagram: } \rho \text{ loop with } \pi \text{ lines]} + \text{[Diagram: } \rho \text{ loop with } a_1 \text{ line]} + \text{[Diagram: } \pi \text{ loop with } \pi \text{ lines]}$$

$\Phi$ -Funktional:

$$\Phi = \text{[Diagram: } \rho \text{ loop with } \pi \text{ lines]} + \text{[Diagram: } \rho \text{ loop with } a_1 \text{ line]} + \text{[Diagram: } \pi \text{ loop with } \pi \text{ lines]}$$

Self-energies:

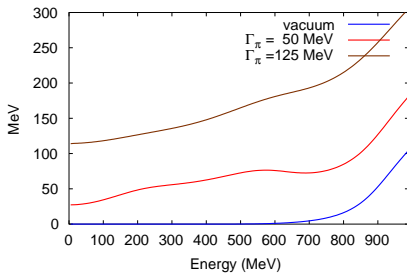
$$\Pi_\rho = \text{[Diagram: } \rho \text{ loop with } \pi \text{ lines]} + \text{[Diagram: } \rho \text{ loop with } a_1 \text{ line]}$$

$$\Pi_{a_1} = \text{[Diagram: } a_1 \text{ loop with } \rho \text{ line]}$$

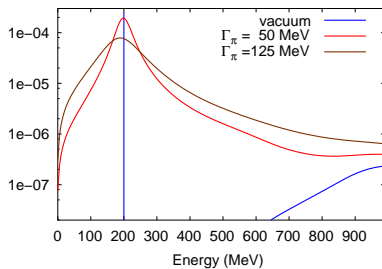
$$\Sigma_\pi = \text{[Diagram: } \rho \text{ loop with } \pi \text{ lines]} + \text{[Diagram: } \rho \text{ loop with } a_1 \text{ line]} + \text{[Diagram: } \pi \text{ loop with } \pi \text{ lines]}$$

## Results

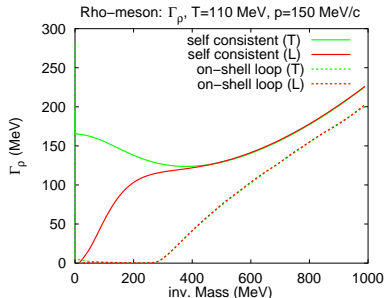
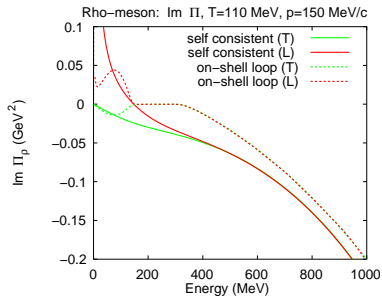
pi-Meson Width,  $T=110$  MeV;  $p=150$  MeV/c



pi-Meson Spectral function,  $T=110$  MeV;  $p=150$  MeV/c

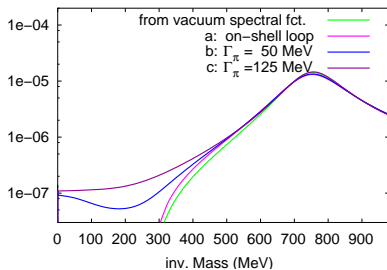


## Results

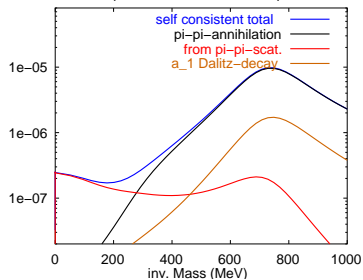


## Results

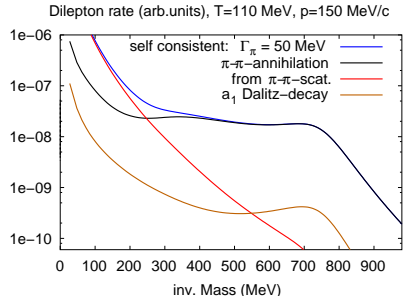
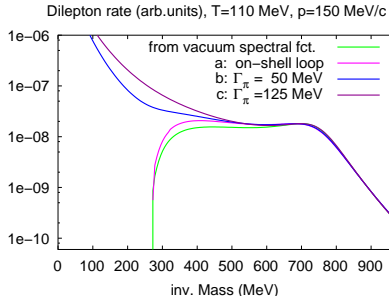
Rho-meson Spectral fct.,  $T=110$  MeV,  $p=150$  MeV/c



Rho-Meson Spectral Funct.,  $T=150$  MeV,  $p=150$  MeV/c



## Results



## Conclusions

- ▶ Self-consistent  $\Phi$ -derivable approximation schemes
- ▶ **Renormalization** problem is solved
- ▶ **Symmetry problems** analyzed
- ▶ **Projector method for vector particles** (or other fields with unphysical degrees of freedom like  $\Delta$ )
- ▶ Class of **numerically feasible approximations**
- ▶ Can calculate the thermodynamic potential: Nonpert. study of phase transitions

## Outlook

- ▶ Appl. to realistic models for in-medium properties of hadrons (the QGP)
- ▶ Self-consistent treatment of gauge theories: **Abelian case** formally understood
- ▶ Trouble remains for **non-Abelian theories** like **QCD**
- ▶ Also applicable to derive consistent **transport equations** for particles with broad mass widths



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