

Lecture 1: Special relativity - Tensors

- " 2: Fluids; equivalence principle
- 3: Curved spacetime and tensors
- 4: Einstein eqs.; IVP
- 5: Linearized GRs

Suggested refs:

MTW : "Gravitation"

BFS : "A first course in GR"

RiI : "Introducing Einstein's Relativity"

# Special Relativity

It describes the law of physics in the absence of gravitational fields ("flat spacetime")

## Postulates

1) principle of relativity (Galileo)

No experiment can measure absolute velocity of an observer (if <sup>latter is</sup> moving at constant speed)

2) the speed of light is the same for all unaccelerated observers

$$c = 2.99 \times 10^8 \text{ m s}^{-1}$$

$$\bar{F} = m\bar{a} = \frac{d\bar{v}}{dt}$$

$$v \rightarrow v' = v + v_0$$

$$\frac{dv}{dt} = \frac{dv'}{dt} \geq \frac{dv}{dt}$$

SR selects therefore a class of observers which are special: inertial observers.

In GR these observers do not exist  
(all observers are accelerated) but it is  
possible to define observers which are  
inertial at one specific time and position

An inertial observer defines an inertial  
"frame" (reference system), i.e. a frame  
in which

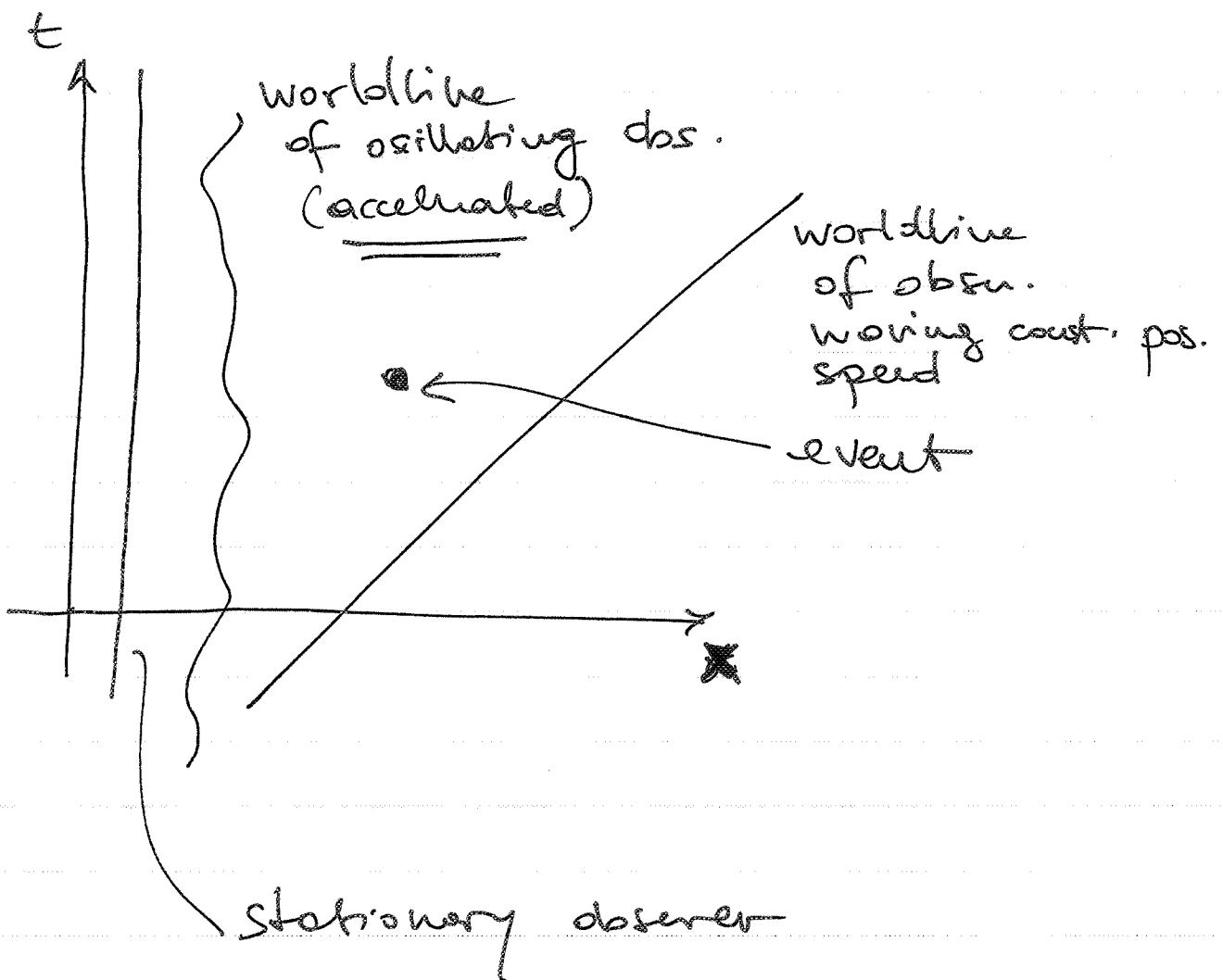
- distance between points does not change  
spatial
- " " " time points  $\rightarrow$  "
- geometry is Euclidean.

This seems obvious<sup>①</sup> but is not longer  
true in GR

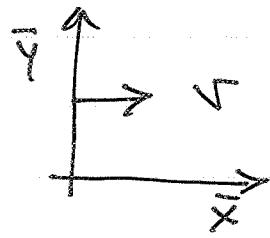
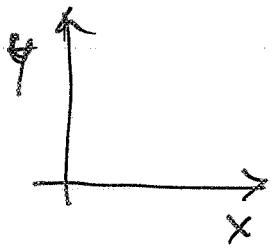


In SR and GR concepts are simpler  
if interpreted geometrically and therefore  
in a spacetime setting in which  
time and space are on equal footing  
and spacetime is a  $1+1+1+1 = 4D$   
object (manifold)

① An explanation is that of a flat spacetime



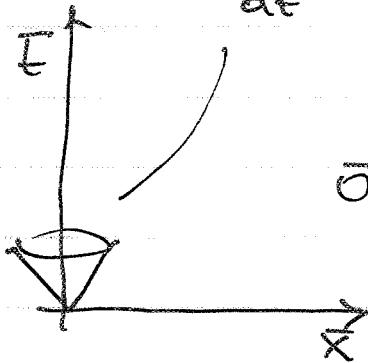
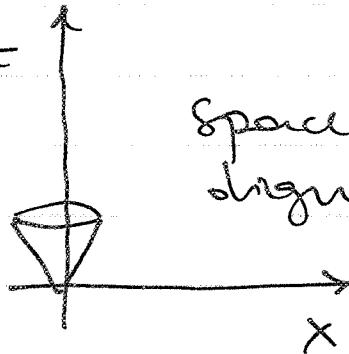
Consider observer  $\bar{O}$  moving at speed  $v$  wrt observer  $O$ .



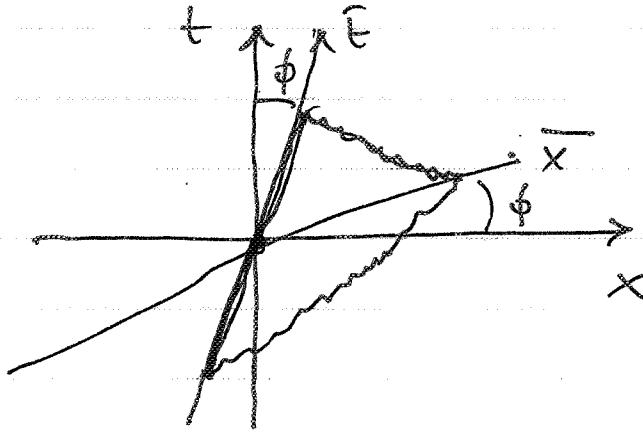
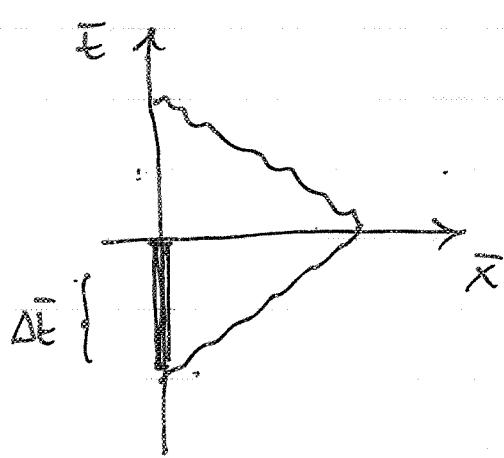
$$\frac{dx}{dt} = \pm 1$$

$$\frac{dx}{dt} = \pm 1$$

Spacetime diagram of  $O$

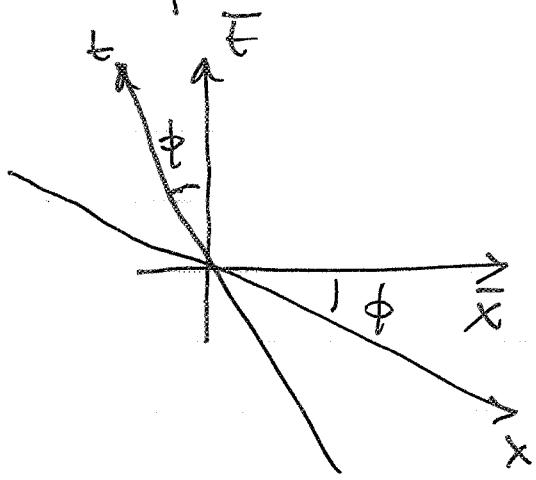


How does the two diagrams compare?  
Events are the important aspects of this picture of spacetime and coordinates are just relating to the observers and thus arbitrary



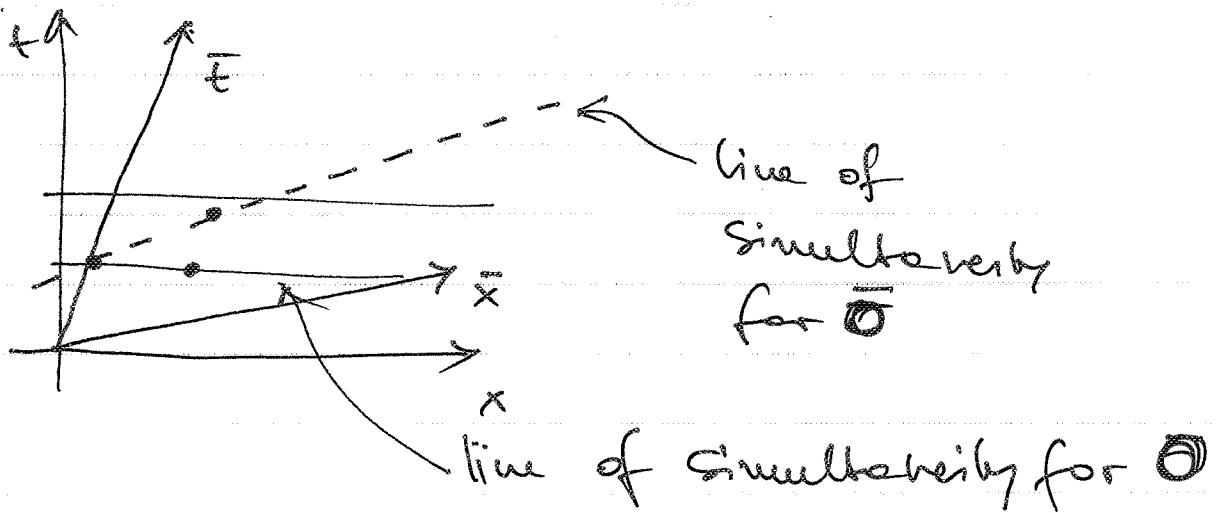
An angle  $\phi$  is present between the two

and of course the reverse is also true.



What is important is that the distance between events "distances" measured in the two systems is the same even if the concept of time locality is lost.

Note



Another fundamental quantity is the "distance" between two events

Recall  $\frac{dx}{dt} = \pm 1 \Rightarrow \Delta x^2 - \Delta t^2 = 0 = \Delta s^2$

i.e. the distance between two events connected by a light beam is zero.

(4)

this distance is the same in all coordinate systems

$$\Delta \tilde{s}^2 = -(\Delta \tilde{t})^2 + (\Delta \tilde{x})^2 = 0$$

It is therefore sensible to define

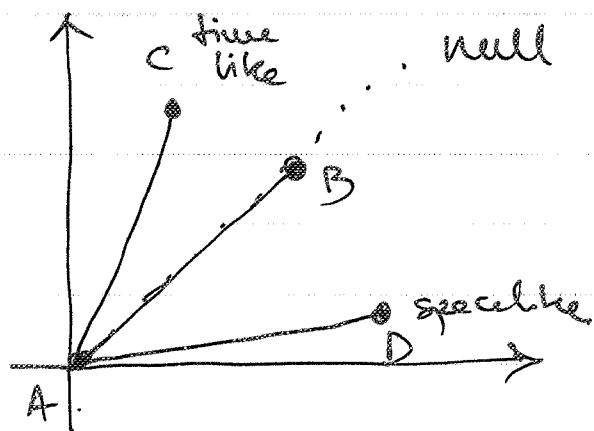
$\Delta s^2$ : distance between two events

$$= -(\Delta t)^2 + (\Delta x)^2 + (\Delta y)^2 + (\Delta z)^2$$

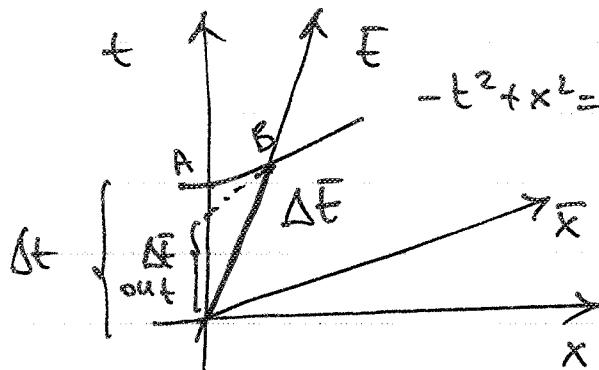
$\Delta s_{AC}^2 < 0$  time-like interval

$\Delta s_{AB}^2 = 0$  null

$\Delta s_{AD}^2 > 0$  space-like interval



We can now relate the coords in our system to the coords in the other one

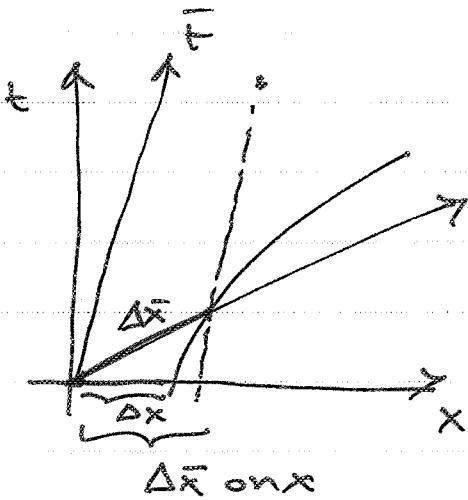


$$-t^2 + x^2 = \text{const}$$

events are equally distant w/o the hyp.

A & B: simultaneous

$\Delta t > \Delta \bar{x}$  : time dilation!



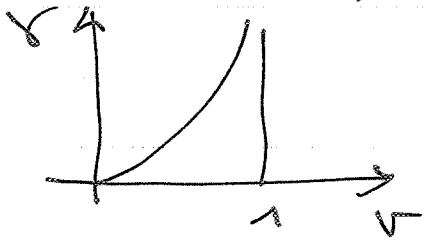
$\Delta t > \Delta \bar{x}$  : Lorentz contraction!

In general the transformation is called Lorentz transf. and is given by

$$(*) \quad \begin{cases} \bar{t} = \gamma(t - vx) \\ \bar{x} = \gamma(x - vt) \\ \bar{y} = y \\ \bar{z} = z \end{cases}$$

Lorentz factor

$$\text{where } \gamma = \frac{1}{\sqrt{1-v^2}}$$



⑥

to obtain

$$x = f(z)$$

all is needed is  $\sqrt{1-v^2}$

Galilean

Composition of velocities

$$w = \frac{dx}{dt} = \frac{\bar{w} + v}{1 + \bar{w}v} \approx \bar{w} + v$$

only  $\bar{w} \ll 1$

In general the transformation ( $\lambda$ ) can

be written as

$$\bar{x}^\alpha = \sum_{\beta=0}^3 \lambda^\alpha_\beta x^\beta = \lambda^\alpha_\beta x^\beta$$

and similarly

$$x^\alpha = \lambda^\alpha_\beta \bar{x}^\beta \quad \text{inverse transformation}$$

$$\lambda^\alpha_\beta = \lambda^\alpha_\beta(v) : \text{Lorentz transf.}$$

$$\lambda^\alpha_\beta = \lambda^\alpha_\beta(v) : \text{inverse of } \lambda^\alpha_\beta$$

$$= (\lambda^\alpha_\beta)^{-1}$$

We have seen that what matters are the events and their separation which is independent of coords.

This is generically true: we want to write equations in a covariant (ie coord. independent) manner.

These equations will be valid in any system of coordinates.

To do this we need to introduce the concept of tensors which make the derivation of covariant expressions very simple.

As a matter of fact we already have introduced them, although not explicitly

We can use this notation also to measure distances

$$ds^2 = \gamma_{\alpha\beta} dx^\alpha dx^\beta$$

$\sum_{\alpha=0}^3$

where  $\gamma_{\alpha\beta} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$   
is another  $(4 \times 4)$  matrix

$$\gamma_{00} = -1 ; \quad \gamma_{ij} = \delta_{ij} = \begin{cases} 1 & i=j \\ 0 & i \neq j \end{cases}$$

Inversion implies

$$ds^2 = d\bar{s}^2 = \gamma_{\bar{\alpha}\bar{\beta}} d\bar{x}^\alpha d\bar{x}^\beta$$

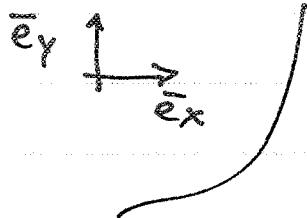
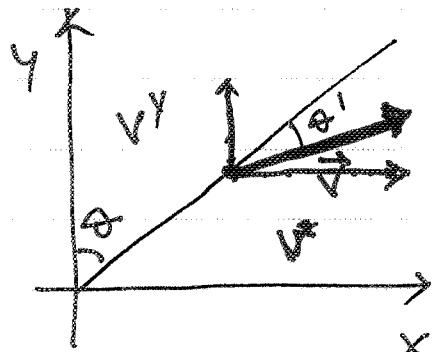
$\gamma$  is the metric and is a matrix which allows to measure distances

It's the most important quantity in GR/SR.

Because tensors are generalizations of vectors, let's recall some vector calculus you know well

$$\vec{V} = \{V^x, V^y, V^z\}$$

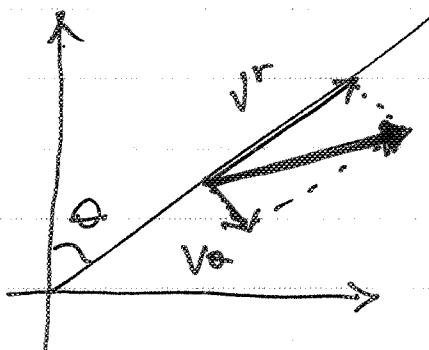
modulus of  $\vec{V}$



$$V^x = |V| \sin(\theta + \theta')$$

$$V^y = |V| \cos(\theta + \theta')$$

However  $\vec{V}$  can be decomposed also in other coordinate systems, eg a spherical polar



$$\vec{V} = \{V^r, V^\theta, V^\phi\}$$

$$V^r = |V| \cos \theta'$$

$$V^\theta = |V| \sin \theta'$$

A bit of algebra shows that

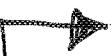
$$\left\{ \begin{array}{l} V^x = V^r \cos \theta + V^\theta \sin \theta \\ V^y = V^r \sin \theta + V^\theta \cos \theta \end{array} \right.$$

so that we can think of a transformation matrix  $\Lambda$

$$\bar{V}^k = \Lambda^k_{\ell} e^{\ell} \quad (2)$$

$\downarrow$  Note that this is not related to a boost

$$\begin{pmatrix} V^x \\ V^y \end{pmatrix} = |V| \begin{pmatrix} \cos \theta & \sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} V^r \\ V^\phi \end{pmatrix}$$



So far all is well established but for  $|V|$ . What is  $|V|$ ?

It's a measure of the length of  $V$  and we have seen that  $\eta$ , the metric, does exactly this: measures the distance between two points, i.e. the "tip" and the "end" of the vector  $\bar{V}$ ! It's therefore clear that:

Our considerations are fully generic and indeed we can extend expression (2) to a 4D spacetime

$$\bar{V}^k = \Lambda^k_{\ell} e^{\ell} : \text{transf. of vector in 4D}$$

(10)

By analogy with  $ds^2$

$$|V| = \sqrt{\alpha} \sqrt{\beta} \gamma_{\alpha\beta} = \sqrt{\alpha} \sqrt{\beta} \gamma_{\bar{\alpha}\bar{\beta}}$$

frame

independent

the length of  $|V|$

is the same for all  
coord. systems.

The metric is therefore an operator  
(geom. object) take acts on ~~a~~ vector  
and returns its length

$$\underline{\gamma} = \underline{\gamma} (\underline{v}, \underline{v}) = |V|$$

If the two slots are occupied by two  
different vectors then  $\gamma$  returns the  
length of one in the direction of the  
other, ie the scalar product

$$\underline{v} \cdot \underline{w} = \sqrt{\alpha} \sqrt{\beta} \gamma_{\alpha\beta} = \text{scalar}$$

$$\underline{\gamma} (\underline{v}, \underline{w}) = \text{scalar}$$

the metric is also defined as a  $\binom{0}{2}$

tensor and thus as a function that

takes as input two vectors and return a scalar.

The metric is linear in its arguments

$$\underline{\underline{y}}(\alpha \underline{\underline{U}} + \beta \underline{\underline{V}}, \underline{\underline{W}}) = \alpha \underline{\underline{y}}(\underline{\underline{U}}, \underline{\underline{W}}) + \beta \underline{\underline{y}}(\underline{\underline{V}}, \underline{\underline{W}})$$

$$= \alpha g_{\mu\nu} U^\mu W^\nu + \beta g_{\mu\nu} V^\mu W^\nu$$

To understand what the components  $g_{\mu\nu}$  are it is useful to consider the definition of a vector

$$\underline{\underline{V}} = V^a \underline{\underline{e}}_a$$

but goes here

where  $\underline{\underline{e}}_a$ : coordinate basis

$$\underline{\underline{e}}_a = (\underline{e}_0, \underline{e}_1, \underline{e}_2, \underline{e}_3)$$

$$\underline{e}_0 = \{1, 0, 0, 0\}$$

$$\underline{e}_1 = \{0, 1, 0, 0\}$$

$$\underline{e}_2 = \dots$$

$$\underline{e}_3 = \{0, 0, 0, 1\} \quad (12)$$

Let there now  $\underline{\underline{\gamma}}$  act on  $\underline{e}_\alpha$

$$\underline{\underline{\gamma}}(\underline{e}_\alpha, \underline{e}_\beta) = e^\mu{}_\alpha e^\nu{}_\beta \gamma_{\mu\nu}$$

$$= \delta^\mu{}_\alpha \delta^\nu{}_\beta \gamma_{\mu\nu}$$

$$= \gamma_{\alpha\beta}$$

In other words:  ~~$\gamma_{\alpha\beta}$  are the components of the metric tensor in a coordinate basis.~~

$\gamma_{\alpha\beta}$  are the components of the metric tensor in a coordinate basis.

Clearly, the metric tensor is symmetric in its arguments

$$\underline{\underline{\gamma}}(A, B) = \underline{\underline{\gamma}}(B, A)$$

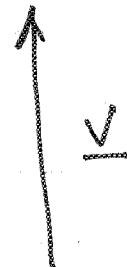
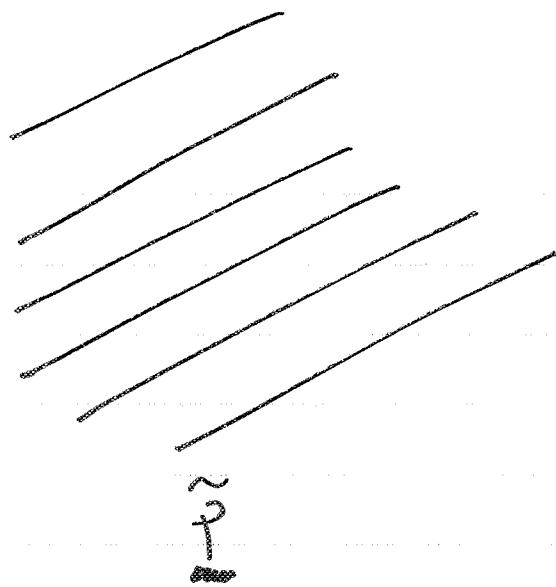
□

In a similar way we can define a  $(^0, 1)$  form as a function (operator) that acting on a vector returns a number (scalar)

$$\tilde{P}(V) = p_a V^a$$

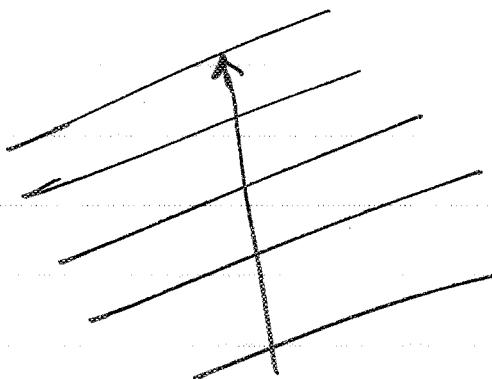
(13)

The graphical representation of a  $(^0)_1$  form or one-form is via surfaces



①

So that  $\tilde{P}(V)$  is



the number of surfaces crossed by  $V$ . The larger this number, the smaller the spacing among surfaces and the larger the magnitude of the one-form.

Just like vectors, one-forms have components and basis

$$\tilde{P}(V) = p_a V^a \quad : p_a \text{ are the components of } \tilde{P}$$

$$\tilde{p}(\underline{e}_\alpha) = p_\alpha \underline{e}^M{}_\alpha = p_\mu \delta^M{}_\alpha = p_\alpha$$

ie  $p_\alpha$  are the components of  $\underline{p}$  in the coordinate vector basis

I can also write  $\underline{p} = p_\alpha \tilde{\omega}^\alpha$  basis one-form

and derive that

$$\begin{aligned} \underline{p}(\underline{v}) &= p_\alpha v^\alpha = p_\alpha \tilde{\omega}^\alpha(\underline{v}) = p_\alpha \tilde{\omega}^\alpha(v^\beta \underline{e}_\beta) \\ &= p_\alpha v^\beta \tilde{\omega}^\alpha(\underline{e}_\beta) \end{aligned}$$

$$\Rightarrow \tilde{\omega}^\alpha(\underline{e}_\beta) = \delta^\alpha{}_\beta$$

$$\tilde{\omega}^0 = \{1, 0, 0, 0\}$$

in other words

$$\tilde{\omega}^1 = \{0, 1, 0, 0\}$$

$\tilde{\omega}^\alpha$  are dual to  $\underline{e}_\alpha$

$$\tilde{\omega}^2 = \dots$$

it's clear

$$\tilde{\omega}^3 = \{0, 0, 0, 1\}$$

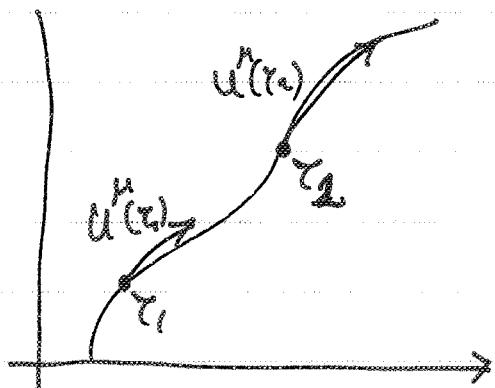
At this point that  
one-forms are dual to  
vectors and just  
different ~~faces~~ integers of  
the same coin.

Note that a one-form can be applied also to a function, in which case it represents the gradient of that function.

Consider a curve  $\ell: \{x^\mu(\tau)\}$ :

$x^\mu(\tau)$  could be the worldline of an observer and  $\tau$  the proper time

$\frac{dx^\mu}{d\tau} = u^\mu(\tau)$  : tangent vector of  $\ell$  and four-velocity of the observer



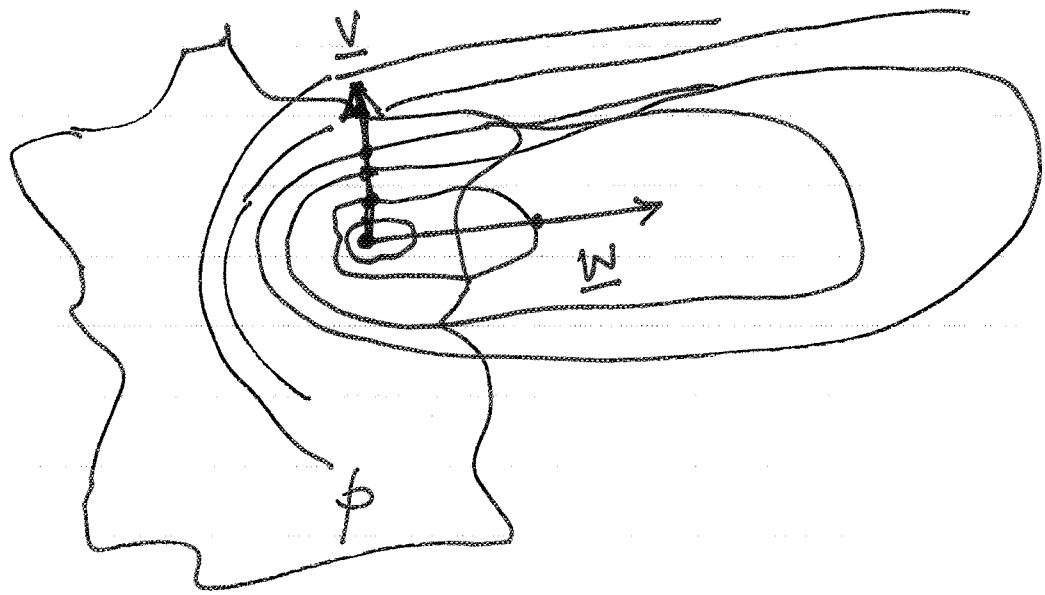
Let  $\phi = \phi(x^\mu)$  a scalar function along  $\ell$  and thus

$$\phi(x^\mu) = \phi(x^\mu(\tau)) = \phi(\tau)$$

$$\begin{aligned}\frac{d\phi}{d\tau} &= \frac{\partial \phi}{\partial x^\mu} \frac{\partial x^\mu}{\partial \tau} = \partial_\mu \phi \frac{dx^\mu}{d\tau} = \partial_\mu \phi u^\mu \\ &= \phi_\mu u^\mu\end{aligned}$$

Thus  $\phi_\mu = \frac{\partial}{\partial x^\mu} \phi = \text{gradient of } \phi$  ~~is a one-form~~  
is a one-form

$$\tilde{d}\phi = (\partial_t \phi, \partial_x \phi, \partial_y \phi, \partial_z \phi)$$



$\phi$  could be a pressure at a given altitude

$\tilde{d}\phi$  has little sense

$$\tilde{d}\phi(V) = \partial_\mu \phi V^\mu = V^\mu \partial_\mu \phi$$

= gradient of  $\phi$   
along  $V$  is a  
meaningful number

In the example above

$$V^\mu \partial_\mu \phi \gg W^\mu \partial_\mu \phi \iff$$

Step gradient in the North direction

## Recap

- $\underline{\underline{g}} : \binom{0}{2}$ -form, eg metric tensor

$$\underline{\underline{g}}(\underline{v}, \underline{w}) = v^\alpha w^\beta g_{\alpha\beta}$$

- $\hat{p} : \binom{0}{1}$ -form, eg gradient

$$\hat{p}(\underline{v}) = p_\alpha v^\alpha = v^\alpha \partial_\alpha \phi$$

- $\underline{\underline{v}} : \binom{1}{0}$ -form, ie vector and dual to one-form

$$\underline{\underline{v}}(\hat{p}) = v^\alpha p_\alpha = \hat{p}(\underline{\underline{v}})$$

- $\binom{M}{N}$ -form: operator combining M one-forms with N vectors

$$\underline{\underline{R}}(\hat{p}, \underline{\underline{v}}, \underline{\underline{v}}, \underline{w}) = R^\alpha{}_\beta{}^\gamma{}^\delta p_\alpha u^\beta v^\gamma w^\delta$$

(10)

## Raising-lowering indices

We have seen that the metric tensor acts on vectors to yield a scalar but it can also act on one vector to yield a one-form

$$\underline{\underline{g}}(\underline{v}, \underline{w}) = g_{\alpha\beta} v^\alpha w^\beta$$

$$\underline{\underline{g}}(\underline{v}, \underline{\underline{w}}) = g_{\alpha\beta} v^\alpha = \underline{w}_\beta \quad : \text{one-form}$$

If we act  $\underline{\underline{v}}$  on another vector,  $\underline{w}$  then we must end up with  $v_\alpha w^\alpha$

$$\underline{\underline{g}}(\underline{v}, \underline{\underline{w}}) (\underline{\underline{v}}, \underline{\underline{w}}) = g_{\alpha\beta} v^\alpha = g_{\alpha\beta} v^\alpha$$

$$\underline{\underline{g}}(\underline{v}, \underline{\underline{w}}) (\underline{w}) = g_{\alpha\beta} v^\alpha w^\beta = v_\beta w^\beta \Rightarrow$$

$$g_{\alpha\beta} v^\alpha = v_\beta$$

: the metric has mapped a vector into a one-form

(lowered the index)

$$g_{\alpha\beta} v^\alpha = v_\beta$$

The inverse is also true

$$\boxed{V^\beta = \gamma^{\alpha\beta} V_\alpha}$$

which maps one-form  
into vectors:  
raising index

$\gamma^{\alpha\beta}$  is inverse of  $\gamma_{\alpha\beta}$

$$\gamma^{\alpha\beta} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$$

This can be done over and over again

$$R^*{}_{\beta\gamma\delta} {}_{\gamma\alpha} U^\beta V^\gamma W^\delta =$$

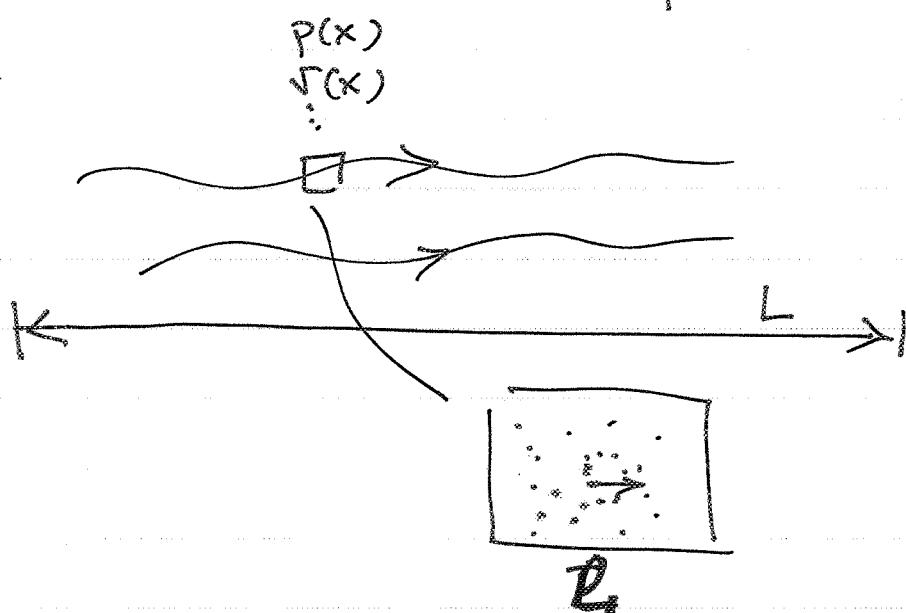
$$R^*{}_{\beta\gamma\delta} {}^{P^\mu} \gamma_{\mu\alpha} U_\nu \gamma^{\nu\beta} V^\gamma W^\delta =$$

$\boxed{P_\alpha}$        $\boxed{U^\mu}$

$$\text{written } R_{\mu\nu\gamma\delta} P^\mu U_\nu V^\gamma W^\delta$$

## Fluids

A collection of particles whose properties can be defined in terms of averages performed on scales sufficiently large to contain a large (statistically significant) sample but as small as possible to allow for a continuous description



$L$  sufficiently large to contain a large number of particles

Very Important //  $L \gg \lambda$  : mean free path and this implies that properties<sup>①</sup> are constant in  $L$   
 $l \ll L$  : scale of the problem.

These definitions are clearly weak and mathematically vague but the very large number of

<sup>①</sup> pressure, mean velocity, density, etc..

particles of ordinary fluids make shear  
very natural

particles of ordinary fluids make them very natural. Note that I consider "fluids" also what is usually referred to as a gas

Given a single particle of the fluid, there are two important associated quantities

④ 1)  $\underline{u}$  : four velocity

$$u^* = dx^i/d\tau$$

$\tau$ : proper time

then the 4-velocity  
obeys the normalization  
condition:

$$u^* u_* = -1$$

$$ds^2 = -d\tau^2$$

this is the time  
measured by  
a clock at rest  
in a given frame

$$\begin{aligned} ds^2 &= \gamma_{\alpha\beta} dx^\alpha dx^\beta \\ &= \gamma_{00} (dx^0)^2 \\ &= -dt^2 = -d\tau^2 \end{aligned}$$

Proof

$$ds^2 = -d\tau^2 \Rightarrow \frac{ds^2}{d\tau^2} = -1$$

$$ds^2 = \gamma_{\alpha\beta} dx^\alpha dx^\beta \Rightarrow \frac{ds^2}{d\tau^2} = \gamma_{\alpha\beta} \frac{\partial x^\alpha}{d\tau} \frac{\partial x^\beta}{d\tau}$$

$$= \gamma_{\alpha\beta} u^\alpha u^\beta = u^* u_* = -1$$

qed

Note

$\underline{u}$  is called 4-velocity because the spatial components are those of a 3-velocity for small speeds.

In a nonrelativistically moving reference frame (NCRF)

$$u^{\mu}_{\text{NCRF}} = \{+1, 0, 0, 0\} \quad u^{\mu} u_{\mu}^* = -1$$

$$\underline{u} = u^0 \underline{e}_0 : \text{along time direction} \quad (u^0)^2 y_{00} = -1$$

In a frame which is moving at speed  $v$  in the  $x$ -direction, then  
(ie a general frame at speed  $v$ )

$$u^{\mu} = \{1, \gamma v, 0, 0\} \quad \text{as deduced by Lorentz transf.}$$

$$u^x = \gamma v = \frac{v}{\sqrt{1-v^2}} \approx v \left(1 + \frac{v^2}{2}\right) \sim v \quad \text{qvst}$$

2)  $\underline{P}$  : far-momentum

$$\underline{P} = m_0 \underline{u}$$

$$P_{\text{NCF}}^\mu = m_0 u_{\text{NCF}}^\mu = m_0 \{1, 0, 0, 0\}$$

$m_0$  is the "rest-mass"  
(ie the mass in the  
frame in which it is  
at rest)

In general, for a particle moving in x-direction

$$P^\mu = m_0 \{r, \gamma r^x, 0, 0\}$$

$$P^0 = m_0 Y = \frac{m_0}{\sqrt{1-v^2}} \approx m_0 + \frac{m_0 v^2}{2}$$

$\uparrow$                        $\uparrow$   
 rest mass              kinetic energy

because of this

$$P^0 = E \quad \text{: energy of the particle}$$

$$P^0 = \gamma_0 c P^0 = -E$$

(\*) Note that  $u$  cannot be defined for a photon

$$ds^2 = 0 = -d\tau^2$$
$$u = \frac{d\bar{x} \cdot d\bar{x}}{d\tau \, d\tau} = \frac{0}{0}$$

This doesn't imply that there isn't a tangent to the trajectory of a photon, which is indeed given by  $d\bar{x}$ .

Rather, it shows that it is not for an observer to boost to a speed in which the photon is at rest. The speed of light is  $c$  for all.

It's easy to show that the energy of the particle relative to an observer with four-velocity  $U$

$$\text{is } E = -\underline{p} \cdot \underline{U} = -p^k U_k$$

(\*) must be valid for any observer and so also for a MCRF one

~~$$E = -p^\alpha U^\beta \gamma_{\alpha\beta}$$~~

$$= -p^\alpha U^\beta \gamma_{\alpha\beta} = p^\alpha U^\beta$$

$$= p^\alpha = E_{\text{ped}}$$

(\*)

D

If  $U$  and  $p$  are useful quantities for single particle, there is one which is useful also in terms of a collection of particles: number-flux density

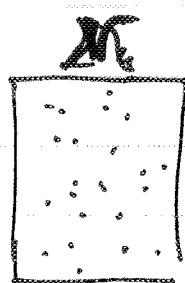
To simplify our analysis, let's consider a very special fluid: dust, ie a collection of identical particles which

(25)

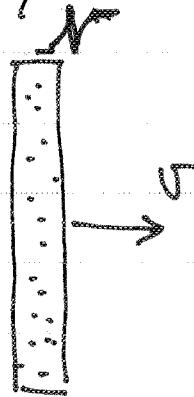
are all at rest in one inertial frame (this is also referred to as a zero-pressure fluid; more later) let  $N$  be the number of particles and  $n$  its number density

$$n = \frac{N}{V}$$

In another inertial frame moving at speed  $v$  the number density will be  $\bar{n} = \gamma n$



MCRF



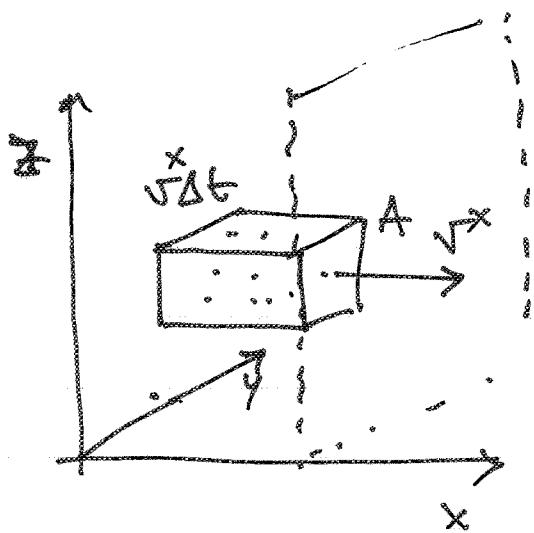
$n$

$$\bar{n} = \gamma n$$

i.e. the number density increases because of Lorentz contraction while  $N$  remain the same

Similarly we can measure the number flux

$$(\text{flux})^x = \frac{\text{number of particles}}{\text{unit area} \cdot \text{unit time}}$$



$$(\text{flux})^* = \frac{\gamma n \cdot v^* \Delta t A}{\Delta t \cdot A} = \gamma n v^*$$

vector

I can therefore define the N: number flux ~~vector~~  
4-vector

$$\underline{N} = n \underline{u}$$

$$N^\mu = n \{ r, r^*, 0, 0 \}$$

↑  
number flux across surface  $x = \text{const}$   
number density

$$\text{Note that } \underline{N} \cdot \underline{N} = N_\mu N^\mu = -n^2$$

↑ number density  
in the MCRF

just like rest  
mass is the one  
in the MCRF

If a vector  $\underline{N}$  is sufficient to measure the number of particles moving in a certain direction, it's clear that to measure the flux of momentum component or given

in a specified direction we need a tensor of rank 2

$$T^{\alpha\beta} = e u^\alpha u^\beta : \text{stress-energy tensor for dust}$$

$$T^{00} = e u^0 u^0 = \gamma^2 e = \frac{e}{\sqrt{1-\gamma^2}} : \text{energy density}$$

$$T^{0x} = e u^0 u^x = \gamma^2 e v^x = \frac{\gamma^2 e v^x}{1-\gamma^2} : \text{energy flux}$$

$$T^{xx} = e u^x u^x = \gamma^2 e (v^x)^2 : \text{momentum flux}$$

Note that  $v$  and  $v^x$  are distinct

$v$ : velocity of observer  $\Leftrightarrow$

$v^x$ : " of dust particles

What is  $\epsilon$ ?

$$T_{\text{MRF}}^{\infty} = \epsilon u^0 u^0 = \epsilon : \text{energy density}$$

$$= \rho(1 + e)$$

specific internal  
energy

Note that the momentum flux is equivalent to pressure, indeed the pressure is the manifestation of a flux of momentum across a surface

$$\begin{aligned} \text{pressure} &= \frac{\text{force}}{\text{area}} = \frac{\text{momentum}}{\text{time area}} = \frac{\text{momentum}}{\text{time} \cdot \frac{\text{volume}}{\text{distance}}} \\ &= \frac{\text{momentum} \cdot \text{velocity}}{\text{volume}} \\ &= (\text{mass} \cdot \text{dens}) \cdot \text{velocity} \\ &= \text{mass} \cdot \text{flux}_0 \end{aligned}$$

More generally

$T^{ij}$ : energy flux in  $x^i$  direction

$T^{ij}$ : (mass. density flux) $^i$  in the  $x^j$  direction

The extension of the stress-energy tensor to a perfect fluid (ie a fluid with zero viscosity and heat losses) is straightforward

$p \neq 0$  and contributes to energy density  
(same dimensions)

$$T^{\alpha\beta} = (\epsilon + p) u^\alpha u^\beta + p g^{\alpha\beta}$$

$$T^{00} = (\epsilon + p) u^0 u^0 + p g^{00}$$

$$= r^2(\epsilon + p) - p$$

$$\sim (1+r^2)(\epsilon + p) - p = \epsilon(1+r^2) + pr^2$$

$$\begin{aligned} \epsilon &\ll p \\ p &\ll \epsilon \end{aligned}$$

$$\left| \begin{array}{l} \\ \\ \end{array} \right. = \epsilon(1+r^2)$$

$$\equiv \frac{\epsilon}{(1+r^2)} + C \frac{r^2}{2}$$

$$T^{0k} = (\epsilon + p) u^0 u^k = \underbrace{(\epsilon + p) r^2 v^k}_{\text{inertial mass-en. density}}$$

$$T^{jk} = (\epsilon + p) r^2 v^j v^k + p \delta^{jk}$$

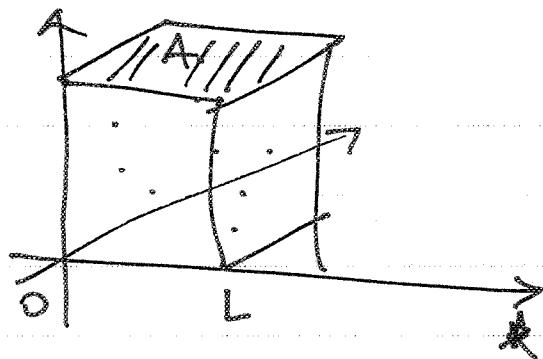
$$\left. \begin{array}{l} j \\ k \end{array} \right|$$

$$= (\epsilon + p) r^2 (v^k)^2 + p$$

$\uparrow$  isotropic pressure contribution

## Conservation laws

We want to derive expressions that quantify the conservation of baryon number (particle) energy and momentum.



Let's consider  
a MCRF

$$\Delta t \frac{\partial}{\partial t} (n \bar{N}) = (\text{flux-in}) - (\text{flux-out})$$

tot. number

$$= (n v^x A \Delta t)_{x=0} - (n v^x A \Delta t)_{x=L}$$

$$+ ( )_{y=0} - ( )_{y=L}$$

$$+ ( )_{z=0} - ( )_{z=L}$$

$$= - \frac{\partial}{\partial x} (n v^x) A \underbrace{\Delta t L}_{\text{Vol.}} - \frac{\partial}{\partial y} (n v^y) - \dots$$

$$\partial_t n \bar{N} = - \partial_x (n v^x) - \partial_y (n v^y) - \partial_z (n v^z) \iff$$

$$\boxed{\frac{\partial}{\partial x^\alpha} (n u^\alpha) = 0}$$

rest mass conservation

similarly one can write for the energy momentum

$$\frac{\partial}{\partial x^\alpha} (T^{\alpha\beta}) = 0$$

$\beta = 0$ : energy conservation

$\beta = k$ : mom. cons.  
(Euler eq.)

These equations are those of special-relativistic hydrodynamics and are also written as

$$\partial_\alpha (n u^\alpha) = 0 \Leftrightarrow (n u^\alpha)_{,\alpha} = 0$$

$$\partial_\alpha (T^{\alpha\beta}) = 0 \Leftrightarrow (T^{\alpha\beta})_{,\alpha} = 0$$

□

The same conservation laws can be defined for EM

$$T_{EM}^{\alpha\beta} = \frac{1}{\mu_0} [F^{\alpha\mu} F^\beta{}_\mu - \frac{1}{4} \gamma^{\alpha\beta} F_{\mu\nu} F^{\mu\nu}]$$

where  $F^{\alpha\beta} = 2 u^\alpha E^\beta + \gamma^{\alpha\beta} \times u^\nu B^\nu$   
Faraday tensor

## Symmetric and anti-symmetric tensor

$$T_{\alpha\beta} = T_{\beta\alpha} \quad : \text{sym.}$$

$$T_{\alpha\beta} = -T_{\beta\alpha} \quad : \text{anti sym.}$$

④  $T_{[\alpha\beta]} = \frac{1}{2} (T_{\alpha\beta} - T_{\beta\alpha}) : \text{anti sym}$   
by construction

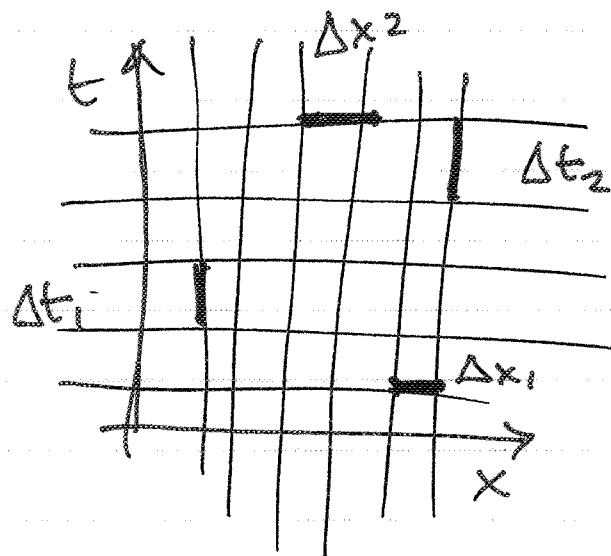
$$T_{(\alpha\beta)} = \frac{1}{2} (T_{\alpha\beta} + T_{\beta\alpha})$$

E.x.

$$F_{\alpha\beta} = 2u_{[\alpha} E_{\beta]} + \gamma_{\alpha\beta\gamma\delta} u^r B^\delta$$

$$= u_{\alpha} E_{\beta} + u_{\beta} E_{\alpha} + \gamma_{\alpha\beta\gamma\delta} u^r B^\delta$$

SR relied on the existence of inertial frames, ie set of coordinates such that the separation along points does not change in space and the time intervals are the same everywhere



$$\Delta x_1 = \Delta x_2$$

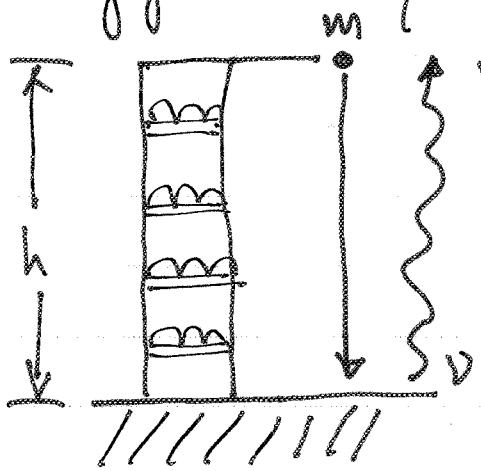
$$\Delta t_1 = \Delta t_2$$

Different observers in relative motion will each have his own inertial frame and a Lorentz transformation takes us from one to the other

$$x^{\bar{\mu}} = \Lambda^{\bar{\mu}}{}_{\mu} x^\mu$$

This inertial construction is incompatible with the presence of a gravitational field. However it is not totally useless...

GPS system is already a clear proof but  
 To be convinced we can prefer the "gravita-  
 tional redshift" experiment first  
 suggested by Einstein



- take particle of mass  $m$  and let it fall from height  $h$
- on the ground it will have energy  $m + \frac{m v^2}{2} = m + mgh$
- convert all the energy in a single photon of energy  $E = hv = m + mgh$  and send it back!
- convert the photon into a particle of mass  $m' = E' = hv'$

Because we have not created energy  
 $m' = m$

$$\frac{E'}{\Sigma} = \frac{hv'}{hv} = \frac{m}{m + mgh} \approx 1 - gh + o(r^4)$$

$$E' < E \quad \text{or} \quad [v' < v]$$

the photon at the top of the tower has been redshifted (34)

This result is incompatible with the existence of an inertial frame on Earth. In such a frame, in fact the clocks at the bottom and top of the tower should tick at the same rate:

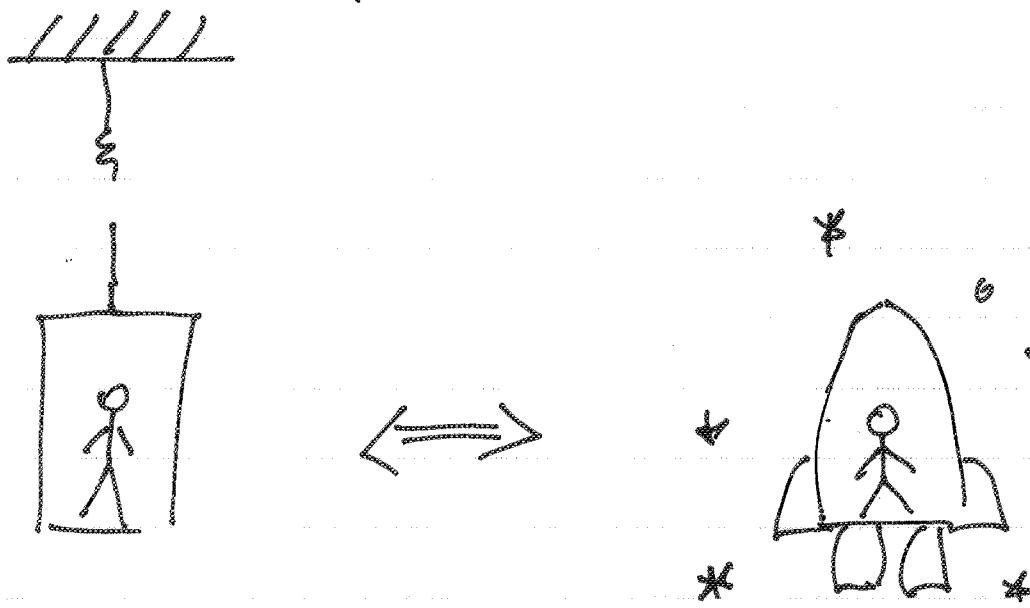
$$\frac{\Delta t'}{\Delta t} = 1 = \frac{v^*}{v'}$$

but we have seen  $v' < v \Rightarrow \Delta t' > \Delta t$

this shows that a global inertial frame is incompatible with a gravitational field but does not exclude the existence of a local inertial frame, i.e. the possibility of having an inertial frame in the neighbourhood of any event

This is indeed what expressed by the equivalence principle

If gravity makes an inertial frame impossible, the way to produce an inertial frame is to remove gravity, ie to be in free fall

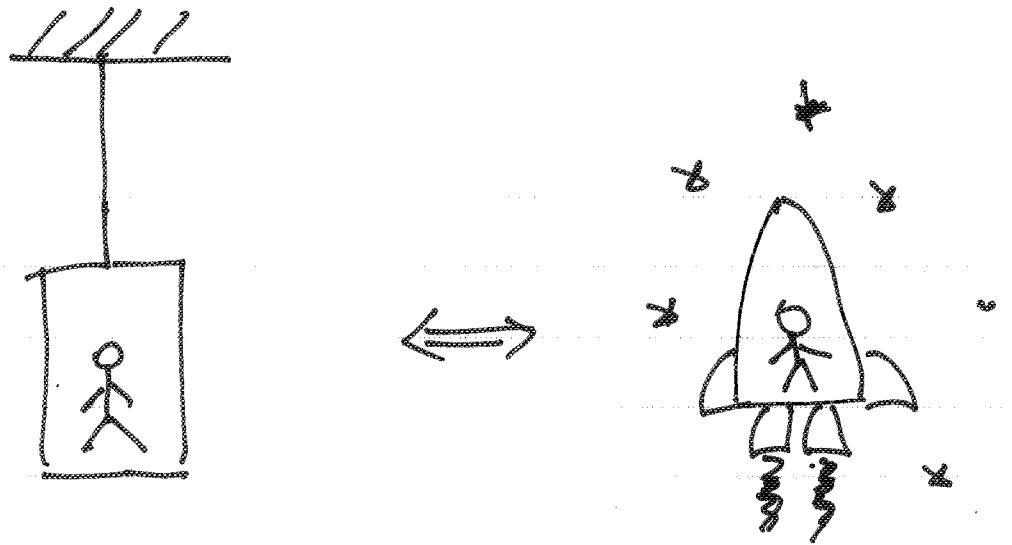


the two frames are equivalent and inertial. the problem is that in both cases they are not global (ie they exist only in a portion of the specimen)

This is the strong equivalence principle: the laws of physics in a free falling frame are the same as in an inertial frame (ie as in §2)

Note that there is the opposite (weak) equivalence principle

Starting the equivalence between  
an accelerated frame and gravity



the "weight" in the two frames is  
the same.

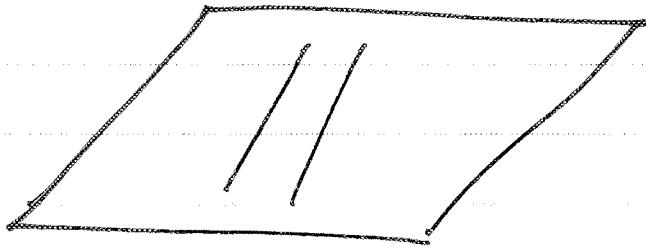
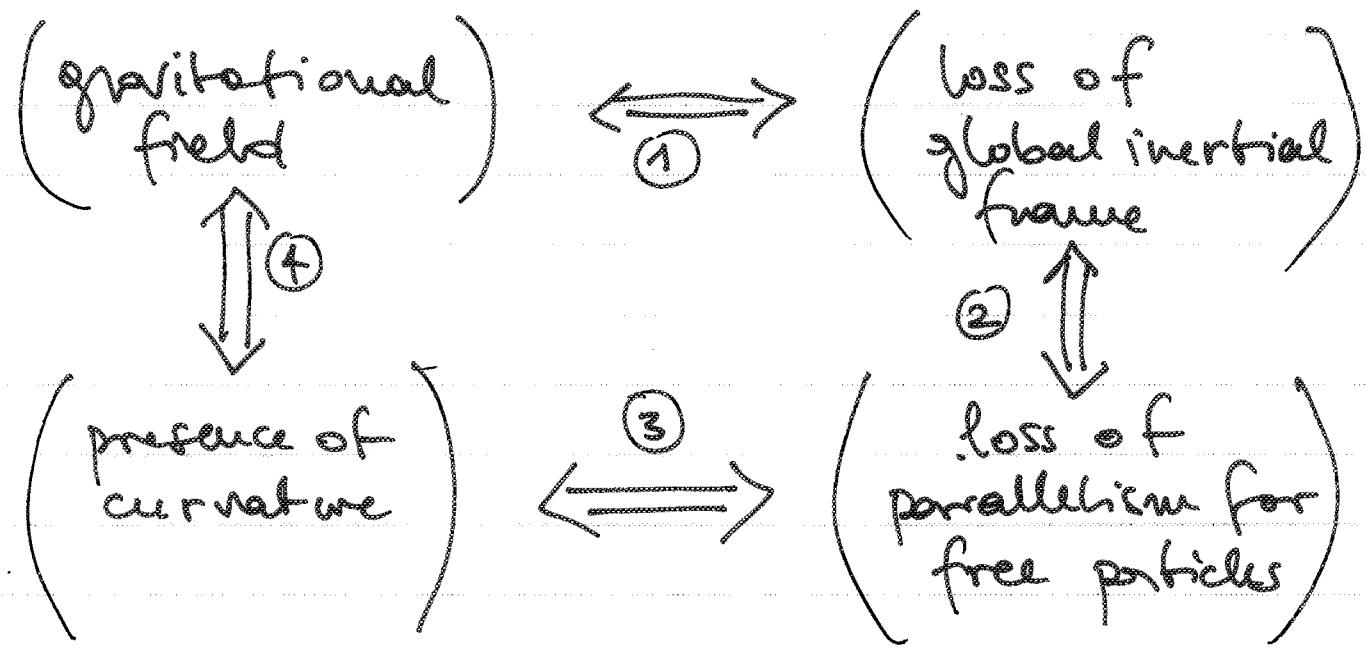
How do we reveal the existence of  
gravitational fields?

Free particles in SR move on  
straight lines so that two  
particles on initially parallel  
lines do not ever intersect.

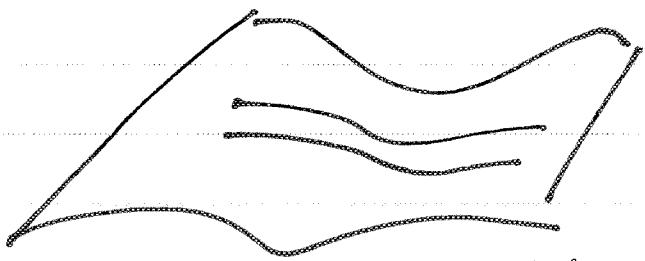
Loss of an inertial frame in  
a gravitational field will imply  
loss of parallelism and hence  
we can associate gravity with curvature

The reason for this is that parallel lines intersect in a curved space.

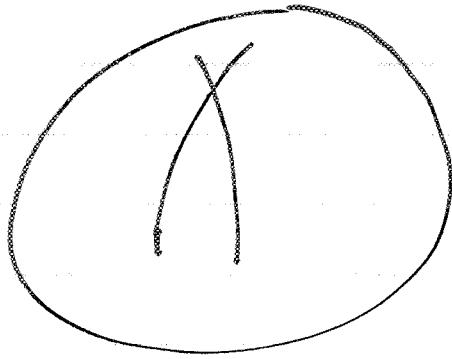
The logic is therefore:



parallel



parallel



intersect

Before discussing the relation between gravity and curvature (ie Einstein eqs), we need to define the mathematical tools necessary for its measurement

The most important of these tools is the covariant derivative, ie a derivative that accounts also for the fact that the words can change locally.

Note that we do not really need a curve or space to define the covariant derivative.

Suppose we want to compute

$$\frac{\partial}{\partial x^\alpha} \tilde{V} = \frac{\partial}{\partial x^\alpha} (V^\beta e_\beta)$$

$$= \underbrace{\frac{\partial V^\beta}{\partial x^\alpha} e_\beta}_{\text{Standard derivative}} + V^\beta \underbrace{\frac{\partial e_\beta}{\partial x^\alpha}}_{\text{new term}}$$

$\frac{\partial x^\alpha}{\partial x^\beta} e_\beta$

$V^\alpha, \alpha \in \mathbb{R}$

new term  
accounting for  
change of basis  
vectors

Let's restrict to 2D, cartesian

coords  $\{x, y\}$

$$\underline{e}_x \cdot \underline{e}_x = 1 = \underline{e}_y \cdot \underline{e}_y$$

$$\uparrow 0 = \underline{e}_x \cdot \underline{e}_y$$

$$ds^2 = g_{xx} dx^2 + g_{yy} dy^2$$

$$g_{ij} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}; (g_{ij})^{-1} = g^{ij} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

•  $V^k \frac{\partial}{\partial x^a} e_p \rightarrow V^j \frac{\partial}{\partial x^a} e_j$

If  $i=x$ :  $V^x \frac{\partial}{\partial x} \underline{e}_x + V^y \frac{\partial}{\partial x} \underline{e}_y = 0$

because both  $\underline{e}_x$  and  $\underline{e}_y$  do not vary in space (they're 1).

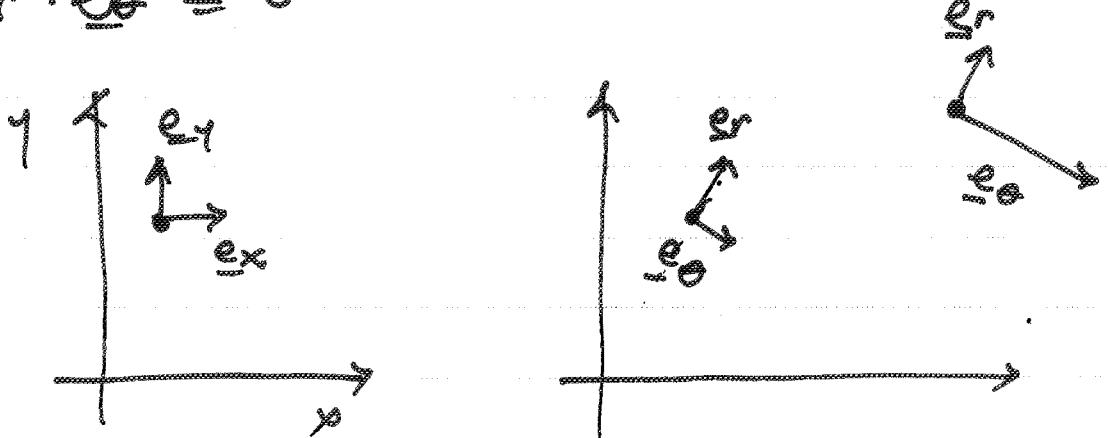
Let's consider in the same place a polar coordinate system  $\{r, \theta\}$

$$ds^2 = g_{rr} dr^2 + g_{\theta\theta} d\theta^2 = dr^2 + r^2 d\theta^2$$

$$g_{ij} = \begin{pmatrix} 1 & 0 \\ 0 & r^2 \end{pmatrix}; g^{ij} = \begin{pmatrix} 1 & 0 \\ 0 & r^{-2} \end{pmatrix}$$

$$\Rightarrow \underline{\epsilon}_r \cdot \underline{\epsilon}_r = 1 \quad \underline{\epsilon}_\theta \cdot \underline{\epsilon}_\theta = r^2$$

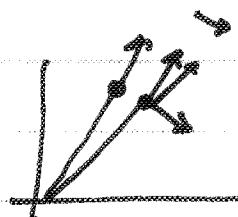
$$\underline{\epsilon}_r \cdot \underline{\epsilon}_\theta = 0$$



A bit of algebra shows that

$$\frac{\partial \underline{\epsilon}_r}{\partial r} = 0 \quad ; \quad \frac{\partial \underline{\epsilon}_r}{\partial \theta} = \frac{1}{r} \underline{\epsilon}_\theta$$

$$\frac{\partial \underline{\epsilon}_\theta}{\partial r} = \frac{1}{r} \underline{\epsilon}_r \quad ; \quad \frac{\partial \underline{\epsilon}_\theta}{\partial \theta} = -r \underline{\epsilon}_r$$



what matters here is that  $\frac{\partial \underline{\epsilon}_i}{\partial x^i} \neq 0$

so the derivative has a new term accountably for the change in the curvilinear coords.

Let's go back to 4)

$$= \frac{\partial}{\partial x^\alpha} e_\beta$$

will be a vector and hence expressed in terms of a basis

$$= (\Gamma_{\alpha\beta}^\mu) e_\mu$$

so

$$\frac{\partial}{\partial x^\alpha} V = \frac{\partial V^\beta}{\partial x^\alpha} e_\beta + \underbrace{V^\beta \Gamma_{\alpha\beta}^\mu e_\mu}_{\Gamma_{\alpha\beta}^\mu}$$

$$= \frac{\partial V^\beta}{\partial x^\alpha} e_\beta + V^\mu \Gamma_{\alpha\mu}^\beta e_\beta$$

$$= \left( \frac{\partial V^\beta}{\partial x^\alpha} + V^\mu \Gamma_{\alpha\mu}^\beta \right) e_\beta$$

$$V^\beta;_\alpha = \nabla_\alpha V^\beta$$

ie

$$V^*;_\beta = V^*;_\beta + \Gamma_{\beta\mu}^\alpha V^\mu = \nabla_\beta V^*$$

A corresponding derivative can be derived for a one-form

$$\nabla_\beta V_\alpha = V_{\alpha;\beta} = V_{\alpha,\beta} - \Gamma^\mu_{\alpha\beta} V_\mu$$

or a rank-2 tensor

$$\nabla_\alpha V^{\mu\nu} = V^{\mu\nu}_{,\alpha} + \Gamma^\mu_{\alpha\beta} V^{\beta\nu} + \Gamma^\nu_{\alpha\beta} V^{\mu\beta}$$

$\Gamma^\alpha_{\beta\gamma}$  : Christoffel symbol  
(affine connection)

$$\Gamma^\alpha_{\beta\gamma} = \Gamma^\alpha_{\gamma\beta} \quad : \text{sym. on lower indices}$$

Note that  $\Gamma^\alpha_{\beta\gamma}$  is not a tensor as it does not transform like a tensor.

Let's consider an example : 2D,  $\{r, \theta\}$

$$\underline{\nabla^\alpha} ; \alpha \neq \text{divergence}$$

$$= V^{\alpha}_{,\alpha} + \Gamma^\alpha_{\beta\alpha} V^\beta$$

$$= V^r_{,r} + V^\theta_{,\theta} + \Gamma^r_{r\alpha} V^r + \Gamma^\theta_{\theta\alpha} V^\theta$$

(43)

$$\Gamma_{r\alpha}^{\alpha} = \Gamma_{rr}^r + \Gamma_{r\theta}^{\theta} = \frac{1}{r}$$

$$\Gamma_{\theta\alpha}^{\alpha} = \Gamma_{\theta r}^r + \Gamma_{\theta\theta}^{\theta} = 0$$

$$= v_r^r r + v_\theta^\theta \theta + \frac{1}{r} v^r$$

$$= \frac{1}{r} \partial r (r v^r) + \partial_\theta v^\theta$$

: well-known  
expression of  
the divergence  
in polar coords.

A very important covariant derivative  
is that of the metric

$$g_{\mu\nu;\alpha} = 0 \quad (3)$$

This is easy to show and there are two  
different ways at least:

$$\begin{aligned} &= V_\alpha ; \beta = (V^\alpha g_{\mu\nu}) ; \beta = V^\alpha ; \beta g_{\mu\nu} + \\ &= (V^\mu ; \beta) g_{\mu\nu} \end{aligned}$$

$$\begin{aligned} &= V^\alpha g_{\mu\nu ; \beta} \\ &= V^\mu ; \beta g_{\mu\nu} \\ &+ V^\alpha g_{\mu\nu ; \beta} \Rightarrow \end{aligned}$$

$$V^\alpha g_{\mu\nu ; \beta} = 0 \Rightarrow g_{\mu\nu ; \beta} = 0 \quad \text{qed}$$

The second one is that (3) is a tensor  
equation and thus valid in all frames.

If I go to a cartesian coord. system  
then  $g_{\mu\nu}, \mu = 0 ; \Gamma^\mu_{\alpha\beta} = 0 \Rightarrow g_{\alpha\beta ; \mu} = 0$

Another possibility is to see  $g_{\mu\nu;\alpha}$  as the  
components of a tensor  $\nabla g$  and if zero  
in one frame they are zero in  $\equiv$  all frames. (45)

How do we calculate the  $T_s$ ?

Exploiting (3)!

$$g^{\mu\nu}v;\lambda = g_{\mu\nu,\lambda} - \Gamma_{\mu\nu}^\lambda g_{\nu}{}^\nu - \Gamma_{\nu\lambda}^\mu g_{\mu\nu} = 0 \quad (a)$$

$$g^{\nu\lambda}v;\mu = g_{\nu\lambda,\mu} - \Gamma_{\nu\mu}^\lambda g_{\nu}{}^\nu - \Gamma_{\lambda\mu}^\nu g_{\nu\nu} = 0 \quad (b)$$

$$g^{\lambda\mu};\nu = g^{\lambda\mu,\nu} - \Gamma_{\mu\nu}^\lambda g_{\nu}{}^\nu - \Gamma_{\nu\lambda}^\mu g_{\lambda\nu} = 0 \quad (c)$$

$$(b) + (c) - (a) \Rightarrow$$

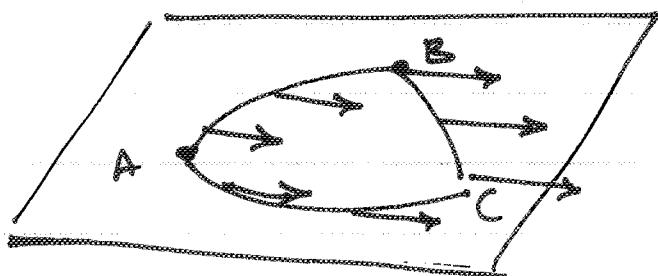
$$\Gamma^{\delta}_{\beta\gamma} = \frac{1}{2} g^{\alpha\delta} (g_{\alpha\delta,\beta} + g_{\delta\beta,\alpha} - g_{\beta\alpha,\delta})$$

It looks complicated but it's just algebra. Just remember that  $\Gamma \rightsquigarrow$  partial derivatives of the metric

if three of zero are free floating, they are zero in all frames.

At this point we need a "curvature detector", ie an object that allows us to detect that there is curvature.

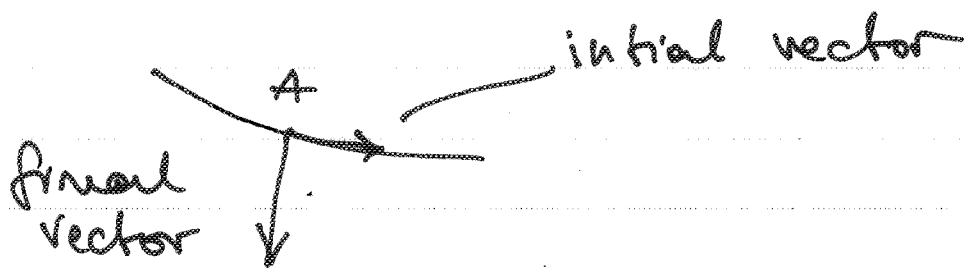
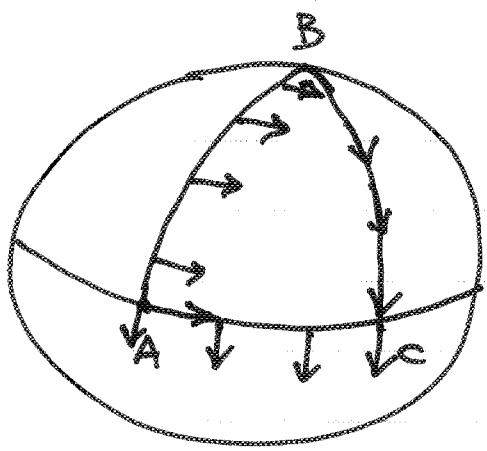
Any idea?



I can move a vector along a closed loop making sure to keep it always parallel to the same direction.

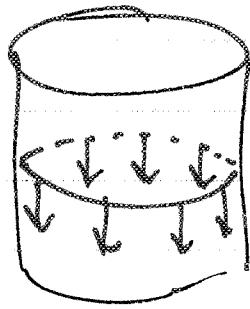
This is a "parallel transport." In a flat spacetime the result of this operation yields the same vector but this is clearly not the case if the surface is curved.

The point is that one must always "stay" on the surface (be tangent) and have the vector drawn as parallel as possible to the previous one.



Initial and final vectors are clearly different.

Careful with your intuition of curves!



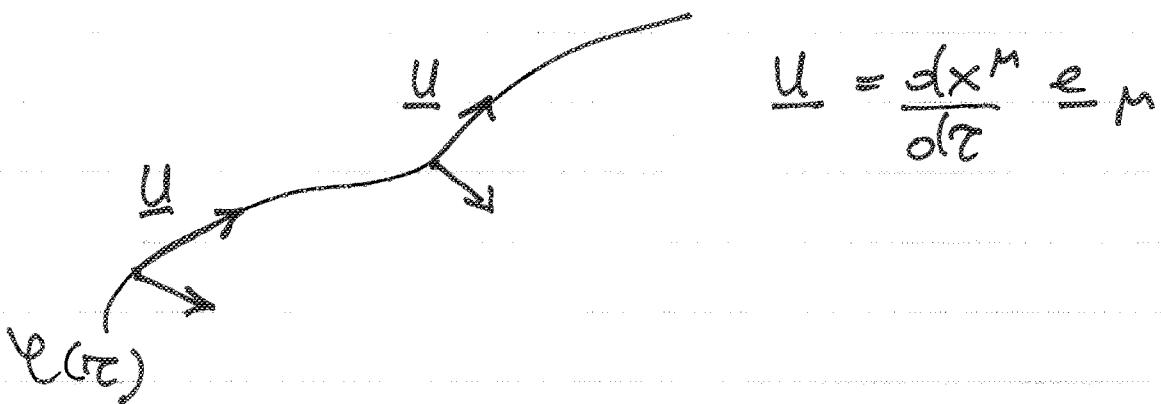
A cylinder is intrinsically flat: you can open and lay on a flat surface without creases.

mathematical

✓

We need now a definition of "parallel transport".

We want to move a vector along a curve without changing it.  
A curve is defined by its tangent vector



$$(4) \quad \nabla_{\underline{u}} \underline{V} = 0 \quad : \text{the variation of } \underline{V} \text{ along the curve with } \underline{V} \text{ by vector are zero}$$

In coordinate form

$$V^\alpha;_\beta U^\beta = 0 = V^\alpha;_\beta U^\beta + \Gamma^\alpha_{\beta\mu} U^\mu U^\beta$$

The concept of parallel transport allows also to define a "straight" curve

A "straight" curve is a curve that parallel transports its tangent vector

$$\nabla_{\underline{u}} \underline{u} = 0$$

$$u^\alpha_{;\beta} u^\beta = u^\alpha_{,\beta} u^\beta + \Gamma^\alpha_{\beta\mu} u^\mu u^\beta$$

but  $u^\alpha = \frac{dx^\alpha}{d\tau}$        $u^\alpha_{,\beta} = \frac{dx^\alpha}{d\tau} \frac{d}{dx^\beta} = \frac{d}{d\tau}$

$$= \frac{d}{d\tau} \left( \frac{dx^\alpha}{d\tau} \right) + \Gamma^\alpha_{\beta\mu} \frac{dx^\mu}{d\tau} \frac{dx^\beta}{d\tau} = 0 \quad (4)$$

This is a curve of "extremal" (smallest) length, i.e. a geodesic

Note that a geodesic is "straight" only in flat spacetime and remain the curve of minimal distance in any spacetime.

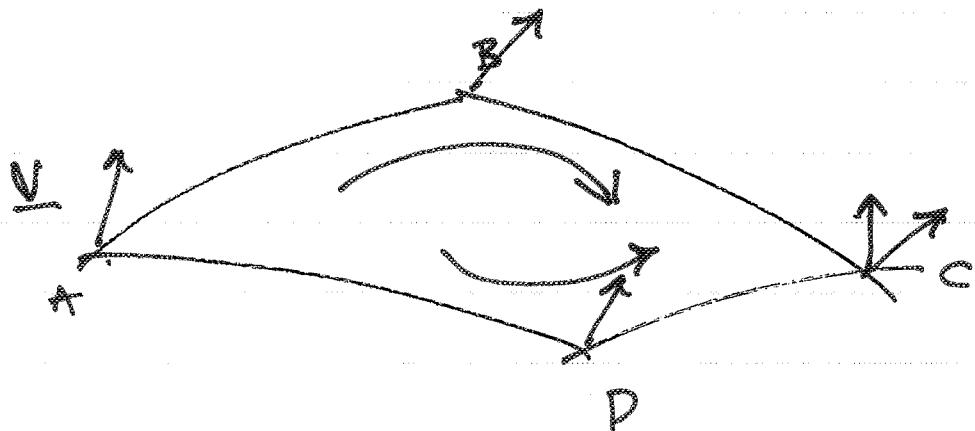
$$\text{If } \Gamma^\alpha_{\alpha\beta} = 0, \quad (4) \Leftrightarrow$$

$$(x^\alpha)'' = 0 \Rightarrow (x^\alpha)' = \text{const} \Rightarrow x^\alpha = k\tau$$

straight line

(49)

We can finally define the "curvature" following the same logic: move along a closed loop parallelly transporting a vector



Alternatively you can compare the vectors transported in two different directions

$$\begin{aligned} \nabla_{\alpha} \nabla_{\beta} Y^M &= V^M [ ; \alpha \beta ] = \frac{1}{2} (V^M_{;\alpha \beta} - V^M_{;\beta \alpha}) \\ &= \frac{1}{2} R^M_{\alpha \beta} V^N \end{aligned}$$

$\underline{\underline{R}}$  is the Riemann tensor.

Note that while  $V^M_{;\alpha \beta} = V^M_{;\beta \alpha}$

2 cov. deriv do not commute

$$V^M_{;\alpha \beta} \neq V^M_{;\beta \alpha}$$

(50)

$R$  is just a combination of  $T_s$ , ie  
1st and 2nd - order derivs of the  
metric

$$R^\mu_{\gamma\beta\delta} = \Gamma^\mu_{\gamma\alpha\beta} - \Gamma^\mu_{\gamma\beta,\alpha} + \Gamma^\mu_{\delta\beta} \Gamma^\delta_{\gamma\alpha} - \Gamma^\mu_{\delta\alpha} \Gamma^\delta_{\gamma\beta}$$

Note  $R^*_{\beta\gamma\delta} = 0 \iff \nabla_{[\alpha} \nabla_{\beta]} V^\mu = 0$



(zero curvature)  $\iff$  (no difference  
in parallel  
vector)

### Properties of Riemann tensor

1) Antisym. on last pair of indices

$$R^\lambda_{\beta\gamma\delta} = -R^\lambda_{\beta\delta\gamma}$$

2) Sym. on exchange of 1st and 2nd pair of indices

$$R^\lambda_{\gamma\beta\delta\sigma} = R^\lambda_{\gamma\delta\sigma\beta}$$

3) Anti sym. on last 3 indices

$$R_\alpha [\beta\gamma\delta] = 0$$

(51)

#### 4) Bianchi identity

$$R^\alpha_\beta [\gamma\delta; \mu] = 0$$

$$R^\alpha_\beta \gamma\delta; \mu + R^\alpha_\beta \gamma\mu; \delta + R^\alpha_\beta \delta\mu; \gamma = 0$$

The Bianchi identities also define another tensor equation

$$G^{\alpha\beta}; \beta = 0 \text{ where}$$

$$R^{\alpha\beta} - \frac{1}{2} R g^{\alpha\beta} = G^{\alpha\beta} : \text{Bianchi tensor}$$

$$R^{\alpha\beta} = R^M_{\alpha\mu\beta} = R_{\beta\alpha} : \text{Ricci tensor}$$

$$R = R^\alpha_\alpha = R^{\mu\nu}_{\mu\nu} : \text{Ricci scalar}$$

✓ Single Number  
containing all  
the information  
on curvature.

Let's consider a concrete example  
a 2-sphere

$$ds^2 = R^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$



$$g_{ij} = \begin{pmatrix} R^2 & 0 \\ 0 & R^2 \sin^2 \theta \end{pmatrix}; g^{ij} = \begin{pmatrix} R^{-2} & 0 \\ 0 & (R^2 \sin^2 \theta)^{-1} \end{pmatrix}$$

Calculating the corresponding  $\Gamma^k_{\mu\nu}$   
one finds that the only non-zero ones  
are

$$\Gamma^1_{22} = -\sin \theta \cos \theta; \quad \Gamma^2_{12} = \Gamma^2_{21} = \cot \theta$$

from which the only non-zero

$$R^1_{221} = -\sin^2 \theta = -\frac{1}{R^2} g_{22} \quad \boxed{Ricci scalar}$$

$$R^2_{121} = \frac{1}{R^2} g^{11} = 1$$

this is a single measure of the curvature of the 2-plane

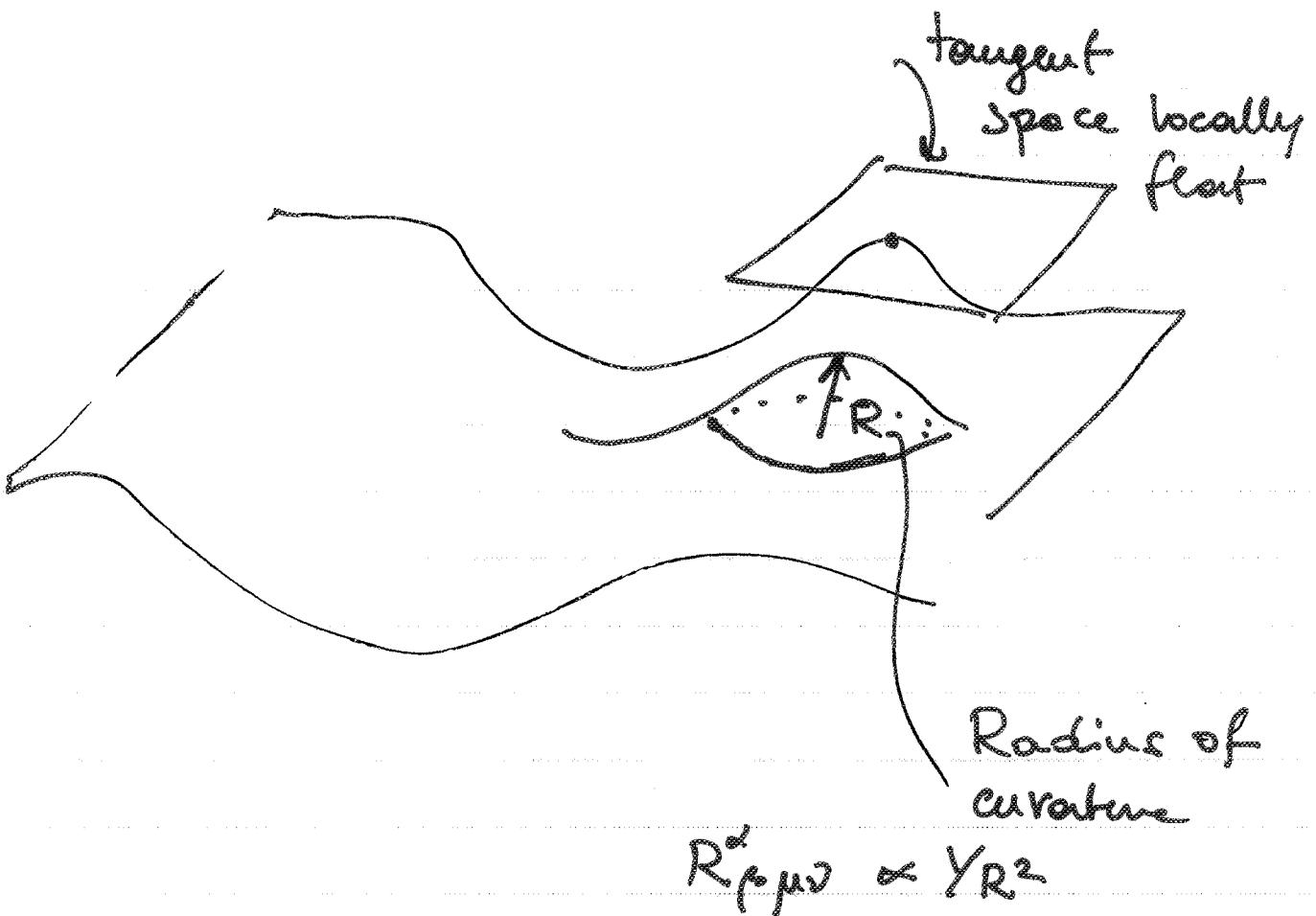
In general, for any 2-surface when  $R = \text{const}$

$$R^\alpha_{\mu\nu\beta} = \frac{1}{R^2} (\delta^\alpha_\beta g_{\mu\nu} - \delta^\alpha_\nu g_{\mu\beta})$$

Note that  $R^\alpha_{\mu\nu\beta} \propto 1/R^2$

curvature tensor is proportional to the inverse of the square of the radius

(53)



Clearly  $\therefore R \rightarrow \infty$  : flat spacetime

- can always find a tangent space which is locally flat

Important : if all the components of the Riemann tensor vanish, the manifold is flat

Note : for this to happen you must have  $\Gamma_{,\alpha} = 0$ . In other words not only the first derivatives have to be zero, but also the second ones.

The Riemann tensor is a  $4 \times 4 \times 4 \times 4$  matrix and hence it has 256 components! However they are not all independent.

Indeed the independent ones are only 20

$D=4$	$R^\alpha_{\beta\gamma\delta}$	$R^*_{\alpha\beta}$	$R$
ind. comp.	20	10	1

### STRONG EP

We have discussed that there are no global inertial frames but that at least locally it is possible to find a reference frame which is inertial, i.e. freely falling.

In this frame the laws of physics will be those of SR. Started differently: any law which can be expressed in tensor notation in SR has exactly the same form in a locally inertial frame of a curved spacetime.

This is the "comma-to-semicolon" rule

Ex

$$N^{\alpha}_{;\alpha} = 0 \quad T^{\alpha\beta}_{;\beta} = 0 \quad (5)$$

In a local inertial frame this law is the same as in a curved spacetime

$$N^{\alpha}_{;\alpha} = 0 \quad T^{\alpha\beta}_{;\beta} = 0 \quad (6)$$

Note that (6) are valid in the whole spacetime. This is why we don't really trash SR and its extension to GR is very simple.

Another example is a geodesic. In a local inertial frame particles move on straight lines, ie

$$u^\mu_{;\mu} u^\mu = 0 \quad \Rightarrow$$

This is eq.  
to  $x^\mu = k^\mu r$

$$u^\mu_{;\mu} u^\mu = 0$$

and hence freely falling particles move on time-like geodesic of the spacetime



this is an important result because geodesics reflect curvature of spacetime and freely falling particles are obeying gravitational field's produced by matter.

The logic is therefore followed by Einstein

$$(\text{CURVATURE}) \leftrightarrow (\text{MATTER})$$

we know that the RHS is given by  $T^{\alpha\beta}$  and that  $T^{\alpha\beta};_{\beta=0}$

I need a tensor on the LHS that has these properties, eg

$$R^{\alpha\beta} + c_1 g^{\alpha\beta} R + c_2 g^{\alpha\beta}$$

This is indeed a generalization of Einstein's tensor so that the field eqs for which  $c_1 = -\frac{1}{2}$

$$R^{\alpha\beta} - \frac{1}{2} g^{\alpha\beta} R + c_2 g^{\alpha\beta} = K T^{\alpha\beta}$$

We will see that  $K = \frac{8\pi G}{c^4}$  and  $c_2 = \Lambda$ :  
cosmol. Const.

We still need to compute the constant in the Einstein eqs. which hereafter we will consider in the case  $\Lambda=0$

$$G^{\alpha\beta} = R^{\alpha\beta} - \frac{1}{2} g^{\alpha\beta} R = k T^{\alpha\beta}$$

We need to obtain in the weak-field limit the standard Newtonian expression

$$\nabla^2 \phi = 4\pi G \rho$$

Let's contract with  $g^{\alpha\beta} \Rightarrow$

$$R^{\alpha\beta} g^{\alpha\beta} - \frac{1}{2} g^{\alpha\beta} g^{\alpha\beta} R = k T^{\alpha\beta} g^{\alpha\beta}$$

$$R^\alpha{}_\alpha - \frac{1}{2} R = k T^\alpha{}_\alpha = k T$$

$$R = -kT \Rightarrow$$

$$R^{\alpha\beta} = k (T^{\alpha\beta} - \frac{1}{2} g^{\alpha\beta} T) \quad (6)$$

In weak field  $T^{00} \gg T^{0j} \gg T^{ij}$ , using the covariant components, the 00 component of (6) is

$$R_{00} = k (T_{00} - \frac{1}{2} g_{00} T)$$

Note

$$\gamma_{00} = -1; \gamma^{00} = \frac{1}{\gamma_{00}} = -1$$

$$h_{00} = h^{00} \neq -1$$

In flat spacetime

$$\begin{aligned} &= T_{00} \neq \gamma^{00} T_{00} \\ &= \gamma^{\alpha\alpha} \gamma^{\beta\beta} T_{\alpha\beta} = (\gamma^{00})^2 T_{00} \end{aligned}$$

$$g_{00} T = g_{00} T^{\mu}_{\mu} = g_{00} \bar{g}^{\mu\nu} T_{\mu\nu}$$

$$\simeq g_{00} g^{00} T_{00} = T_{00}$$

$$T_{00} - \frac{1}{2} g_{00} T = \frac{T_{00}}{2} \hat{=} \gamma_{00} \gamma_0 \alpha \frac{T^{\alpha\beta}}{2}$$

$$= (\gamma_{00})^2 \frac{T^{00}}{2} = \rho \frac{c^2}{2}$$

$$R_{00} = \frac{k}{2} \rho c^2$$

The LHS can be computed easily to be

$$R_{00} = -\frac{1}{2} h_{00,ii} = -\frac{1}{2} \nabla^2 h_{00}$$

where we have introduced  $h_{\mu\nu}$  as a correction to the flat spacetime metric, ie

$$g^{\mu\nu} = \gamma^{\mu\nu} + h^{\mu\nu}$$

We need to relate  $h_{00}$  to  $\phi$  and we can do this by using the geodesic equation which we know is the equation of a freely falling particle and the eq. of motion in the presence of gravity

$$\frac{d^2x^\mu}{dt^2} + \Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{dt} \frac{dx^\beta}{dt} = 0 \quad \text{vs} \quad \ddot{x} = -\vec{\nabla} \phi$$

consider spatial components and neglect terms  $O(r^2) \Rightarrow \Gamma_{\alpha\beta}^\mu u^\alpha u^\beta \sim \Gamma_{00}^\mu u^0 u^0 = \Gamma_{00}^\mu$

$$\ddot{x}^k = -\Gamma_{00}^k = -\frac{1}{2} \nabla^k h_{00}$$

re-introduced c      ↗ bit of algebra

$$\ddot{x}^k = -\frac{c^2}{2} \nabla^k h_{00} = -\nabla^k \phi$$

weak limit  
of good eq.

$$\Rightarrow \frac{\phi}{c^2} = -\frac{h_{00}}{2} \quad \text{or} \quad h_{00} = -2\frac{\phi}{c^2}$$

let's go back to the LHS of Einstein eqs

$$R_{00} = -\frac{1}{2} \nabla^2 h_{00} = -\frac{1}{2} \nabla^2 \left( -2\frac{\phi}{c^2} \right) = \frac{\nabla^2 \phi}{c^2}$$

putting things together : Einstein eqs in weak field limit

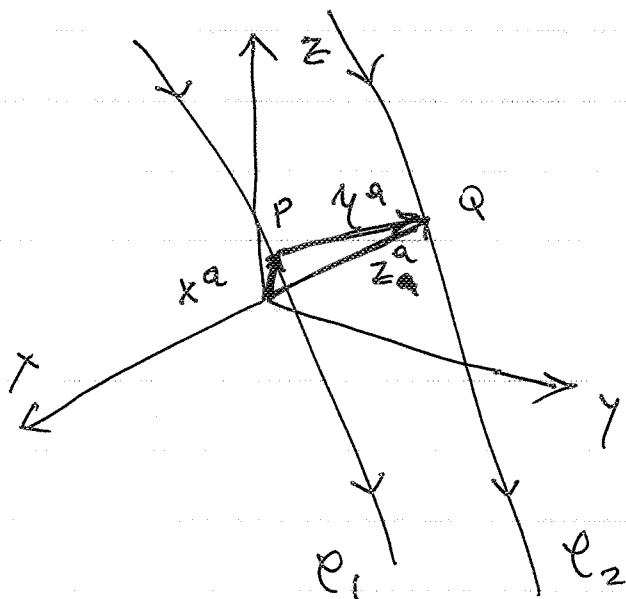
$$\frac{\nabla^2 \phi}{c^2} = \frac{k\rho c^2}{2} \quad \text{vs} \quad \nabla^2 \phi = 4\pi G\rho$$

$$k = \frac{8\pi G}{c^4}$$

## Geodesic Deviation

We have seen that geodesics are the trajectories of free particles and that these are parallel in an inertial frame and not in general.

Now we compute how the separation between two geodesics varies and relate this change to the curvature of spacetime. Before doing this in GR let's do this calculation in Newtonian gravity



$$\begin{aligned}x^a &= x^a(t) \text{ along } P_1 \\z^a &= z^a(t) \quad \text{along } P_2 \\&= x^a(t) + \gamma^a(t)\end{aligned}$$

The equations of motion will be those of bodies in free fall

$$(7) \quad \dot{x}^a = -(\partial^a \phi)_P$$

$$\begin{aligned}(8) \quad \ddot{z}^a &= -(\partial^a \phi)_Q = \dot{x}^a + \dot{\gamma}^a \\&= -[\partial^a[(\phi)_P + \gamma^b \partial_b (\phi)_P]] \\&= -(\partial^a \phi)_P - \gamma^b \partial^a \partial_b (\phi)_P = -(\partial^a \phi)_P - k^a_b \gamma^b\end{aligned}$$

$$(\phi)_Q = (\phi)_P + \gamma^b (\partial_b \phi)_P$$

Taylor exp.

where  $k^a_b \equiv \partial^a \partial_b f$  : 2nd deriv. of potential

Comparing (7) and (8)

$$\boxed{\dot{y}^a = -k^a_b y^b} \quad (9)$$

This is the eq. of motion of the vector  $y$  separating the two trajectories.

If the field is uniform  $\partial^a \phi = 0 = \partial^b \partial_a \phi$   
 $\Rightarrow \dot{y}^a = 0 \Rightarrow y^a = \text{const}$

Thus even in Newtonian gravity two freely falling particles will meet eventually

$$\Phi = -\frac{M}{r} \Rightarrow \partial^a \partial_b \phi = +\partial^r \left( -\frac{M}{r^2} \right) = -2 \frac{M}{r^3}$$

$$\text{ie } \dot{y}^a = \ddot{y}^r = -2 \frac{M}{r^3}$$

These are tidal fields and scale like  $r^{-3}$

Q

62

62

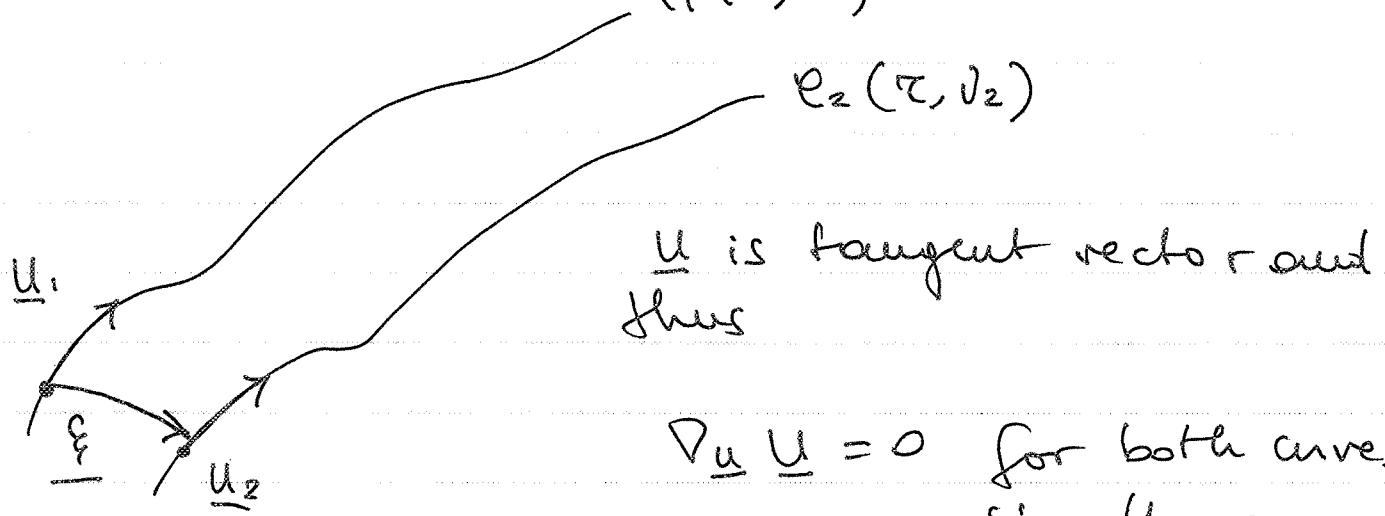
## Back to GR

We have seen that deviations from // transport are measured by the curvature tensor

$$2 \nabla_{[\alpha} \nabla_{\beta]} V^M = R^M{}_{\alpha\beta} V^\lambda$$

$$\varphi_1(\tau, v_1)$$

$$\varphi_2(\tau, v_2)$$



$\underline{U}$  is tangent vector and  
thus

$$\nabla_{\underline{U}} \underline{U} = 0 \text{ for both curves}$$

since they are  
geodesics

$\xi$  vector connecting the two geodesics

$$\xi^\alpha = \frac{dx^\alpha}{dv}$$

parameter used to distinguish the geodesics

It is now easy to show that the covariant derivative of  $\underline{V}$  along  $\xi$  is the same as the covariant derivative of  $\xi$  along  $\underline{V}$

$$\nabla_{\underline{\xi}} \underline{U} = \nabla_{\underline{U}} \underline{\xi}$$

Proof

$$\nabla_{\underline{\xi}} U^\alpha = U^\beta; {}_\beta \underline{\xi}^\beta = U^\alpha, {}_\beta \underline{\xi}^\beta + \Gamma_{\beta\mu}^\alpha \underline{\xi}^\mu U^\mu$$

$$\nabla_{\underline{U}} \underline{\xi}^\alpha = \underline{\xi}^\beta; {}_\beta U^\mu = \underline{\xi}^\alpha, {}_\beta U^\mu + \Gamma_{\beta\mu}^\alpha U^\mu \underline{\xi}^\mu$$

$$(\text{---}) \Rightarrow$$

$$\underbrace{U^\beta; {}_\beta \underline{\xi}^\beta - \underline{\xi}^\beta; {}_\beta U^\mu}_{=0} + \underbrace{\Gamma_{\beta\mu}^\alpha (\underline{\xi}^\mu U^\mu - U^\mu \underline{\xi}^\mu)}_{=0 \text{ because}}$$

because it is equal to

contraction of sym. and anti sym. tensor

$$= \frac{dx^\beta}{d\tau} \frac{d}{dx^\beta} \frac{dx^\alpha}{d\tau} - \frac{dx^\beta}{d\tau} \frac{d}{dx^\beta} \frac{dx^\alpha}{d\tau} T^{[\alpha\beta]} = 0$$

$$= \frac{d^2x^\alpha}{d\tau d\tau} - \frac{d^2x^\alpha}{d\tau d\tau}$$

I next want to take another cov. derivative of  $\underline{\xi}$  along  $\underline{U}$

$$\begin{aligned}\nabla_{\underline{u}} \nabla_{\underline{u}} \xi^\alpha &= \nabla_{\underline{u}} \nabla_{\underline{\xi}} u^\alpha \\ &= 2 \nabla[\underline{u} \nabla_{\underline{\xi}}] u^\alpha \quad (9)\end{aligned}$$

proof

$$\begin{aligned}2 \nabla[\underline{u} \nabla_{\underline{\xi}}] u^\alpha &= \nabla_{\underline{u}} \nabla_{\underline{\xi}} u^\alpha - \nabla_{\underline{\xi}} \nabla_{\underline{u}} u^\alpha \\ &= \nabla_{\underline{u}} \nabla_{\underline{u}} \xi^\alpha\end{aligned}$$

on the other hand it is possible to calculate (good exercise)

$$2 \nabla[\underline{u} \nabla_{\underline{\xi}}] u^\alpha = R^\alpha_{\beta\mu\nu} u^\mu \xi^\nu u^\beta \quad (10)$$

(9), (10)  $\Rightarrow$

$$\boxed{\nabla_{\underline{u}} \nabla_{\underline{u}} \xi^\alpha = R^\alpha_{\beta\mu\nu} u^\mu \xi^\nu u^\beta}$$

this is the eq. of geodesic deviation  
(second cov. der. along  $\xi$  of  $\xi$ )

let's search the analogy with Newtonian

$$\nabla_{\underline{u}} = \frac{D}{D\xi}$$

then (10)  $\Leftrightarrow$

$$\frac{D^2 \xi^\alpha}{d\tau^2} = R^\alpha_{\beta\mu\nu} U^\beta U^\mu \xi^\nu = k^\alpha \circ \xi^\nu$$

$$\text{where } k^\alpha = R^\alpha_{\beta\mu\nu} U^\beta U^\nu$$

this is to be compared with the corresponding Newtonian expression

$$\ddot{\xi}^\alpha = -k^\alpha \circ \xi^\nu$$

As in Newtonian physics, if

$$R^\alpha \circ = 0 \quad (\text{i.e. } R^\alpha_{\beta\mu\nu} = 0) \text{ then}$$

$\frac{D^2 \xi^\alpha}{d\tau^2} = 0$  : geodesics that are initially parallel remain parallel.

Alternative view: the convergence/divergence of geodesics initially parallel are telling us about the curvature of spacetime.

I can monitor two freely falling particles and check if their distance changes. This is indeed a GW detector!

Let's consider a concept already mentioned : tidal fields in the exterior of a black hole

It is possible to calculate the geodesic deviation for particles freely falling onto a black hole and obtain

$$\frac{D^2 y^r}{D\tau^2} = \frac{2M}{r^3} y^r$$

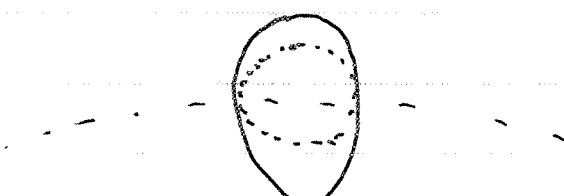
$$y^\alpha = h^\alpha_\beta g^\beta$$

$$\frac{D^2 y^\phi}{D\tau^2} = - \frac{M}{r^3} y^\phi$$

$$h_{\alpha\beta} = g_{\alpha\beta} + u_\alpha u_\beta$$

projector

$$\frac{D^2 y^\theta}{D\tau^2} = - \frac{M}{r^3} y^\theta$$



$$\frac{D^2 y^r}{D\tau^2} > 0$$

$$\frac{D^2 y^\theta}{D\tau^2} < 0$$



# GRAVITATIONAL WAVES

The starting point one EFEs

$$G_{\mu\nu} = 8\pi T_{\mu\nu} \quad (1)$$

but we need to look for solutions in a linearized theory of gravity, ie in a limit in which the fields and hence the curvature is small.

Let's start from flat space-time and move away from there

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu} \quad \text{where}$$

$$\eta_{\mu\nu} = \begin{pmatrix} -1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix}$$

and

$$|h_{\mu\nu}/\eta_{\mu\nu}| \ll 1$$

Ex

$$h_{\mu\nu} \sim \phi \sim \frac{M_0}{R_0} \sim 10^{-6}$$

If we want to rewrite (1) we need to work out the affine connections

$$\Gamma^\mu_{\alpha\beta} = \frac{1}{2} g^{\mu\rho} (g^{\nu\alpha}\beta + g^{\rho\alpha}\nu - g^{\alpha\beta}\nu)$$

$$g^{\nu\alpha}\beta = g^{\nu\alpha}\beta + h^{\nu\alpha}\beta = h^{\nu\alpha}\beta \Rightarrow$$

$$\begin{aligned} \bullet \quad \Gamma^\mu_{\alpha\beta} &= \frac{1}{2} g^{\mu\rho} (h^{\nu\alpha}\beta + h^{\beta\nu}\alpha - h^{\alpha\beta}\nu) \\ &= \frac{1}{2} (h^\mu_{\nu,\beta} + h^\mu_{\beta,\alpha} - h^\mu_{\alpha,\beta}) \end{aligned}$$

Note that the indices of  $h_{\mu\nu}$  are raised and lowered using  $g^{\mu\nu}$  and  $g_{\mu\nu}$  and NOT  $g^{\alpha\beta}$

Similarly, the linearized Ricci tensor

$$\begin{aligned} R_{\mu\nu} &= \Gamma^\alpha_{\mu\nu,\alpha} - \Gamma^\alpha_{\mu\alpha,\nu} \\ &= \frac{1}{2} (h^\alpha_{\nu,\alpha\alpha} + h^\alpha_{\nu,\alpha\alpha} - h^\alpha_{\mu\nu,\alpha\alpha} - h_{\mu\nu}) \end{aligned}$$

where  $h \equiv h^\alpha_\alpha = \frac{1}{n} \sum_{\mu} h_{\mu\mu}$  trace of  $h_{\mu\nu}$

(\*)

$$\bar{h} = -h$$

Proof

$$\begin{aligned}\bar{h} &= \bar{h}^\mu_\mu = h^\mu_\mu - \frac{1}{2} g^\mu_\mu h \\ &= h - \frac{1}{2} \cdot 2h = -h\end{aligned}$$

$$R = g^{\mu\nu} R_{\mu\nu} \equiv \gamma^{\mu\nu} R_{\mu\nu}$$

$$\Rightarrow 2G_{\mu\nu} = 16\pi T_{\mu\nu} \iff$$

$$\begin{aligned} h_{\mu\nu,\alpha}{}^\alpha + h_{\nu\alpha,\mu}{}^\alpha - h_{\mu\nu,\alpha}{}^\alpha - h_{\nu\mu,\alpha}{}^\alpha - \gamma_{\mu\nu}(h_{\alpha\beta}{}^\alpha{}^\beta - h_{\beta\beta}{}^\alpha{}^\beta) \\ = 16\pi T_{\mu\nu} \end{aligned} \quad (2)$$

Expression (2) can be made more compact if we use the trace-free part of  $h_{\mu\nu}$

$$\bar{h}_{\mu\nu} = h_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} h$$

where the bar can be applied to any sym tensor:

$$G_{\mu\nu} = \bar{R}_{\mu\nu}; \bar{h}_{\mu\nu} = h_{\mu\nu} \text{ trace of } \bar{h}_{\mu\nu}$$

$$\begin{aligned} \text{Proof: } \bar{h}_{\mu\nu} &= h_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} h \stackrel{(+)}{=} \\ &= \bar{h}_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} (\gamma^{\alpha\beta} \bar{h}_{\alpha\beta}) \\ &= \bar{h}_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} (\gamma^{\alpha\beta} (h_{\alpha\beta} - \frac{1}{2} \gamma_{\alpha\beta} h)) \end{aligned}$$

$$= h_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} h - \frac{1}{2} \gamma_{\mu\nu} (h - \frac{1}{2} \gamma_{\alpha\beta} h)$$

$$= h_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} h + \frac{1}{2} \gamma_{\mu\nu} h = h_{\mu\nu}$$

In this case the EFEs take the form

$$-\bar{h}_{\mu\nu,\alpha}{}^\alpha - \gamma_{\mu\nu}\bar{h}_{\alpha\beta,\alpha}{}^\beta + \bar{h}_{\nu\alpha,\mu}{}^\alpha = 16\pi T_{\mu\nu} \quad (3)$$

$$-\square \bar{h}_{\mu\nu} = -(\partial_x^2 + \partial_y^2 + \partial_z^2) \bar{h}_{\mu\nu}$$

We can now exploit the gauge freedom and specify  $\bar{h}_{\mu\nu}$  so that

$$\bar{h}_{,\alpha}{}^\alpha = 0 \quad (4)$$

(4) is the equivalent of the Lorentz gauge condition (and as mentioned at first)

### DIGRESSION

We have already seen that if we make an infinitesimal coordinate transformation

$x^{\alpha'} = x^\alpha + \xi^\alpha$ , then the new "perturbed" metric

$$g_{\mu\nu} + h_{\mu\nu} = g_{\mu\nu} + h_{\mu\nu} + \xi_{\mu,\nu} - \xi_{\nu,\mu}$$

$$\text{i.e. } h_{\mu\nu}^{\text{new}} = h_{\mu\nu}^{\text{old}} + \xi_{\mu,\nu} - \xi_{\nu,\mu}$$

where  $\xi^\mu$  are arbitrary but small functions.

For any of these all of the observables are unmodified.

Think of electromagnetism

CW5

$$A_\mu \rightarrow A_{\mu'} = A_\mu + \psi_\mu$$

$$\text{then } F_{\mu'v'}^{\text{NEW}} = A_{\mu',v'} - A_{v',\mu'} = A_{\mu,v} + \psi_{\mu v}$$

$$A_{v,\mu} - \psi_{v\mu} = F_{\mu,v}^{\text{old}}$$

□

Recap: the linearized theory of gravity  
with

$$g_{\mu\nu} = g_{\mu\nu} + h_{\mu\nu} = \eta_{\mu\nu} + \bar{h}_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} \bar{h}$$

satisfies the field equations

$$-\bar{h}_{\mu\nu,\alpha}^\alpha = 16\pi T_{\mu\nu} \quad (5)$$

21

Gauge transformations and coordinate  
transformations in linearized gravity

In their linearized form, the EFE are  
invariant to both GLOBAL coordinate  
transformations and LOCAL ones.

1) Global Lorentz transf.

$$x^\mu \rightarrow x^{\mu'}$$

$$x^{\mu'} = \Lambda^{\mu'}_{\alpha} x^\alpha ;$$

$$g_{\mu'\nu'} = \Lambda^\mu_\alpha \Lambda^\nu_\beta g_{\mu\nu}$$

The metric then transforms as

$$g_{\mu'\nu'} = g_{\mu\nu} + h_{\mu'\nu'} \quad \text{and} \quad \Delta^{\mu'}_{\nu'} = \Delta^\mu_\nu (g_{\mu\nu} + h_{\mu\nu})$$

i.e. the perturbations also transform  
like tensors

$h_{\mu'\nu'} = \Lambda^\mu_\alpha \Lambda^\nu_\beta h_{\mu\nu}$	(*)
--	-----

2) One can also consider small LOCAL  
coordinate transformations

$$x^{\mu'}(\rho) = x^\mu(\rho_0) + \xi^\mu \quad \text{where}$$

$$\frac{\xi^\mu}{x^\mu} \ll 1$$

we can always perform these transformations if these (some)  $\epsilon^{\mu \nu} \ll 1$

As an example consider the temperature as a function of position  $T = T(x^\mu)$  and perform a small coordinate transf.

$$T(x^\mu - \epsilon^\mu) = T(x^\mu + \epsilon^\mu) = T(x^\mu - \epsilon^\mu) \sim$$

temp. at new position = temp. at old position  
shifted by  $\epsilon^\mu$

$$\approx T(x^\mu) - T_{,\mu} \epsilon^\mu$$

If  $T = \cos^2(x^\circ)$  and  $\epsilon^\circ = 0.001 \sin(x^2)$

$$T_{,\mu} \epsilon^\mu = 2 \partial_\mu T \epsilon^\mu = -2 \cos(x^\circ) \sin(x^\circ)$$

$$\Rightarrow T' = \cos^2(x^{\circ'}) + \underbrace{0.002 \cos(x^\circ) \sin(x^\circ) \sin(x^2)}_{\text{negligible}}$$

these changes cannot be ignored in the metric which is already measuring deviations from flat and therefore gravity.

$$g_{\alpha'\beta'}(x^\mu(\theta)) = g_{\mu\nu}(x^\sigma(\theta)) \frac{\partial x^\mu}{\partial x^{\alpha'}} \frac{\partial x^\nu}{\partial x^{\beta'}}$$

so that

$$h^{\mu\nu}_{\text{NEW}} = h^{\mu\nu}_{\text{OLD}} - \epsilon^{\mu}_{\nu,\alpha} - \epsilon^{\nu}_{\mu,\alpha} \quad (**)$$

↑ this is the result of the local coordinate transf.

(\*\*) One reminiscent of what happens in EM, there too the eqs are "invariant" for transformations of the type

$$A_\mu' = A_\mu + \psi_\mu$$

so that

$$\begin{aligned} F_{\mu'\nu'}^{\text{NEW}} &= A_{\mu',\nu'} - A_{\nu',\mu'} = A_{\mu,\nu} + \psi_{\mu\nu} \\ &\quad - A_{\nu,\mu} - \psi_{\nu\mu} \\ &= F_{\mu\nu}^{\text{OLD}} \end{aligned}$$

In a similar way one can show that

$$-R_{\alpha'\beta'\mu\nu}^{\text{NEW}} = R_{\alpha\beta\mu\nu}^{\text{OLD}}$$

D4

because all equations are invariant under the transformation (\*\*\*) one has the freedom to choose  $\epsilon^\mu$  in the most suitable way

Note : fixing the GLOBAL gauge does not fix the LOCAL one.

If one chooses the Lorentz gauge

$$\bar{h}^{\mu*},_\alpha = 0$$

the eqs are still invariant for any choice of the  $\epsilon^\mu$  such that

$$\epsilon^{\mu*},_\alpha = 0$$

we will exploit this freedom later on.

let's consider now the linearized eqs of gravity in vacuum

$$h_{\mu\nu,\alpha}^{\alpha} = 0 \Leftrightarrow$$

$$\square h^{\mu\nu} = 0$$

(6)

↑  
D'Alembertian

In the Lorentz gauge the gravitational field propagates in spacetime as a wave

Note: in linearized theory the spacetime is curved although not severely.

Q: What's the simplest solution to (6)?

It's a plane wave

$$h_{\mu\nu} = \operatorname{Re} \left\{ A_{\mu\nu} e^{ik^a x^a} \right\} \quad (7)$$

↓  
Wave vector  
↑  
Amplitude tensor

where  $k^a$  is a null wave vector

$k^a k_a = 0$  and therefore the plane wave described by (7) is propagating in the direction

$$\tilde{k} = \frac{1}{k_0} (k_x, k_y, k_z) \text{ with frequency } \omega = k^0 = (k_i k_i)^{1/2}$$

sym - met tensor  
GR 7

Solutions (7) indicate there are  $16 - 6 = 10$  independent components in the amplitude tensor but we know there are only 5 dynamical degrees of freedom in GR ('spin-2' fields)

The excess of components is due to the fact that we still have not yet constrained all the possible gauge conditions

A)  $h^{\mu\nu}_{,\nu} = 0$  Lorentz gauge [4]  
 $A_{\mu\nu} k^\nu = 0$  ( $k$  is orthogonal to  $E$ )

B) Select a global Lorentz frame, (as in SR)  
 with 4-vel  $U^\mu$  such that

$$A_{\mu\nu} U^\nu = 0 \quad (*) \quad [3]$$

C) Choose the remaining gauge freedom to set  $A_\mu U^\mu = 0$ . [1]

This condition is equivalent to fixing the infinitesimal gauge transformation, ie choosing the displacement vector

$$\xi^\mu = i C^\mu e^{ik_\alpha x^\alpha}$$

(\*) Note that  $A_{\mu\nu} U^\nu = 0$  are just 3 conditions because I also know that

$$k^\mu A_{\mu\nu} U^\nu = 0 \quad \Rightarrow \quad A_{00} U^0 + A_{01} U^1 + \dots + A_{03} U^3 = 0$$

$$A_{10} U^0 + \dots = 0$$

$$A_{30} U^0 \dots = 0$$

If we now choose a Lorentz frame i.e GRB which  $U^{\alpha} = (1, 0, 0, 0)$  ie  $U^0 = 1; U^i = 0$  the conditions expressed before for  $A_{\mu\nu}$  can be translated in conditions for  $h_{\mu\nu}$ .

In particular

(B)  $A_{\mu\nu} U^{\nu} = 0 \Leftrightarrow h_{\mu 0} = 0$  : only the spatial components of  $h_{\mu\nu}$  are nonzero

(A)  $A_{\mu\nu} k^{\nu} = 0 \Leftrightarrow h_{ij}, j=0$  : the spatial component are divergence free

(C)  $A_{\alpha}^{\alpha} = 0 \Leftrightarrow h = h^k k = 0$  : the spatial components are trace-free

CONDITIONS (A), (B), (C) DEFINE THE TT GAUGE

Because  $h = 0$  there is no difference between  $h_{\mu\nu}$  and  $\tilde{h}_{\mu\nu}$  in this gauge.

Q: One might wonder: how general is this treatment?

We know from EM that any EM wave can be decomposed as the superposition of planar waves.

The same is true in linearized gravity

Furthermore, because the gauge conditions (A)-(C) are all linear in  $h_{\mu\nu}$ , we can say that any gravitational wave can be written in a TT gauge.

Indeed there is a Theorem:

Pick a specific global Lorentz frame (ie a specific 4 vel. vector such that  $u^\alpha = \delta^\alpha_0$ ). For any gravitational wave satisfying

$$\square h_{\mu\nu} = 0$$

one can find suitable gauge conditions such that

$$\square h_{ij} = 0 \quad \& \quad h_{\mu\nu} = h_{\mu\nu}^{TT} \quad \Leftrightarrow \quad h_{\mu\nu} \text{ satisfies (A)-(C)}$$

only 6 eqs

### MAKING SENSE OF THE TT-GAUGE

The use of the TT gauge introduces important advantages and simplifications.

The most relevant one is the the only nonvanishing time-space components of the Riemann tensor are:

$$R_{j0k0} = R_{0j0k} = -R_{j00k} = -R_{0jk0}$$

where

$$R_{j0k0} = -\frac{1}{2} h_{jk,00}^{TT} \quad (B)$$

(B) indicates that a travelling gw introduces a local oscillation of the curvature tensor

$$h_{ij} \propto e^{i\omega t}$$

$$h_{ij, \infty} \sim -\omega^2 e^{i\omega t} \sim R_{ij, \infty}$$

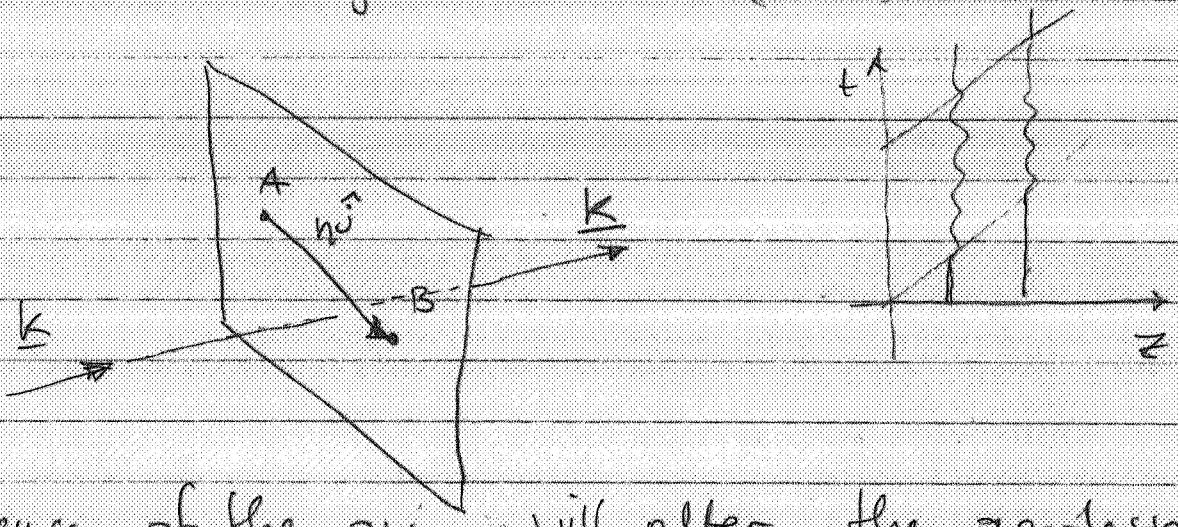
This oscillatory behaviour appears as oscillations in the separation between two neighbouring particles A and B

Consider a coordinate system  $\hat{x}^\alpha$  attached on A and comoving with it :  $\hat{x}^0 = c$ ;  $\hat{x}^j = 0$

↑ proper time

All along A's worldline the line element is

$$ds^2 = -d\tau^2 + \delta_{ij} dx^i dx^j + O(|x^i|) dx^\alpha dx^\beta$$



The presence of the gw will alter the geodesic motion of A, B and be and this will manifest in the geodesic deviation equation

$$U^c U^P V^* \beta^r = - R^{\alpha} \beta^r \delta U^P V^* U^{\alpha}$$

$$\frac{d^2 V^{\alpha}}{d\lambda^2} + \Gamma_{\beta\gamma}^{\alpha} \frac{dV^{\alpha}}{d\lambda} \frac{dV^{\beta}}{d\lambda} = R^{\alpha} \beta^r \delta U^P V^* U^{\alpha}$$

Define  $\hat{n}_B^j = -\hat{x}_A^j + \hat{x}_B^j = \hat{x}_B^j$

$$\frac{d^2 \hat{n}_B^j}{dt^2} = -R^j \partial_k \hat{n}_B^k \quad (9)$$

Because  $\Gamma_{\beta\gamma}^j = 0$  in the vicinity of A, (9)  
 $\Leftrightarrow$

$$\frac{d^2 \hat{x}_B^j}{dt^2} = -R^j \partial_k \hat{x}_B^k \quad \Leftrightarrow t=2$$

$$\frac{d^2 \hat{x}_B^j}{dt^2} = \frac{1}{2} \left( \frac{\partial^2 h^{TT}}{\partial t^2} \right) \hat{x}_B^k$$

where we have  
 chosen a gauge  
 that at first order  
 in  $h^{TT}$  moves with A

after the time integration

$$\hat{x}_B^j(t) = \hat{x}_B^k(0) \left[ \delta_{jk} + \frac{1}{2} h_{jk}^{TT} \right] \quad (10)$$

↑  
INITIAL POSITION

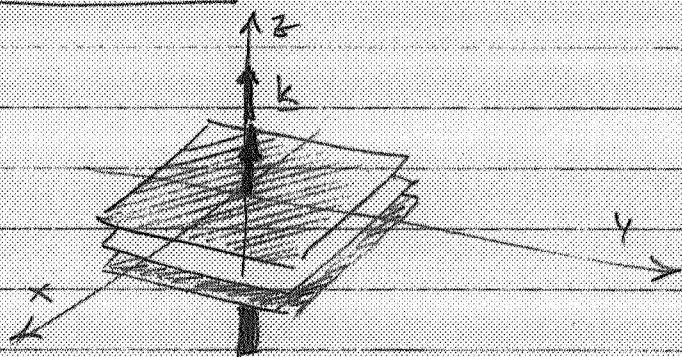
Eq. (10) tells us that in the reference frame comoving with A the particle B is subject to an oscillation with amplitude  $h_{jk}^{TT}$

If the particles are in the direction of the wave (ie  $\vec{n} \parallel \vec{k}$ ), then no oscillation is recorded (these are transverse waves)

$$h_{jk}^{TT} \times_B^k(0) \propto h_{jk} k^k = 0$$

i.e. the wave is really transverse: not only in the mathematical description but also in the physical behavior (geod. deviation)

Let's specify to a concrete example:  
consider a wave propagating in the positive z-direction



Then

$$h_{xx}^{TT} = -h_{yy}^{TT} = \text{Re} \left\{ A + e^{-i\omega(t-z)} \right\}$$

$$h_{xy}^{TT} = h_{yx}^{TT} = \text{Re} \left\{ A_x e^{-i\omega(t-z)} \right\}$$

$A$  and  $A_x$  represent the two independent modes of polarization

Just like in EM, we can decompose a gw in two linearly polarized plane waves or in two circularly polarized ones

The polarization can be expressed in terms  
of the LINEAR POLARIZATION TENSORS

$$\bar{e}_+ = \bar{e}_x \otimes \bar{e}_x - \bar{e}_y \otimes \bar{e}_y \quad \text{linear pol. vectors}$$

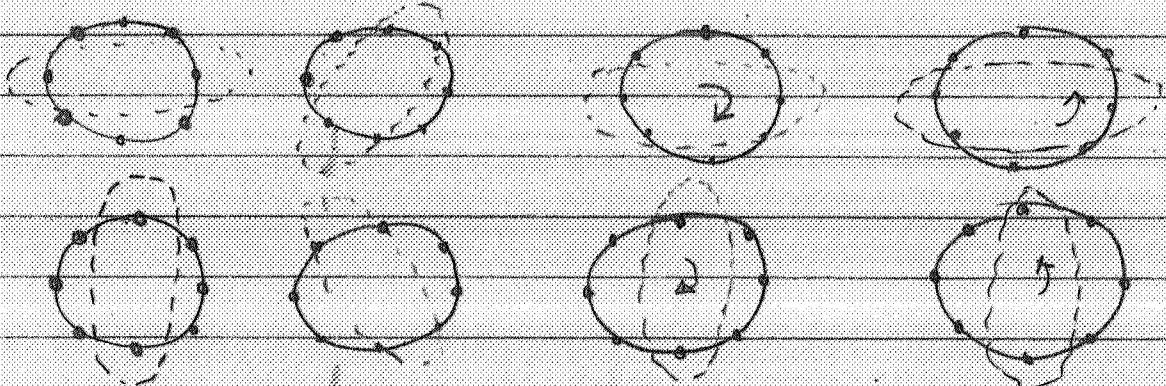
$$\bar{e}_x = \bar{e}_x \otimes \bar{e}_x + \bar{e}_y \otimes \bar{e}_y$$

Similarly one can define the CIRCULAR POL. TENSOR

$$\bar{e}_L = (\bar{e}_x + i\bar{e}_y)/\sqrt{2}$$

$$\bar{e}_R = (\bar{e}_x - i\bar{e}_y)/\sqrt{2}$$

$$\bar{e}_+ \quad \bar{e}_x \quad \bar{e}_L \quad \bar{e}_R$$



Deformations of rings of particles  
through the action of gws with single  
polarization, e.g.

$$h_{jk} = \operatorname{Re} \left\{ A_+ e^{-i\omega(t-z)} (e_+)^{jk} \right\}$$

# GENERATION OF GWs

GWs

We will estimate the generation of GWs using Newtonian physics. Modulo factors of (10)<sup>9</sup> these estimates are reliable.

How do you produce EM waves?

Oscillating EM fields, such as those produced by an oscillating electric dipole

$$L_{\text{electric dipole}} = \frac{\text{energy emitted}}{\text{Unit time}}$$

$$= \frac{2}{3} e^2 a^2 = \frac{2}{3} \dot{d}^2 \leftarrow \begin{array}{l} \text{second time} \\ \text{derivative of } d \\ d = ex \rightarrow \\ \dot{d} = e\dot{x} = ea \end{array}$$

Can we have dipolar GWs

$$\underline{d} = \sum_A m_A \underline{x}_A$$

$$\dot{d} = \sum_A m_A \dot{\underline{x}}_A = \underline{p}$$

$$\ddot{d} = \dot{\underline{p}} = 0 \quad (\text{Euler-eq. (mom. cons.)})$$

$$\Rightarrow L_{\text{mass dipole}} = 0$$

(this is equivalent  
to the impossibility of  
having monopolar  
EM radiation)

Next in EM we can calculate the energy loss due to varying electric current (oscillating magnetic dipole) (GW 15)

$$\underline{\mu} = \underline{B} \underline{i}$$

↑ electric current

$$\underline{j} \propto \ddot{\underline{\mu}} \propto \frac{\underline{B} \dot{\underline{i}}}{t}$$

second time derivative  
of the electric current

the gravitational analogue is

$$\underline{\mu} = \sum_A m_A (\underline{r}_A) \times (\underline{v}_A) = \underline{j}$$

$$\underline{\mu} \propto \underline{j} = 0$$

Next we need to consider changing quadrupoles

$$\begin{matrix} \text{electric} \\ \text{quadrupole} \end{matrix} = \frac{1}{20} Q^2 = \frac{1}{20} Q_{ij} Q_{ij}$$

$$\text{where } Q_{ij} = \sum_k (x_A)_i (x_A)_j - \frac{1}{3} \delta_{ij} (x_A)_k (x_A)^k$$

Similarly one can show that the GW frequency is

$$\text{mass quadrupole} = \frac{1}{5} \langle \underline{\underline{I}}^2 \rangle = \frac{1}{5} \langle \underline{\underline{E}}_{jk} \underline{\underline{E}}_{jk} \rangle$$

Where

$$I_{jk} = \sum_i m_i (x_i) \partial_{ik} - \frac{1}{3} \delta_{jk} (x_k) \partial_i (x_i)$$

$$= \int_C (x_j x_k - \frac{1}{3} \delta_{jk} x^i x_i) dV$$

$$\therefore \sim \frac{(\text{mass of the system in motion}) (\text{size of the system})^2}{(\text{time scale})^3} \sim \frac{MR^2}{\tau^3}$$

Consider two masses  $M$ ,  
coupled by a spring of  
length  $l$  and oscillating at  $\omega$

$$I_{\text{eff}} \sim \frac{1}{24} M l^2 \omega^3 \sim \frac{M}{10^8 g} \cdot \left( \frac{l}{20m} \right)^2 \left( \frac{\omega/2\pi}{4\text{Hz}} \right)^5 10^{-23} \text{ erg s}^{-1}$$

only if one considers very large masses, & relativistic velocities will

$I_{ij}$  be sufficiently large

$$L_{\text{grav}} \sim 10^{30} - 10^{50} \text{ erg s}^{-1} !$$

balance  
NS