

# Non-equilibrium time evolution of bosons from the functional renormalization group

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- outline:
1. Functional integral formulation of Keldysh technique
  2. Non-equilibrium FRG
  3. Magnons in Yttrium-Iron-Garnet, parametric resonance
  4. FRG for toy model for lowest magnon mode in YIG
  5. Thermalization of magnons in YIG

# 1. functional integral formulation of the Keldysh technique

(A. Kamenev, Les Houches, 2004; Hick, Kloss, PK, PRB 2013)

- model: magnons coupled to phonons:

$$\mathcal{H} = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}} + \sum_{\mathbf{q}} \omega_{\mathbf{q}} b_{\mathbf{q}}^{\dagger} b_{\mathbf{q}} + \frac{1}{\sqrt{V}} \sum_{\mathbf{q}} U_{\mathbf{q}} \rho_{-\mathbf{q}} (b_{\mathbf{q}} + b_{-\mathbf{q}}^{\dagger})$$

magnon

phonon

$$U_{\mathbf{q}} = U_0 \sqrt{\omega_{\mathbf{q}}} \quad \rho_{\mathbf{q}} = \sum_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}+\mathbf{q}}$$

- non-equilibrium Green functions:

$$iG_{\mathbf{k}}^R(t, t') = \Theta(t - t') \langle [a_{\mathbf{k}}(t), a_{\mathbf{k}}^{\dagger}(t')] \rangle,$$

$$iG_{\mathbf{k}}^A(t, t') = -\Theta(t' - t) \langle [a_{\mathbf{k}}(t), a_{\mathbf{k}}^{\dagger}(t')] \rangle,$$

$$iG_{\mathbf{k}}^K(t, t') = \langle \{a_{\mathbf{k}}(t), a_{\mathbf{k}}^{\dagger}(t')\} \rangle,$$

$$iG_{\mathbf{k}}^K(t, t) = 1 + 2n_{\mathbf{k}}(t)$$

$$X_{\mathbf{q}} = \frac{1}{\sqrt{2}} (b_{\mathbf{q}} + b_{-\mathbf{q}}^{\dagger})$$

$$iF_{\mathbf{q}}^R(t, t') = \Theta(t - t') \langle [b_{\mathbf{q}}(t), b_{\mathbf{q}}^{\dagger}(t')] \rangle,$$

$$iF_{\mathbf{q}}^A(t, t') = -\Theta(t' - t) \langle [b_{\mathbf{q}}(t), b_{\mathbf{q}}^{\dagger}(t')] \rangle,$$

$$iF_{\mathbf{q}}^K(t, t') = \langle \{b_{\mathbf{q}}(t), b_{\mathbf{q}}^{\dagger}(t')\} \rangle.$$

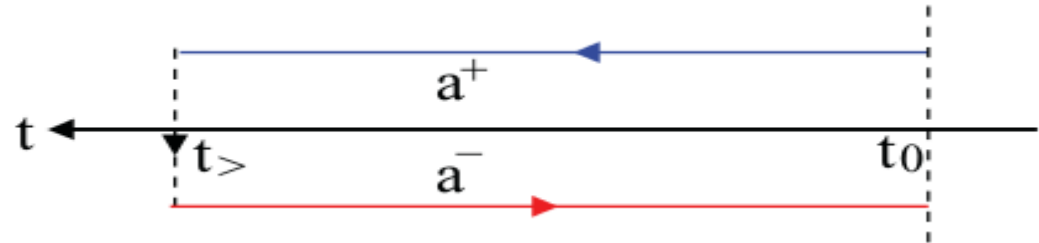
$$iD_{\mathbf{q}}^R(t, t') = \Theta(t - t') \langle [X_{\mathbf{q}}(t), X_{-\mathbf{q}}(t')] \rangle,$$

$$iD_{\mathbf{q}}^A(t, t') = -\Theta(t' - t) \langle [X_{\mathbf{q}}(t), X_{-\mathbf{q}}(t')] \rangle$$

$$iD_{\mathbf{q}}^K(t, t') = \langle \{X_{\mathbf{q}}(t), X_{-\mathbf{q}}(t')\} \rangle.$$

# Keldysh contour and classical/quantum components

- Keldysh contour:



- change of basis: from contour labels to classical and quantum labels:

$$a_{\mathbf{k}}^C(t) = \frac{1}{\sqrt{2}} [a_{\mathbf{k}}^+(t) + a_{\mathbf{k}}^-(t)]$$

$$a_{\mathbf{k}}^Q(t) = \frac{1}{\sqrt{2}} [a_{\mathbf{k}}^+(t) - a_{\mathbf{k}}^-(t)]$$

- functional integral representation of non-equilibrium Green functions:

$$iG_{\mathbf{k}}^R(t, t') = \langle a_{\mathbf{k}}^C(t) \bar{a}_{\mathbf{k}}^Q(t') \rangle \equiv iG_{\mathbf{k}}^{CQ}(t, t')$$

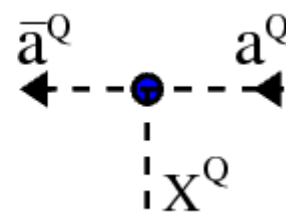
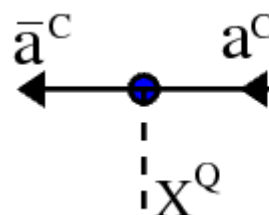
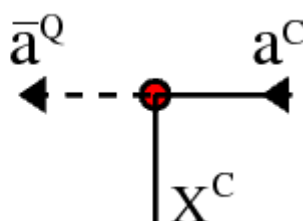
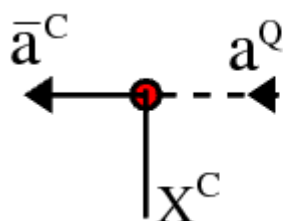
$$iG_{\mathbf{k}}^A(t, t') = \langle a_{\mathbf{k}}^Q(t) \bar{a}_{\mathbf{k}}^C(t') \rangle \equiv iG_{\mathbf{k}}^{QC}(t, t')$$

$$iG_{\mathbf{k}}^K(t, t') = \langle a_{\mathbf{k}}^C(t) \bar{a}_{\mathbf{k}}^C(t') \rangle \equiv iG_{\mathbf{k}}^{CC}(t, t')$$

$$\langle a_{\mathbf{k}}^{\lambda}(t) \bar{a}_{\mathbf{k}}^{\lambda'}(t') \rangle = \int \mathcal{D}[a, \bar{a}, b, \bar{b}] e^{iS[\bar{a}, a, \bar{b}, b]} a_{\mathbf{k}}^{\lambda}(t) \bar{a}_{\mathbf{k}}^{\lambda'}(t')$$

# Keldysh action in continuum notation

$$S[\bar{a}, a, \bar{b}, b] = \int dt \int dt' \left\{ \sum_{\mathbf{k}} (\bar{a}_{\mathbf{k}}^C(t), \bar{a}_{\mathbf{k}}^Q(t)) \begin{pmatrix} 0 & (\hat{G}_0^A)^{-1} \\ (\hat{G}_0^R)^{-1} & -(\hat{G}_0^R)^{-1} \hat{G}_0^K (\hat{G}_0^A)^{-1} \end{pmatrix}_{tt'} \begin{pmatrix} a_{\mathbf{k}}^C(t') \\ a_{\mathbf{k}}^Q(t') \end{pmatrix} \right. \\ \left. + \sum_{\mathbf{q}} (\bar{b}_{\mathbf{q}}^C(t), \bar{b}_{\mathbf{q}}^Q(t)) \begin{pmatrix} 0 & (\hat{F}_0^A)^{-1} \\ (\hat{F}_0^R)^{-1} & -(\hat{F}_0^R)^{-1} \hat{F}_0^K (\hat{F}_0^A)^{-1} \end{pmatrix}_{tt'} \begin{pmatrix} b_{\mathbf{q}}^C(t') \\ b_{\mathbf{q}}^Q(t') \end{pmatrix} \right\} \\ + \int dt \frac{1}{\sqrt{V}} \sum_{\mathbf{q}} U_{\mathbf{q}} \left[ \left( \bar{a}_{\mathbf{k}+\mathbf{q}}^C a_{\mathbf{k}}^Q + \bar{a}_{\mathbf{k}+\mathbf{q}}^Q a_{\mathbf{k}}^C \right) X_{\mathbf{q}}^C + \left( \bar{a}_{\mathbf{k}+\mathbf{q}}^C a_{\mathbf{k}}^C + \bar{a}_{\mathbf{k}+\mathbf{q}}^Q a_{\mathbf{k}}^Q \right) X_{\mathbf{q}}^Q \right].$$



- QQ-blocks of Gaussian propagators are infinitesimal regularization:

$$-(\hat{G}_0^R)^{-1} \hat{G}_0^K (\hat{G}_0^A)^{-1} = 2i\eta \hat{g}_0.$$

$$-(\hat{F}_0^R)^{-1} \hat{F}_0^K (\hat{F}_0^A)^{-1} = 2i\eta \hat{f}_0.$$

$$[\hat{g}_0]_{tt'} = \delta(t - t') g_0 = \delta(t - t') [1 + 2\langle a^\dagger a \rangle_0]$$

$$[\hat{f}_0]_{tt'} = \delta(t - t') f_0 = \delta(t - t') [1 + 2\langle b^\dagger b \rangle_0]. \quad 4$$

# non-equilibrium time-evolution: quantum kinetic equations

- Keldysh component of non-equilibrium Dyson equation gives kinetic equation for distribution function:

- Green function matrix:  $\mathbf{G} = \begin{pmatrix} [\mathbf{G}]^{CC} & [\mathbf{G}]^{CQ} \\ [\mathbf{G}]^{QC} & 0 \end{pmatrix} = \begin{pmatrix} \hat{G}^K & \hat{G}^R \\ \hat{G}^A & 0 \end{pmatrix}$

matrices in momentum and time

- self-energy matrix:  $\Sigma = \begin{pmatrix} 0 & [\Sigma]^{CQ} \\ [\Sigma]^{QC} & [\Sigma]^{QQ} \end{pmatrix} = \begin{pmatrix} 0 & \hat{\Sigma}^A \\ \hat{\Sigma}^R & \hat{\Sigma}^K \end{pmatrix}$

$$\hat{\Sigma}^K = -[\mathbf{G}^{-1}]^{QQ} = (\hat{G}^R)^{-1} \hat{G}^K (\hat{G}^A)^{-1}$$

- subtracting left/right Dyson eqns. gives kinetic eq.:

$$(\mathbf{G}_0^{-1} - \Sigma) \mathbf{G} = \mathbf{I}$$

$$[\hat{M}_0, \hat{G}^K] = \hat{\Sigma}^K \hat{G}^A - \hat{G}^R \hat{\Sigma}^K + \hat{\Sigma}^R \hat{G}^K - \hat{G}^K \hat{\Sigma}^A$$

$$\mathbf{G} (\mathbf{G}_0^{-1} - \Sigma) = \mathbf{I}$$

$$[\hat{M}_0]_{kt, k't'} = \delta_{\mathbf{k}, \mathbf{k}'} [i\partial_t - \epsilon_{\mathbf{k}}] \delta(t - t')$$

# different forms of the kinetic equation

## 1.) time-domain:

$$\begin{aligned}(i\partial_t + i\partial_{t'})G^K(t, t') &= \int_{t_0}^t dt_1 [\Sigma^R(t, t_1)G^K(t_1, t') - G^R(t, t_1)\Sigma^K(t_1, t')] \\ &+ \int_{t_0}^{t'} dt_1 [\Sigma^K(t, t_1)G^A(t_1, t') - G^K(t, t_1)\Sigma^A(t_1, t')]\end{aligned}$$

## 2.) with subtractions to identify collision integrals:

$$\hat{\Sigma}^M = \frac{1}{2}[\hat{\Sigma}^R + \hat{\Sigma}^A] \quad \hat{G}^M = \frac{1}{2}[\hat{G}^R + \hat{G}^A] \quad \hat{M} = \hat{M}_0 - \hat{\Sigma}^M$$

$$\hat{\Sigma}^I = i[\hat{\Sigma}^R - \hat{\Sigma}^A] \quad \hat{G}^I = i[\hat{G}^R - \hat{G}^A]$$

$$[\hat{M}, \hat{G}^K] - [\hat{\Sigma}^K, \hat{G}^M] = \hat{C}^{\text{in}} - \hat{C}^{\text{out}}$$

$$\hat{C}^{\text{in}} = \frac{i}{2}\{\hat{\Sigma}^K, \hat{G}^I\}$$

$$\hat{C}^{\text{out}} = \frac{i}{2}\{\hat{\Sigma}^I, \hat{G}^K\}$$

## ....different forms of the kinetic equation

3.) for distribution function:  $\hat{G}^K = \hat{G}^R \hat{g}^\dagger - \hat{g} \hat{G}^A$

$$\begin{aligned} -i(\hat{M} \hat{g} - \hat{g}^\dagger \hat{M}) &= \hat{\Sigma}^{\text{in}} - \hat{\Sigma}^{\text{out}} & \hat{\Sigma}^{\text{in}} &= i\hat{\Sigma}^K \\ \hat{\Sigma}^{\text{out}} &= \frac{1}{2} \left( \hat{\Sigma}^I \hat{g} + \hat{g}^\dagger \hat{\Sigma}^I \right) \end{aligned}$$

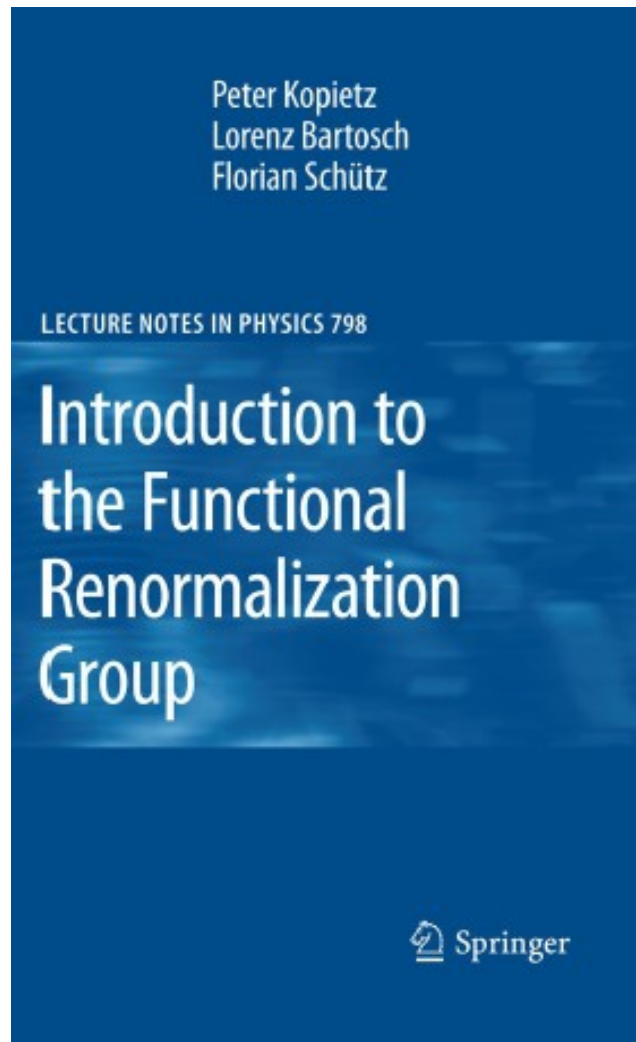
4.) for distribution function, Wigner transformed:

$$A(\tau; \omega) = \int_{-\infty}^{\infty} ds e^{i\omega s} [\hat{A}]_{\tau+\frac{s}{2}, \tau-\frac{s}{2}}$$

$$\begin{aligned} \partial_\tau \text{Reg}(\tau; \omega) + 2(\omega - \epsilon_{\mathbf{k}}) \text{Im}g(\tau; \omega) + i(\hat{\Sigma}^M \hat{g} - \hat{g}^\dagger \hat{\Sigma}^M)_{(\tau; \omega)} \\ = \Sigma^{\text{in}}(\tau; \omega) - \Sigma^{\text{out}}(\tau; \omega) \end{aligned}$$

Goal: get non-equilibrium self-energies from FRG!

# 2. Non-equilibrium functional renormalization group



2010. XII, 380 p. (Lecture Notes in Physics, Vol. 798) Hardcover

- exact equation for change of generating functional of irreducible vertices as IR cutoff is reduced (**Wetterich 1993**)

$$\partial_\Lambda \Gamma_\Lambda[\Phi] = \frac{1}{2} \text{Tr} \left[ (\partial_\Lambda \mathbf{R}_\Lambda) \left( \frac{\delta}{\delta \Phi} \otimes \frac{\delta}{\delta \Phi} \Gamma_\Lambda[\Phi] + \mathbf{R}_\Lambda \right)^{-1} \right]$$

- exact RG flow equations for all vertices
- flow of self-energy:

$$\begin{aligned} \text{Diagram 1} &= -\frac{1}{2} \left[ \text{Diagram 2} + \mathcal{S}_{\alpha_1; \alpha_2} \text{Diagram 3} \right] \end{aligned}$$

The diagrams are:

- Diagram 1:** A red circle with a dot and the number 2 inside. It has two external lines labeled  $\alpha_1$  and  $\alpha_2$ .
- Diagram 2:** A blue circle with the number 4 inside. It has two external lines labeled  $\alpha_1$  and  $\alpha_2$ . A red circle with a dot and the number 2 inside is attached to the top of the blue circle.
- Diagram 3:** A green circle with the number 3 inside. It has two external lines labeled  $\alpha_1$  and  $\alpha_2$ . A red circle with a dot and the number 2 inside is attached to the top, and a white circle with the number 2 inside is attached to the bottom.

The symbol  $\mathcal{S}_{\alpha_1; \alpha_2}$  is placed between the diagrams in the equation.



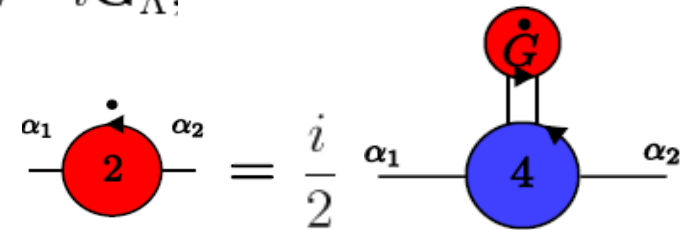
# non-equilibrium FRG vertex expansion

(Gezzi et al, 2007; Gasenzer+Pawlowski, 2008; Kloss+PK 2010)

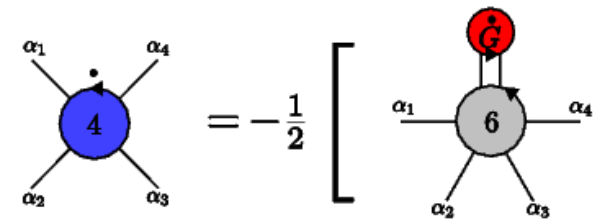
simple generalization of the equilibrium vertex expansion:

$$\Gamma_{\Lambda, \alpha_1 \dots \alpha_n}^{(n)} \rightarrow i\Gamma_{\Lambda, \alpha_1 \dots \alpha_n}^{(n)}; \quad \mathbf{G}_\Lambda \rightarrow -i\mathbf{G}_\Lambda, \quad \dot{\mathbf{G}}_\Lambda \rightarrow -i\dot{\mathbf{G}}_\Lambda;$$

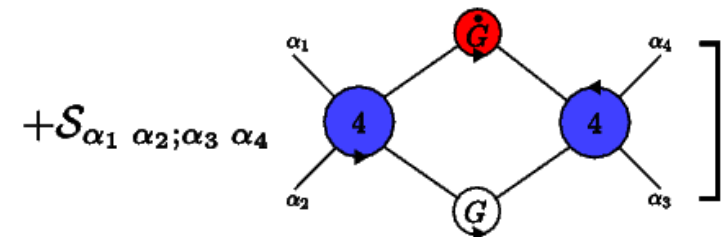
$$\partial_\Lambda \Gamma_{\Lambda, \alpha_1 \alpha_2}^{(2)} = \frac{i}{2} \int_{\beta_1} \int_{\beta_2} [\dot{\mathbf{G}}_\Lambda]_{\beta_1 \beta_2} \Gamma_{\Lambda, \beta_2 \beta_1 \alpha_1 \alpha_2}^{(4)}$$



$$\partial_\Lambda \Gamma_{\Lambda, \alpha_1 \alpha_2 \alpha_3 \alpha_4}^{(4)} = \frac{i}{2} \int_{\beta_1} \int_{\beta_2} [\dot{\mathbf{G}}_\Lambda]_{\beta_1 \beta_2} \Gamma_{\Lambda, \beta_2 \beta_1 \alpha_1 \alpha_2 \alpha_3 \alpha_4}^{(6)}$$



$$+ \frac{i}{2} \int_{\beta_1} \int_{\beta_2} \int_{\beta_3} \int_{\beta_4} [\dot{\mathbf{G}}_\Lambda]_{\beta_1 \beta_2} [\mathbf{G}_\Lambda]_{\beta_3 \beta_4} \\ \times \left[ \Gamma_{\Lambda, \beta_2 \beta_3 \alpha_3 \alpha_4}^{(4)} \Gamma_{\Lambda, \beta_4 \beta_1 \alpha_1 \alpha_2}^{(4)} + \Gamma_{\Lambda, \beta_2 \beta_3 \alpha_1 \alpha_2}^{(4)} \Gamma_{\Lambda, \beta_4 \beta_3 \alpha_3 \alpha_4}^{(4)} \right. \\ \left. + (\alpha_1 \leftrightarrow \alpha_2) + (\alpha_1 \leftrightarrow \alpha_4) \right]$$



# non-equilibrium time-evolution from the FRG: the basic idea

- introduce **cutoff parameter**  $\Lambda$  which somehow simplifies time evolution
- write down suitably **truncated FRG flow equations** for the self-energies
- structure of resulting equations:

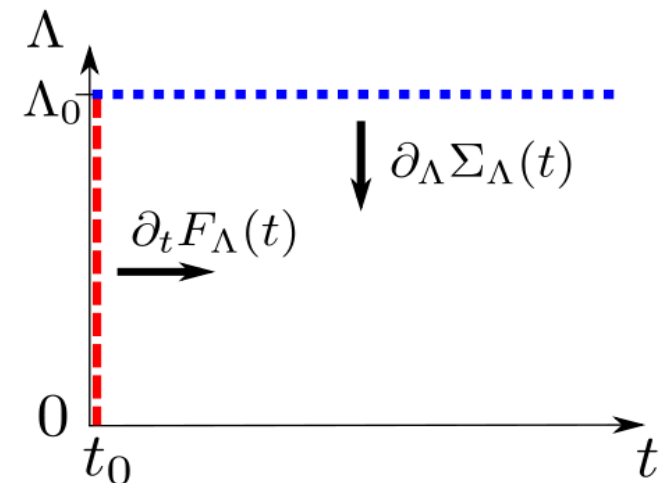
$$\partial_\tau f_\Lambda(\mathbf{k}, \omega, \tau) = C_\Lambda[\mathbf{k}, \omega, \tau, f, \Sigma^K, \Sigma^R, \Sigma^A]$$

$$\partial_\Lambda \Sigma_\Lambda^R(\mathbf{k}, \omega, \tau) = I_\Lambda^R[\mathbf{k}, \omega, \tau, f, \Sigma^K, \Sigma^R, \Sigma^A]$$

$$\partial_\Lambda \Sigma_\Lambda^A(\mathbf{k}, \omega, \tau) = I_\Lambda^A[\mathbf{k}, \omega, \tau, f, \Sigma^K, \Sigma^R, \Sigma^A],$$

$$\partial_\Lambda \Sigma_\Lambda^K(\mathbf{k}, \omega, \tau) = I_\Lambda^K[\mathbf{k}, \omega, \tau, f, \Sigma^K, \Sigma^R, \Sigma^A]$$

- make standard approximations to simplify system (e.g. reduction to Fokker-Planck eq) or solve by brute force numerically.



# cutoff schemes for time-evolution

- cutoff should:
  1. simplify time-evolution
  2. respect causality
  3. in equilibrium respect fluctuation-dissipation theorem
- proposals:
  1. long-time cutoff (Gasenzer, Pawłowski, 2008)
  2. out-scattering rate cutoff (Kloss, P.K., 2011)

$$G_0^R(\mathbf{k}, \omega) = \frac{1}{\omega - \epsilon_{\mathbf{k}} + i\eta} \rightarrow \frac{1}{\omega - \epsilon_{\mathbf{k}} + i\Lambda}$$
$$\int_{-\infty}^{\infty} e^{-i\omega t} \frac{1}{\omega - \epsilon_{\mathbf{k}} + i\Lambda} = -i\Theta(t)e^{-i\epsilon_{\mathbf{k}}t}e^{-\Lambda t}$$

$\Lambda$  = artifical decay rate

## ....more cutoff schemes

### 3. hybridization cutoff (Jakobs, Pletyukhov, Schoeller, 2010)

$$G_0^R(\mathbf{k}, \omega) = \frac{1}{\omega - \epsilon_{\mathbf{k}} + i\eta} \rightarrow \frac{1}{\omega - \epsilon_{\mathbf{k}} + i\Lambda} \quad (\hat{G}_0^R)^{-1} \hat{G}_0^K (\hat{G}_0^A)^{-1} = 2i\eta \hat{f}_0 \rightarrow 2i\Lambda \hat{f}_0$$

$\Lambda$  = hybridization energy due to coupling to external bath

### 4. bosonic hybridization cutoff (Hick, Kloss, PK, 2012)

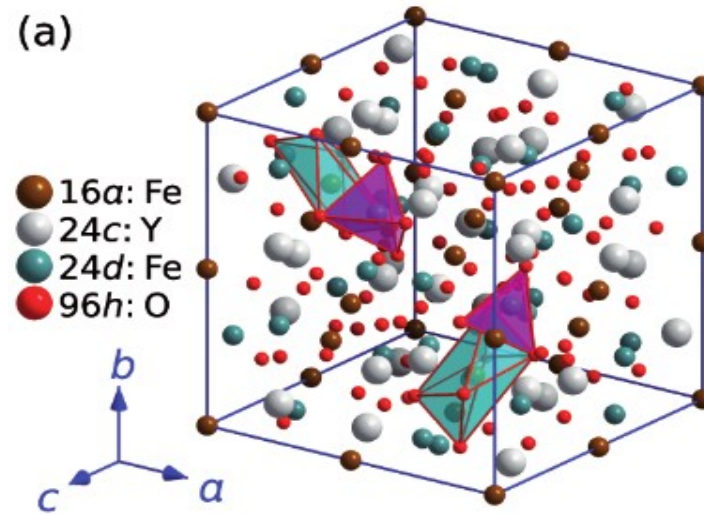
$$G_0^R(\mathbf{k}, \omega) = \frac{1}{\omega - \epsilon_{\mathbf{k}} + i\eta} \rightarrow \frac{1}{\omega - \epsilon_{\mathbf{k}} + i\Lambda \text{sgn}\omega} \quad (\hat{G}_0^R)^{-1} \hat{G}_0^K (\hat{G}_0^A)^{-1} = 2i\eta \hat{f}_0 \rightarrow 2i\Lambda \hat{f}_0$$

spectral function of bosons is negative for negative frequencies!

$$2\text{Im}G^R(\mathbf{k}, \omega) = -\rho(\mathbf{k}, \omega) \quad \rho(\mathbf{k}, \omega) \begin{cases} \geq 0 & \text{for } \omega > 0 \\ \leq 0 & \text{for } \omega < 0 \end{cases}$$

# 3. Parametric resonance of magnons in yttrium-iron-garnet (YIG)

- what is YIG?  
ferromagnetic insulator
- at the first sight:  
too complicated!



◀ (a) Elementary cell of YIG with 160 atoms. The spins of the 16 Fe in positions a are coupled anti-ferromagnetically to the spins of the 24 in positions d and cause the ferrimagnetic ordering.

A. Kreisel, F. Sauli,  
L. Bartosch, PK, 2009

euromphysicsnews  
HIGHLIGHTS FROM EUROPEANS JOURNALS

- effective quantum spin model for relevant magnon band:

$$\hat{H} = -\frac{1}{2} \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j - \mu \mathbf{H}_e \cdot \sum_i \mathbf{S}_i - \frac{1}{2} \sum_{ij, i \neq j} \frac{\mu^2}{|\mathbf{R}_{ij}|^3} \left[ 3(\mathbf{S}_i \cdot \hat{\mathbf{R}}_{ij})(\mathbf{S}_j \cdot \hat{\mathbf{R}}_{ij}) - \mathbf{S}_i \cdot \mathbf{S}_j \right]$$

exchange interaction:  $J = 1.29$  K. saturation magnetization:  $4\pi M_S = 1750$  G  
 lattice spacing:  $a = 12.376$  Å effective spin:  $S = M_s a^3 / \mu \approx 14.2$

# experiments on YIG: probing the non-equilibrium dynamics of magnons

- motivation:

collaboration with  
experimental group  
of B. Hillebrands  
(Kaiserslautern)

non-equilibrium  
dynamics of interacting  
magnons in YIG

- experiment:

microwave-pumping of  
magnons in YIG

measurement of  
magnon distribution  
via Brillouin light scattering

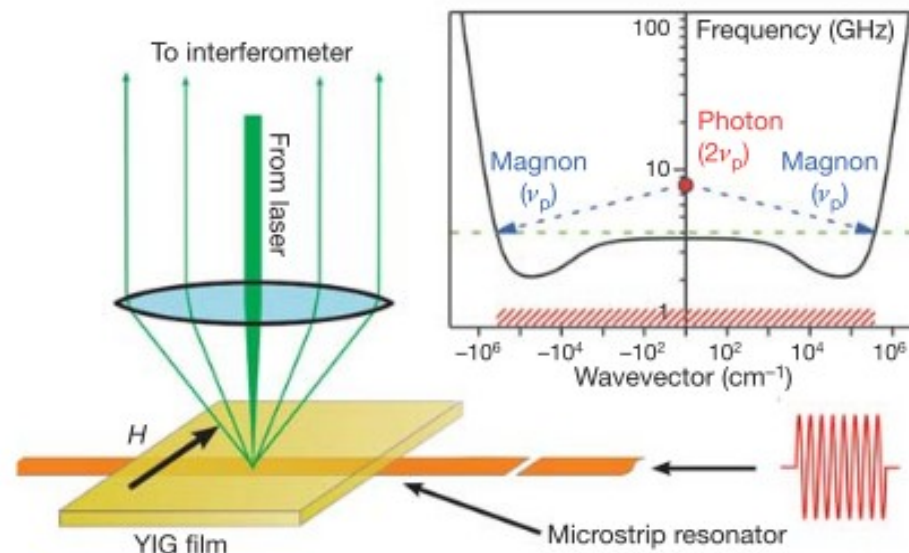
nature

Vol 443 | 28 September 2006 | doi:10.1038/nature05117

## LETTERS

### Bose-Einstein condensation of quasi-equilibrium magnons at room temperature under pumping

S. O. Demokritov<sup>1</sup>, V. E. Demidov<sup>1</sup>, O. Dzyapko<sup>1</sup>, G. A. Melkov<sup>2</sup>, A. A. Serga<sup>3</sup>, B. Hillebrands<sup>3</sup> & A. N. Slavin<sup>4</sup>

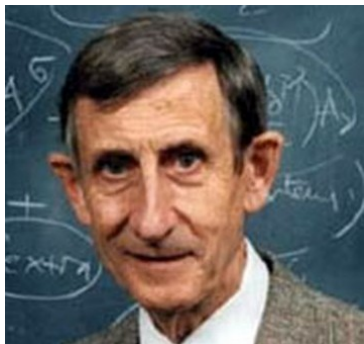


# from spin operators to bosons

- problem: spin-algebra is very complicated:  $[S_i^\alpha, S_j^\beta] = i\delta_{ij}\epsilon^{\alpha\beta\gamma}S_i^\gamma \quad S_i^2 = S(S+1)$
- solution: for ordered magnets: bosonization of spins (Holstein, Primakoff 1940)

$$S_i^+ = S_i^x + iS_i^y = \sqrt{2S} \sqrt{1 - \frac{b_i^\dagger b_i}{2S}} b_i = \sqrt{2S} \left[ b_i - \frac{b_i^\dagger b_i b_i}{4S} + \dots \right]$$
$$S_i^z = S - b_i^\dagger b_i$$

- spin algebra indeed satisfied if  $[b_i, b_j^\dagger] = \delta_{ij}$
- proof that different dimension of Hilbert spaces does not matter by Dyson 1956:



PHYSICAL REVIEW

VOLUME 102, NUMBER 5

JUNE 1, 1956

## General Theory of Spin-Wave Interactions\*

FREEMAN J. DYSON

*Department of Physics, University of California, Berkeley, California, and Institute for Advanced Study, Princeton, New Jersey*

(Received February 2, 1956)

# some history: magnon dynamics in YIG

H. Suhl, 1957, E. Schlömann et al, 1960s,  
V. E. Zakharov, V. S. L'vov, S. S. Starobinets, 1970s

- minimal model:

$$\begin{aligned}\hat{H}_{\text{res}}(t) = & \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}} + \frac{1}{2} \sum_{\mathbf{k}} \left[ \gamma_{\mathbf{k}} e^{-i\omega_0 t} a_{\mathbf{k}}^{\dagger} a_{-\mathbf{k}}^{\dagger} + \gamma_{\mathbf{k}}^* e^{i\omega_0 t} a_{-\mathbf{k}} a_{\mathbf{k}} \right] \\ & + \frac{1}{2} \sum_{\mathbf{k}, \mathbf{k}', \mathbf{q}} u(\mathbf{k}, \mathbf{k}', \mathbf{q}) a_{\mathbf{k}+\mathbf{q}}^{\dagger} a_{\mathbf{k}'-\mathbf{q}}^{\dagger} a_{\mathbf{k}'} a_{\mathbf{k}}\end{aligned}$$

- “S-theory”: time-dependent self-consistent Hartree-Fock approximation for magnon distributions functions

$$\begin{aligned}n_{\mathbf{k}}(t) &= \langle a_{\mathbf{k}}^{\dagger}(t) a_{\mathbf{k}}(t) \rangle \\ p_{\mathbf{k}}(t) &= \langle a_{-\mathbf{k}}(t) a_{\mathbf{k}}(t) \rangle\end{aligned}$$

weak points:

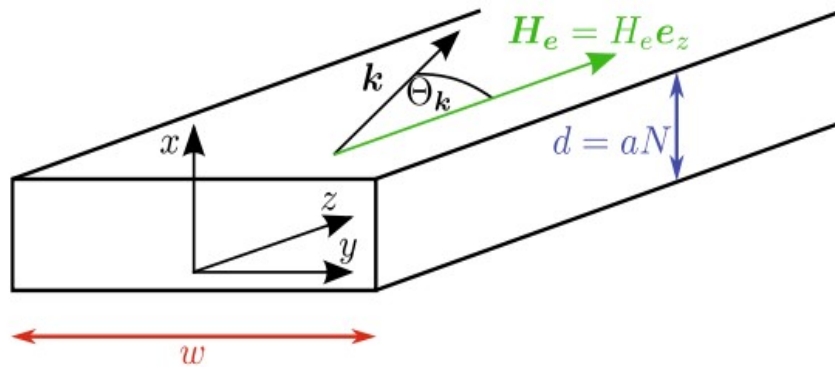
- no microscopic description of dissipation and damping
- possibility of BEC not included!

- goals:

- consistent quantum kinetic theory for magnons in YIG beyond Hartree-Fock
- include time-evolution of Bose-condensate
- develop functional renormalization group for non-equilibrium



# magnon dispersion of finite YIG films

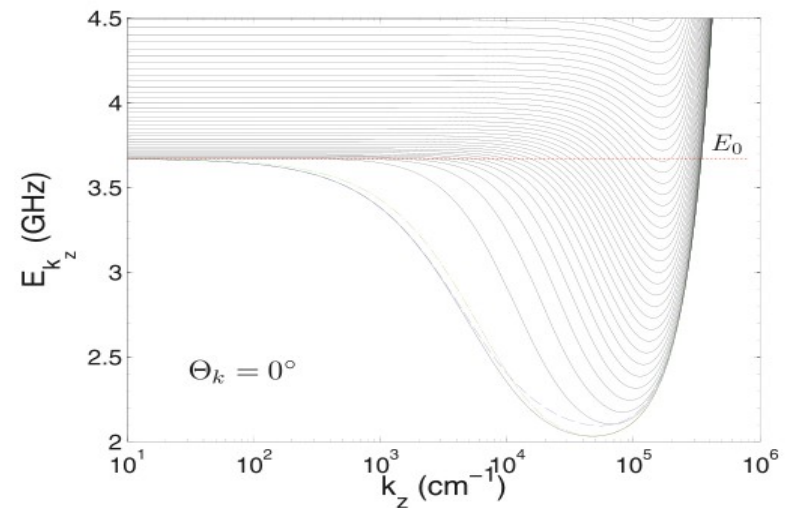
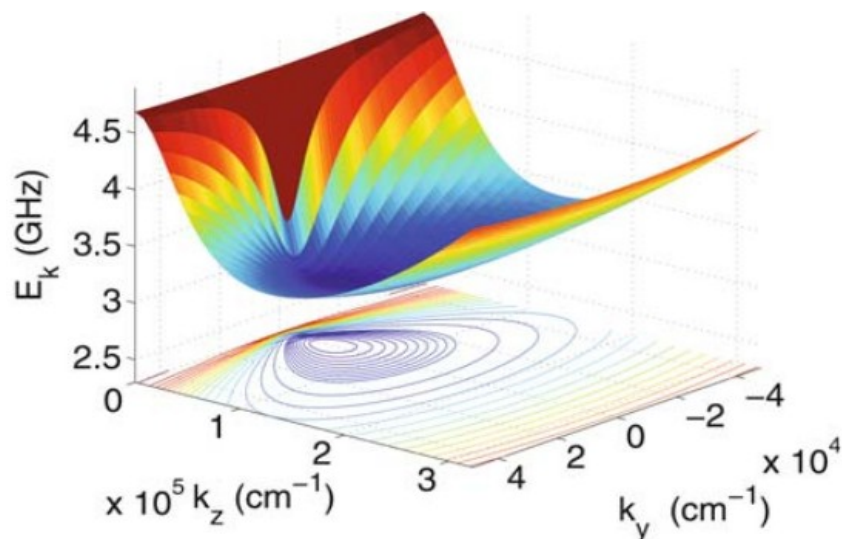


$$H_e \approx 1000 \text{ Oe}$$

$$d \approx 5 \mu\text{m} \approx 4000a$$

$$w \gg d$$

- dispersion of lowest magnon mode has minimum at finite  $k$   
due to interplay between:
  1. exchange interaction
  2. dipole-dipole interaction
  3. finite width of films



# toy model for dynamics of lowest magnon mode

T. Kloss, A. Kreisel, PK, PRB 2010

- keep only lowest magnon mode

➔ anharmonic oscillator with off-diagonal pumping:

$$\hat{H}(t) = \epsilon_0 a^\dagger a + \frac{\gamma_0}{2} e^{-i\omega_0 t} a^\dagger a^\dagger + \frac{\gamma_0^*}{2} e^{i\omega_0 t} a a + \frac{u}{2} a^\dagger a^\dagger a a$$

- rotating reference frame:  $\tilde{a} = e^{\frac{i}{2}\omega_0 t} a$

$$\tilde{H} = \tilde{\epsilon}_0 \tilde{a}^\dagger \tilde{a} + \frac{\gamma_0}{2} \tilde{a}^\dagger \tilde{a}^\dagger + \frac{\gamma_0^*}{2} \tilde{a} \tilde{a} + \frac{u}{2} \tilde{a}^\dagger \tilde{a}^\dagger \tilde{a} \tilde{a} \quad \tilde{\epsilon}_0 = \epsilon_0 - \frac{\omega_0}{2}$$

- instability of non-interacting system for large pumping:

$$\tilde{a} = \frac{\hat{X} + i\hat{P}}{\sqrt{2}} \quad \tilde{a}^\dagger = \frac{\hat{X} - i\hat{P}}{\sqrt{2}}$$
$$\tilde{\epsilon}_0 \tilde{a}^\dagger \tilde{a} + \frac{\gamma_0}{2} [\tilde{a}^\dagger \tilde{a}^\dagger + \tilde{a} \tilde{a}] = \frac{\tilde{\epsilon}_0 - \gamma_0}{2} \hat{P}^2 + \frac{\tilde{\epsilon}_0 + \gamma_0}{2} \hat{X}^2.$$

- for  $\gamma_0 > |\tilde{\epsilon}_0|$  oscillator has negative mass
- non-interacting hamiltonian not positive definite

➔ parametric resonance

# parametric resonance

- what is parametric resonance?

- **classical** harmonic oscillator with harmonic frequency modulation:

$$\frac{d^2 x(t)}{dt^2} + \Omega^2(t)x(t) = 0 \quad \Omega(t) = \Omega_0 + \Omega_1 \cos(\omega_0 t)$$

- resonance condition:

$$\omega_0 \approx 2\Omega_0$$



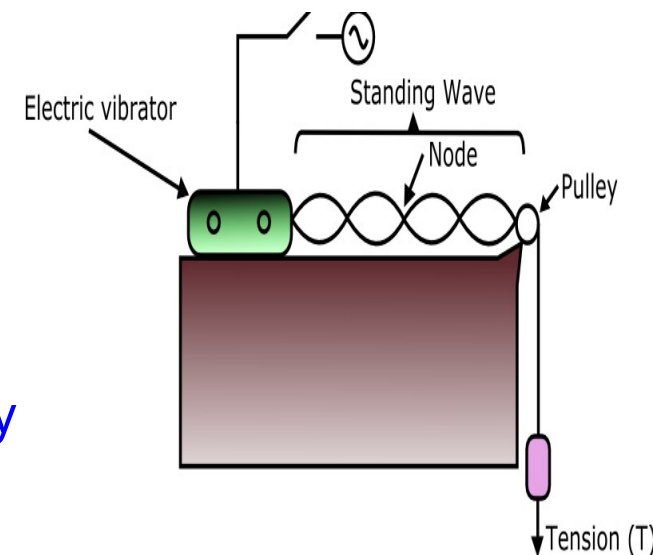
oscillator absorbs energy at a rate proportional to the energy it already has!

- history:

- discovered: **Melde experiment, 1859**

excite oscillations of string by periodically varying its tension at twice its resonance frequency

- theoretically explained: **Rayleigh 1883**



# time-dependent Hartree-Fock approximation ("S-theory")

- order parameter  $\phi(t) \equiv \langle \tilde{a}(t) \rangle$  **Gross-Pitaevskii equation:**

$$i\partial_t \phi = \tilde{\epsilon}_c(t)\phi + \gamma_c(t)\phi^* + u|\phi|^2\phi$$

$$\tilde{\epsilon}_c(t) = \tilde{\epsilon}_0 + 2un_c(t)$$

$$\gamma_c(t) = \gamma_0 + u\tilde{p}_c(t)$$

- connected correlation functions:  $n_c(t) = \langle \delta \tilde{a}^\dagger(t) \delta \tilde{a}(t) \rangle$   $\tilde{p}_c(t) = \langle \delta \tilde{a}(t) \delta \tilde{a}(t) \rangle$

$$\delta \tilde{a}(t) = \tilde{a}(t) - \langle \tilde{a}(t) \rangle$$

**kinetic equations:**

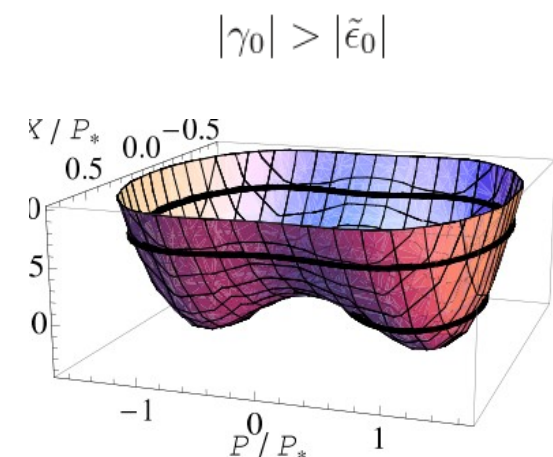
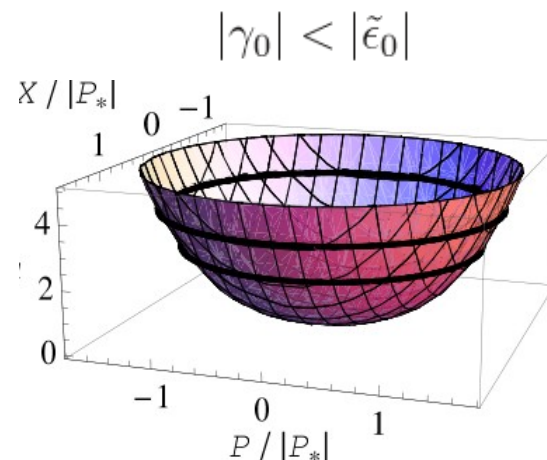
$$i\partial_t n_c(t) = \gamma(t)\tilde{p}_c^*(t) - \gamma^*(t)\tilde{p}_c(t),$$

$$\tilde{\epsilon}(t) = \tilde{\epsilon}_0 + 2u[n_c(t) + |\phi(t)|^2]$$

$$i\partial_t \tilde{p}_c(t) = 2\tilde{\epsilon}(t)\tilde{p}_c(t) + \gamma(t)[2n_c(t) + 1]$$

$$\gamma(t) = \gamma_0 + u[\tilde{p}_c(t) + \phi^2(t)]$$

- order parameter:  
Hamiltonian dynamics  
in effective potential  
(Hartree-Fock)



# 4. Time-evolution of the toy model from the FRG

(T. Kloss, P.K., Phys. Rev. B 2011)

$$\mathcal{H}(t) = \epsilon a^\dagger a + \frac{1}{2} [\gamma e^{-i\omega_0 t} a^\dagger a^\dagger + \gamma^* e^{i\omega_0 t} a a]$$

- toy model can be solved numerically exactly by solving time-dependent Schrödinger equation

$$|\psi(t)\rangle = \sum_{n=0}^{\infty} \psi_n(t) |n\rangle$$

$$i\hbar\partial_t\psi_n(t) = \left[\epsilon_0 n + \frac{u_0}{2}n(n-1)\right]\psi_n(t) + \frac{\gamma_0}{2}e^{-i\omega_0 t}\sqrt{n(n-1)}\psi_{n-2}(t) + \frac{\gamma_0^*}{2}e^{i\omega_0 t}\sqrt{(n+2)(n+1)}\psi_{n+2}(t)$$

- generalize FRG to include also off-diagonal Green functions:

$$\begin{aligned} g^R(t, t') &= -i\Theta(t - t')\langle [a(t), a^\dagger(t')] \rangle & p^R(t, t') &= -i\Theta(t - t')\langle [a(t), a(t')] \rangle \\ g^A(t, t') &= i\Theta(t' - t)\langle [a(t), a^\dagger(t')] \rangle & p^A(t, t') &= i\Theta(t' - t)\langle [a(t), a(t')] \rangle \\ g^K(t, t') &= -i\langle \{a(t), a^\dagger(t')\} \rangle & p^K(t, t') &= -i\langle \{a(t), a(t')\} \rangle \end{aligned}$$

- Keldysh component at equal times gives distribution functions:

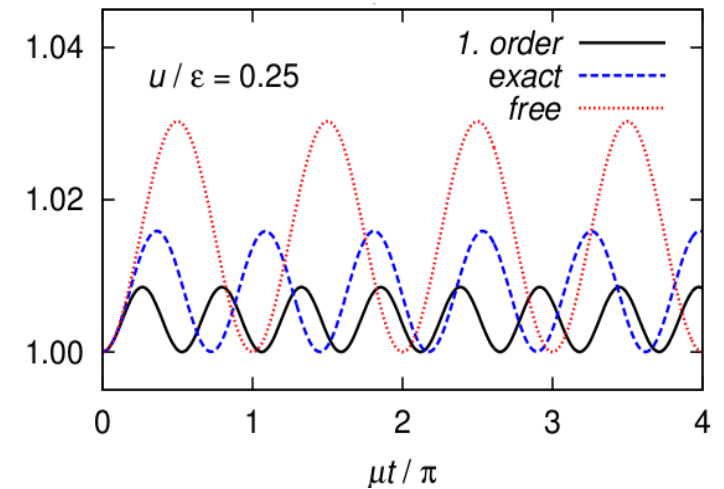
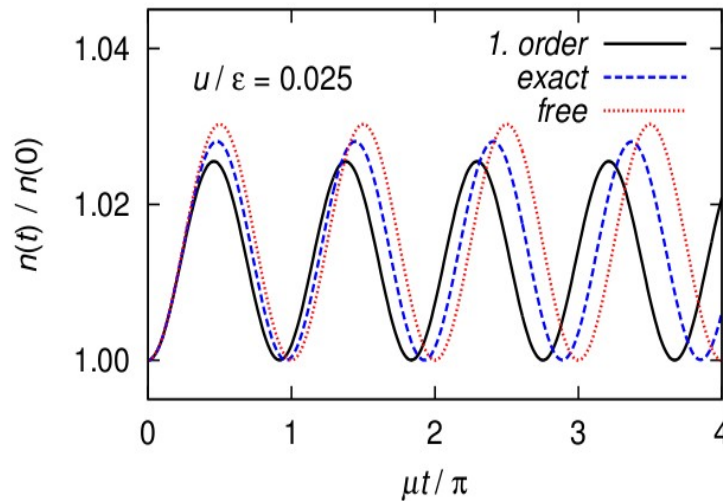
$$G^K(t, t) = \begin{pmatrix} p^K(t, t) & g^K(t, t) \\ g^K(t, t) & p^K(t, t)^* \end{pmatrix} = -2i \begin{pmatrix} p(t) & n(t) + \frac{1}{2} \\ n(t) + \frac{1}{2} & p^*(t) \end{pmatrix}$$

# time-dependent Hartree-Fock: comparison with exact non-equilibrium dynamics

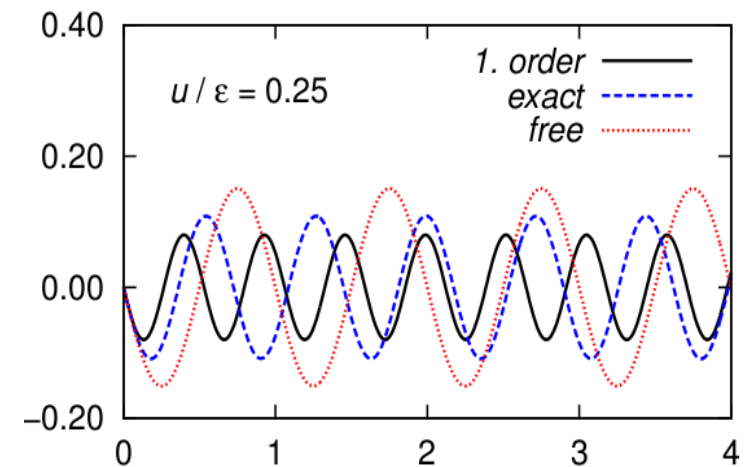
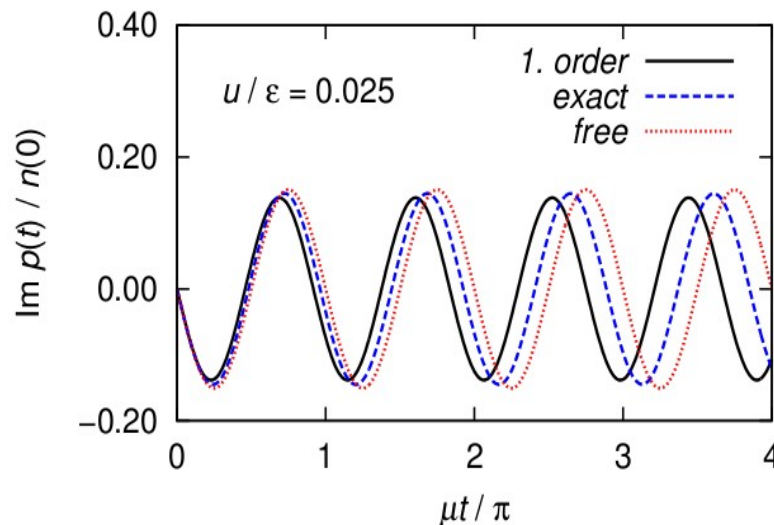
small interaction:

larger interaction:

diagonal  
correlator:



off-diagonal  
correlator:





# first order truncation of the FRG hierarchy

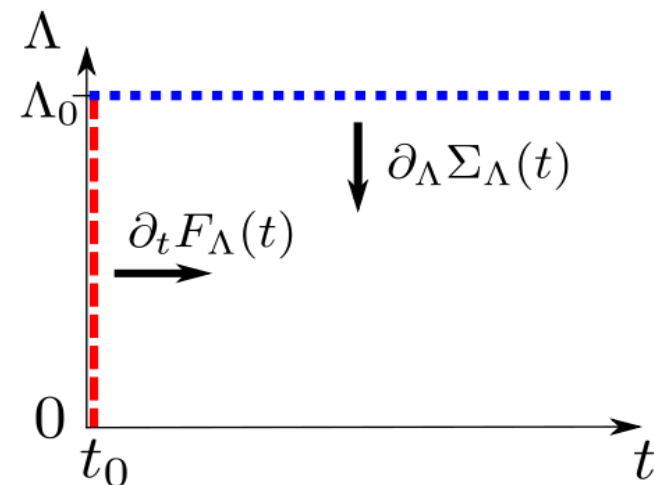
$$i\partial_t F_\Lambda(t) = -M_\Lambda^T(t)F_\Lambda(t) - F_\Lambda(t)M_\Lambda(t)$$

$$\partial_\Lambda \Sigma_\Lambda(t) = iu \begin{pmatrix} \frac{1}{2}\dot{G}_{\Lambda,\bar{a}\bar{a}}^K(t,t) & \dot{G}_{\Lambda,a\bar{a}}^K(t,t) \\ \dot{G}_{\Lambda,\bar{a}a}^K(t,t) & \frac{1}{2}\dot{G}_{\Lambda,aa}^K(t,t) \end{pmatrix}$$

$$F_\Lambda(t) = \begin{pmatrix} -2p_\Lambda^*(t) & 2n_\Lambda(t) + 1 \\ 2n_\Lambda(t) + 1 & -2p_\Lambda(t) \end{pmatrix}$$

$$\dot{G}_\Lambda^K(t,t) \approx 2i \int_{t_0}^t dt_1 G_{0,\Lambda}^R(t,t_1) F_\Lambda(t) G_{0,\Lambda}^A(t_1,t)$$

$$M_\Lambda(t) = M - i\Lambda I + Z\Sigma_\Lambda(t) = \begin{pmatrix} \epsilon - u - i\Lambda + \Sigma_{\Lambda,\bar{a}a}(t) & |\gamma| + \Sigma_{\Lambda,\bar{a}\bar{a}}(t) \\ -|\gamma| - \Sigma_{\Lambda,aa}(t) & -[\epsilon - u + i\Lambda + \Sigma_{\Lambda,a\bar{a}}(t)] \end{pmatrix}$$

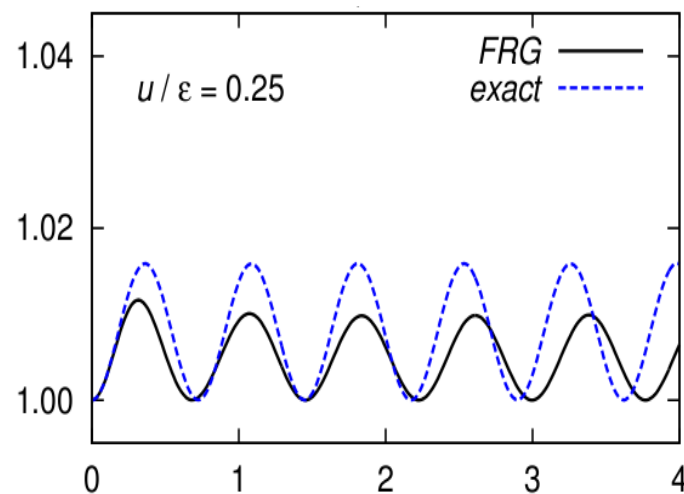
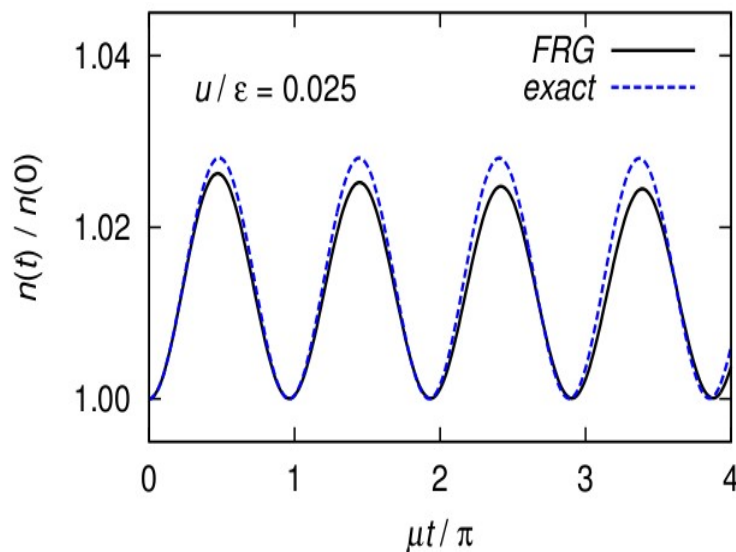


# comparison of first order FRG with exact non-equilibrium dynamics

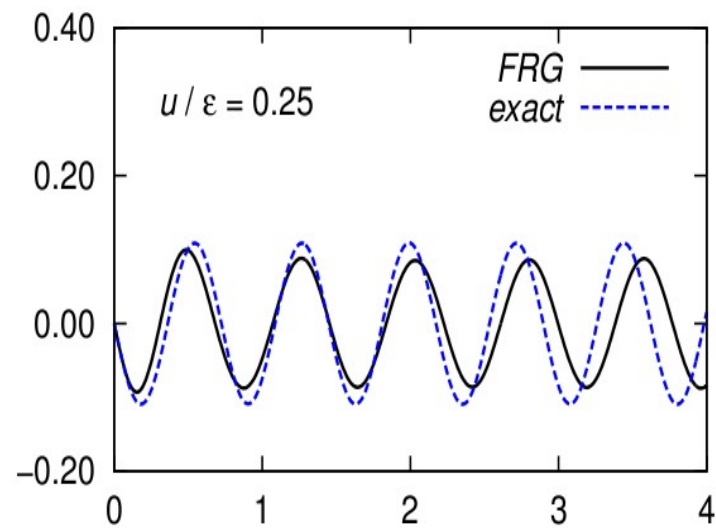
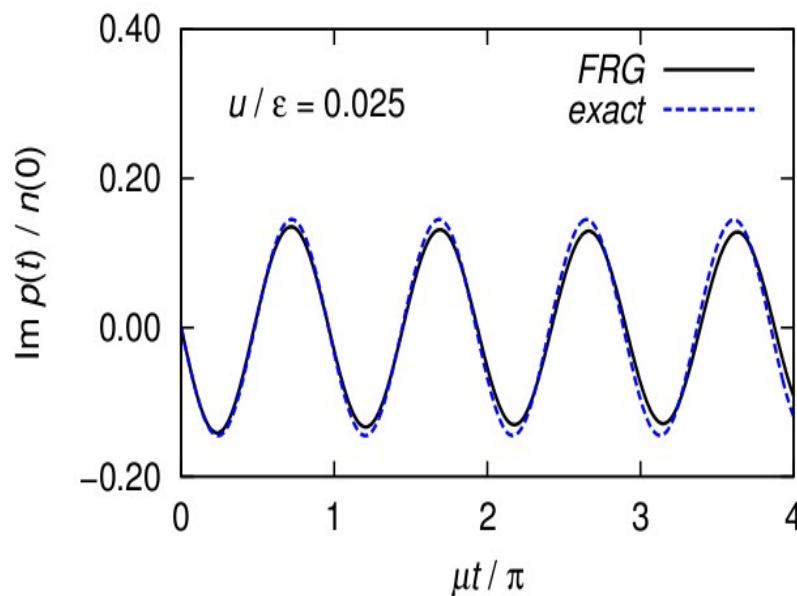
small interaction:

larger interaction:

diagonal  
correlator:



off-diagonal  
correlator:





# 3. Thermalization of Magnons in Yttrium-Iron Garnet

J. Hick, T. Kloss, PK, Phys. Rev. B 2013

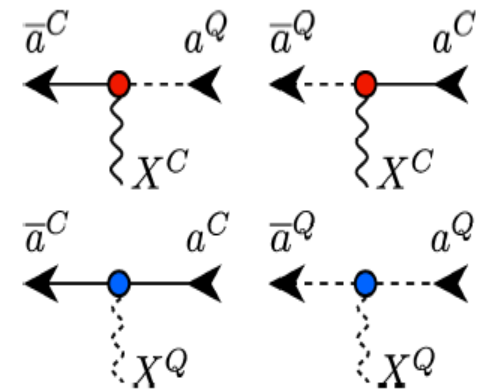
$$\mathcal{H} = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}} + \sum_{\mathbf{q}} \omega_{\mathbf{q}} b_{\mathbf{q}}^{\dagger} b_{\mathbf{q}} + \frac{1}{\sqrt{V}} \sum_{\mathbf{q}} \gamma_{\mathbf{q}} \rho_{-\mathbf{q}} (b_{\mathbf{q}} + b_{-\mathbf{q}}^{\dagger})$$

magnon                      phonon

- cutoff-procedure for FRG: Keldysh-action with hybridization cutoff only in the phonons:

$$S_{\Lambda}[\bar{a}, a, \bar{b}, b] = \int dt \int dt' \left\{ \sum_{\mathbf{k}} (\bar{a}_{\mathbf{k}}^C(t), \bar{a}_{\mathbf{k}}^Q(t)) \begin{pmatrix} 0 & (\hat{G}_0^A)^{-1} \\ (\hat{G}_0^R)^{-1} & 2i\eta\hat{g}_0 \end{pmatrix}_{tt'} \begin{pmatrix} a_{\mathbf{k}}^C(t') \\ a_{\mathbf{k}}^Q(t') \end{pmatrix} \right. \\ \left. + \sum_{\mathbf{q}} (\bar{b}_{\mathbf{q}}^C(t), \bar{b}_{\mathbf{q}}^Q(t)) \begin{pmatrix} 0 & (\hat{F}_{0,\Lambda}^A)^{-1} \\ (\hat{F}_{0,\Lambda}^R)^{-1} & 2i\Lambda\hat{f}_0 \end{pmatrix}_{tt'} \begin{pmatrix} b_{\mathbf{q}}^C(t') \\ b_{\mathbf{q}}^Q(t') \end{pmatrix} \right\} \\ - \int dt \frac{1}{\sqrt{V}} \sum_{\mathbf{k}, \mathbf{q}} \gamma_{\mathbf{q}} \left[ (\bar{a}_{\mathbf{k}+\mathbf{q}}^C a_{\mathbf{k}}^Q + \bar{a}_{\mathbf{k}+\mathbf{q}}^Q a_{\mathbf{k}}^C) X_{\mathbf{q}}^C + (\bar{a}_{\mathbf{k}+\mathbf{q}}^C a_{\mathbf{k}}^C + \bar{a}_{\mathbf{k}+\mathbf{q}}^Q a_{\mathbf{k}}^Q) X_{\mathbf{q}}^Q \right]$$

$$X_{\mathbf{q}} = \frac{1}{\sqrt{2}} (b_{\mathbf{q}} + b_{-\mathbf{q}}^{\dagger})$$



# rate equation for magnon distribution

(Banyai et al, 2000)

$$\partial_t n_{\mathbf{k}}(t) = \frac{1}{V} \sum_{\mathbf{k}'} \left\{ [1 + n_{\mathbf{k}}(t)] W_{\mathbf{k},\mathbf{k}'} n_{\mathbf{k}'}(t) - [1 + n_{\mathbf{k}'}(t)] W_{\mathbf{k}',\mathbf{k}} n_{\mathbf{k}}(t) \right\}$$

- Transition rates from Fermi's golden rule (phonons in equilibrium):

$$W_{\mathbf{k},\mathbf{k}'} = 2\gamma_{\mathbf{k}-\mathbf{k}'}^2 b(\epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k}'}) D_{\mathbf{k}-\mathbf{k}'}^I(\epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k}'})$$

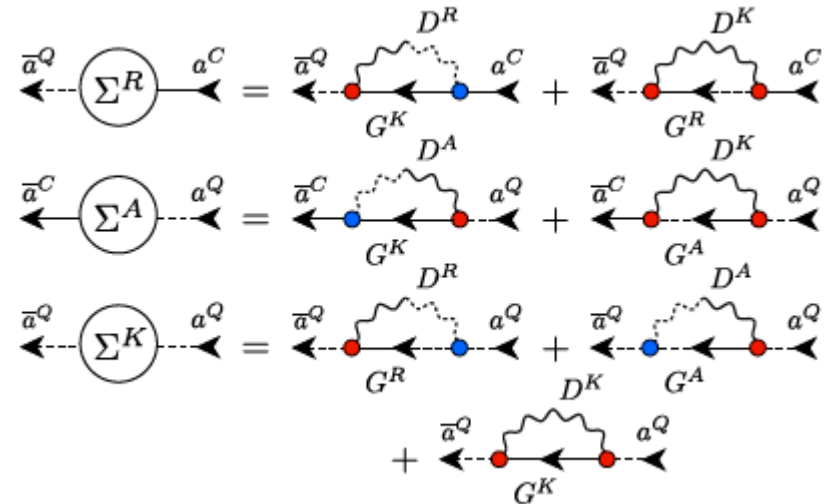
$$b(\omega) = \frac{1}{e^{\beta\omega} - 1} \quad D_{\mathbf{q}}^I(\omega) = \pi \text{sgn}(\omega) [\delta(\omega - \omega_{\mathbf{q}}) + \delta(\omega + \omega_{\mathbf{q}})]$$

- Detailed balance:  $W_{\mathbf{k},\mathbf{k}'} e^{-\beta\epsilon_{\mathbf{k}'}} = W_{\mathbf{k}',\mathbf{k}} e^{-\beta\epsilon_{\mathbf{k}}}$

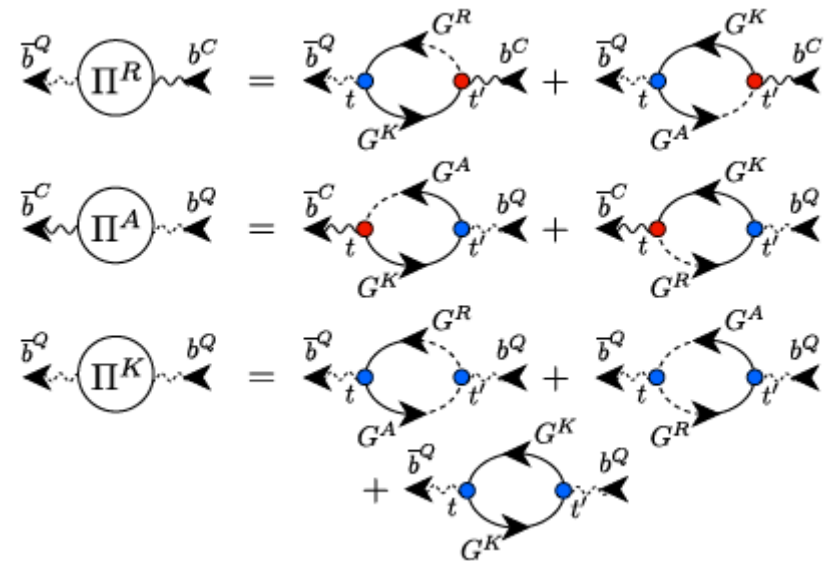
- Number conservation:  $\frac{\partial}{\partial t} \sum_{\mathbf{k}} n_{\mathbf{k}}(t) = 0$

## underlying approximations

- # 1. Magnon self-energies to second order in magnon-phonon coupling



- ## 2. Neglect phonon self-energies:



## ....underlying approximations, continued

3. Keep only leading terms in gradient expansion  
(factorization of Wigner transforms of products)

$$\begin{aligned} [\hat{A}\hat{B}]_{(\tau;\omega)} &= \int ds_1 \int ds_2 \int \frac{d\omega_1}{2\pi} \int \frac{d\omega_2}{2\pi} e^{i(\omega_1 s_2 - \omega_2 s_1)} A\left(\tau + \frac{s_1}{2}; \omega + \omega_1\right) B\left(\tau + \frac{s_2}{2}; \omega + \omega_2\right) \\ &\approx A(\tau; \omega) B(\tau; \omega) + \frac{1}{2i} [\partial_\tau A(\tau; \omega) \partial_\omega B(\tau; \omega) - \partial_\omega A(\tau; \omega) \partial_\tau B(\tau; \omega)] \end{aligned}$$

4. Neglect renormalization of real part of magnon energies and take frequency argument of self-energies on resonance.  
(quasiparticle approximation).

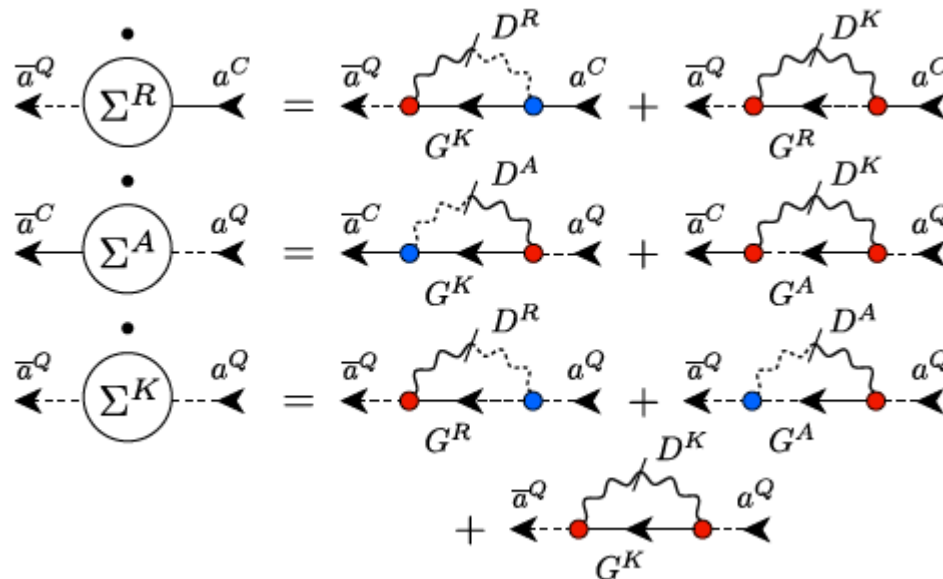
# FRG rate equations for magnon distribution

- Hybridization cutoff only on phonons.
- Phonon damping due to magnons included.

$$F_{\Lambda, \mathbf{q}}^R(\tau; \omega) = \frac{1}{\omega - \omega_{\mathbf{q}} + i\Lambda \text{sgn}\omega + \frac{i}{2}\Pi_{\Lambda, \mathbf{q}}^I(\tau; \omega)} \quad iF_{\Lambda, \mathbf{q}}^K(\tau, \omega) = \coth\left(\frac{\beta\omega}{2}\right) F_{\Lambda, \mathbf{q}}^I(\tau; \omega).$$

$$\Pi_{\Lambda, \mathbf{q}}^I(\tau; \omega) = \frac{2\pi}{V} \gamma_{\mathbf{q}}^2 \sum_{\mathbf{k}} \delta(\omega + \epsilon_{\mathbf{k}-\mathbf{q}} - \epsilon_{\mathbf{k}}) \{n_{\Lambda, \mathbf{k}-\mathbf{q}}(\tau) - n_{\Lambda, \mathbf{k}}(\tau)\}$$

- Truncated FRG flow equations for magnon self-energies.



## ...FRG rate equations, continued

- Kinetic equation for scale-dependent magnon distribution:

$$[\partial_\tau + \mu_\Lambda(\tau)]2n_{\Lambda,\mathbf{k}}(\tau) = i\Sigma_{\Lambda,\mathbf{k}}^K(\tau; \epsilon_{\mathbf{k}}) - \Sigma_{\Lambda,\mathbf{k}}^I(\tau; \epsilon_{\mathbf{k}}) [1 + 2n_{\Lambda,\mathbf{k}}(\tau)]$$

- FRG equations for non-equilibrium self-energies:

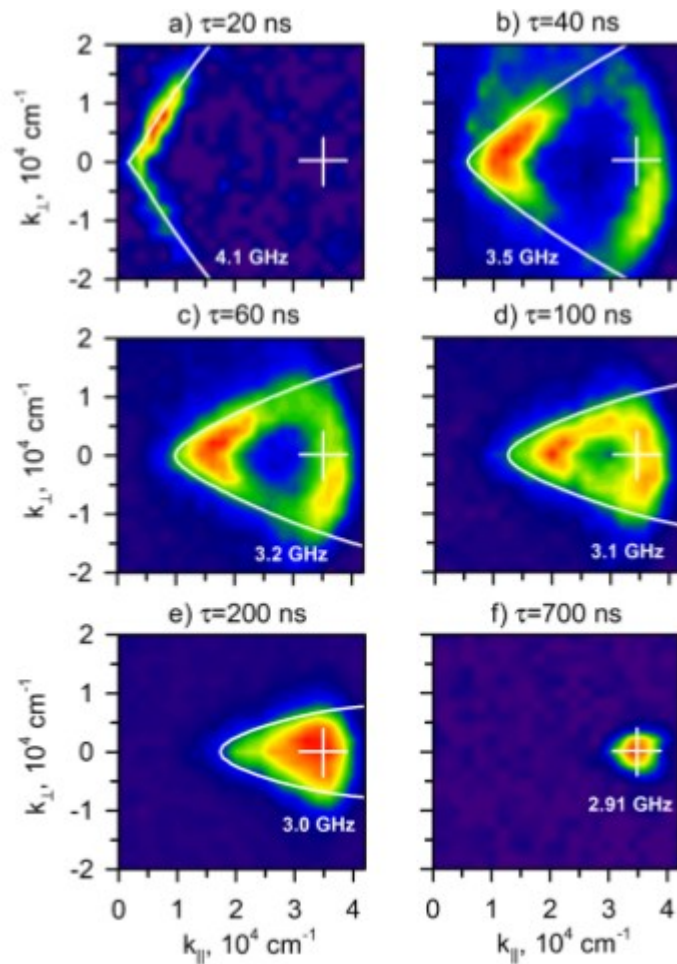
$$\begin{aligned}\partial_\Lambda i\Sigma_{\Lambda,\mathbf{k}}^K(\tau; \epsilon_{\mathbf{k}}) &= \frac{1}{V} \sum_{\mathbf{k}'} \{ [1 + n_{\Lambda,\mathbf{k}'}(\tau)] \dot{W}_{\mathbf{k}',\mathbf{k}} + \dot{W}_{\mathbf{k},\mathbf{k}'} n_{\Lambda,\mathbf{k}'}(\tau) \} \\ \partial_\Lambda \Sigma_{\Lambda,\mathbf{k}}^I(\tau; \epsilon_{\mathbf{k}}) &= \frac{1}{V} \sum_{\mathbf{k}'} \{ [1 + n_{\Lambda,\mathbf{k}'}(\tau)] \dot{W}_{\mathbf{k}',\mathbf{k}} - \dot{W}_{\mathbf{k},\mathbf{k}'} n_{\Lambda,\mathbf{k}'}(\tau) \}\end{aligned}$$

- Change of transition rates:  $\dot{W}_{\mathbf{k},\mathbf{k}'} = 2\gamma_{\mathbf{k}-\mathbf{k}'}^2 \dot{D}_{\Lambda,\mathbf{k}-\mathbf{k}'}^I(\epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k}'}) b(\epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k}'})$
- Langrange multiplier to implement constant particle number:

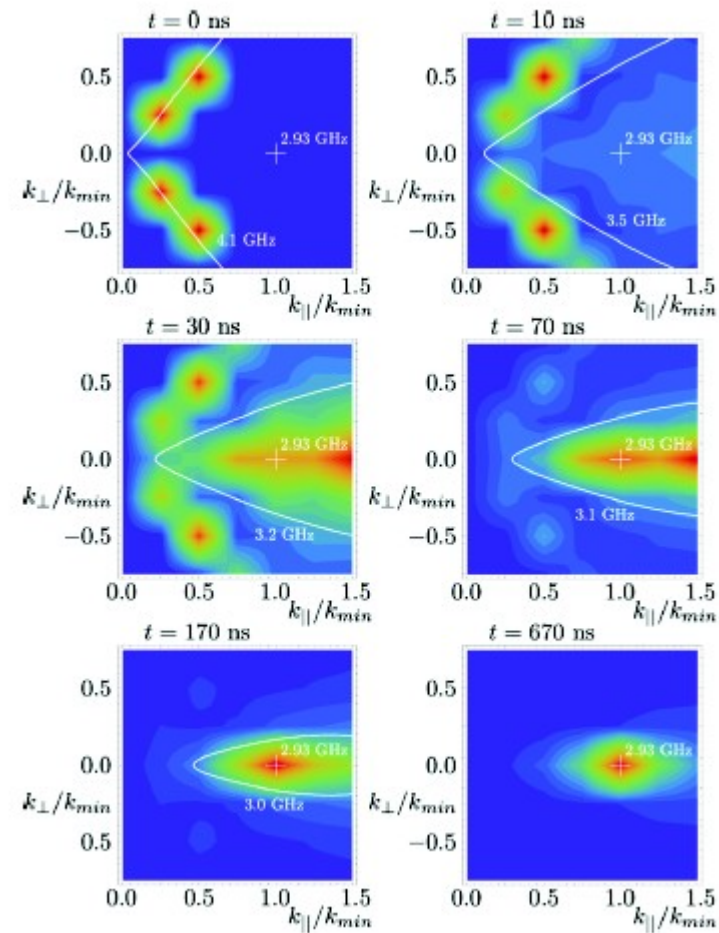
$$\mu_\Lambda(\tau) = \frac{1}{2N} \sum_{\mathbf{k}} \left\{ i\Sigma_{\Lambda,\mathbf{k}}^K(\tau; \epsilon_{\mathbf{k}}) - \Sigma_{\Lambda,\mathbf{k}}^I(\tau; \epsilon_{\mathbf{k}}) [1 + 2n_{\Lambda,\mathbf{k}}(\tau)] \right\}$$

# comparison with experiments:

Experiment:  
Demidov et al., Phys. Rev. Lett. (2008)



Our FRG calculation:



# Summary+Outlook

- FRG approach to non-equilibrium dynamics of bosons
- cutoff procedures: hybridization cutoff in phonons
- good agreement with experiment:  
magnons in YIG are good quasiparticles

## Outlook:

- quantum kinetics of BEC from the FRG
- include two-body interactions
- parametric resonance in YIG: beyond S-theory.